Università degli Studi di Torino Scuola di Dottorato in Scienza e Alta Tecnologia

Introduction to the Physics

of the Quark-Gluon Plasma

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Introduction

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Quantum Chromo Dynamics

QCD describes interactions among quarks (q) and gluons (g).

Quarks are described in terms of Dirac fields $q_{\alpha}^{ir}(x)$, where:

 α = Dirac spinor index (1,2,3,4)

i = SU(3) color index (1,2,3)

r =flavor index (u,d,s,c,b,t)

Gluons are described by vector fields $A^a_{\mu}(x)$, where:

 μ = Lorentz vector index (0,1,2,3)

 $a = \text{color index } (1,2,\ldots 8)$

Gell-Mann matrices λ^a : 3 × 3 matrix in the color space, $(\lambda^a)_{ij}$, i, j = 1, 2, 3

SU(3) is the special unitary group; its Lie algebra has dimension 8 and therefore it has some set with 8 linearly independent generators t_i (i = 1, ..., 8). Any element of SU(3) can be written in the form $\exp(i\theta_j t_j)$, where θ_j are real numbers and a sum over the index j is implied.

The Lie Algebra elements obey the commutation relations

$$[t_i, t_j] = i f^{ijk} t_k$$

The structure constants f^{ijk} are completely antisymmetric in the three indices and have values $f^{123} = 1$, $f^{147} = f^{165} = f^{246} = f^{257} = f^{345} = f^{376} = \frac{1}{2}$, $f^{458} = f^{678} = \frac{\sqrt{3}}{2}$. All other f^{ijk} not related to these by permutation are zero.

The Gell-Mann matrices are one possible representation of the infinitesimal generators of SU(3), involving 3×3 matrices (fundamental representation); a particular choice is $(t_i = \frac{\lambda_i}{2})$:

$$\lambda_{1} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_{2} = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_{3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_{4} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \\ \lambda_{5} = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \quad \lambda_{6} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad \lambda_{7} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} \quad \lambda_{8} = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

 λ_i are traceless, Hermitian, and obey the extra relation $tr(\lambda_i\lambda_j) = 2\delta_{ij}$

Local *SU*(3) color transformation: $U(x) = e^{i\frac{\lambda^a}{2}\theta^a(x)} = e^{\frac{i}{2}\vec{\lambda}\cdot\vec{\theta}(x)} = e^{i\vec{t}\cdot\theta(x)}$ $U(x) = 3 \times 3 \text{ matrix in the color space}$

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$$q(x)
ightarrow U(x)q(x)$$
 $q^{\dagger}(x)
ightarrow q^{\dagger}(x)U^{\dagger}(x)$
 $q_i(x) = U_{ij}(x)q_j(x)$ $q_i^*(x) = q_i^*(x)U_{ji}^*(x)$

QCD Lagrangian: $\mathcal{L}_{QCD} = -\frac{1}{4}F^a_{\mu\nu}F^{\mu\nu}_a + \bar{q}^r\left(i\not\!\!D - m_r\right)q^r$, where:

$$\begin{array}{lll} F^{a}_{\mu\nu} &\equiv& \partial_{\mu}A^{a}_{\nu} - \partial_{\nu}A^{a}_{\mu} + gf^{abc}A^{b}_{\mu}A^{c}_{\nu} \\ \end{tabular} \\ \end{tabular} \\ D_{\mu} &\equiv& \partial_{\mu} - igA_{\mu} = \partial_{\mu} - igt^{a}A^{a}_{\mu} \\ D^{ab}_{\mu} &=& \delta_{ab}\partial_{\mu} - ig(t^{c})_{ab}A^{c}_{\mu} \end{array}$$

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\mathcal{L}_{QCD} is invariant for local SU(3) color transformations

Let us consider, for simplicity, an infinitesimal transformation:

$$\begin{array}{lll} q_r(x) & \to & q_r'(x) = (1 + it^a \theta^a) q_r(x) \\ \bar{q}_r(x) & \to & \bar{q}_r'(x) = \bar{q}_r(x) (1 - it^a \theta^a) \\ A^a_\mu & \to & {A'}^a_\mu + \frac{1}{g} (\partial_\mu \theta^a) + f^{abc} A^b_\mu \theta^c \end{array}$$

It is easy to check (see Appendix A):

$$\mathcal{L}'_{QCD} = -\frac{1}{4} F'^{a}_{\mu\nu} F'^{\mu\nu}_{a} + \bar{q}' \left(i \not D' - m \right) q' = = -\frac{1}{4} F^{a}_{\mu\nu} F^{\mu\nu}_{a} + \bar{q} \left(i \not D - m \right) q = \mathcal{L}_{QCD}$$

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Renormalization \rightarrow running coupling:

$$\alpha_s(Q) = \frac{2\pi}{b_0 \log\left(\frac{Q}{\Lambda}\right)} \qquad \alpha_s(Q^2) = \frac{12\pi}{(33 - 2n_f) \log\left(\frac{Q^2}{\Lambda^2}\right)}$$

where $\Lambda^2 = \mu^2 \exp\left\{\frac{-12\pi}{(33 - 2n_f)\alpha_s(\mu^2)}\right\}$, $\Lambda \sim 200$ MeV, $b_0 = 11 - \frac{2}{3}n_f$.
 $\alpha_s(Q)$ small for large Q ($Q \gg \Lambda$) \implies pQCD (asymptotic freedom)
For $Q \sim \Lambda : \alpha_s(Q)$ large \implies pQCD (confinement)
 $\Lambda \sim 200$ MeV $\simeq 1$ fm⁻¹ \simeq (hadron size)⁻¹

Review of thermodynamics

We consider, for simplicity, single-components systems.

Thermodynamics concernes bulk properties of the system. Fundamental law: $dE = TdS - PdV + \mu dN$, E = E(S, V, N)

$$T = \left(\frac{\partial E}{\partial S}\right)_{VN} \qquad P = -\left(\frac{\partial E}{\partial V}\right)_{SN} \qquad \mu = \left(\frac{\partial E}{\partial N}\right)_{SV}$$

For a quantum-mechanical system in its ground state, S=0 and $\mu=\left(rac{\partial E}{\partial N}
ight)_V$

Helmholtz free energy F(T, V, N) = E - TS, $dF = -SdT - PdV + \mu dN$ Gibbs free energy G(T, P, N) = E - TS + PV, $dG = -SdT + VdP + \mu dN$ Thermodynamic potential $\Omega(T, V, \mu) = F - \mu N = E - TS - \mu N$, $d\Omega = -SdT - PdV - Nd\mu$

$$S = -\left(\frac{\partial\Omega}{\partial T}\right)_{V\mu}$$
 $P = -\left(\frac{\partial\Omega}{\partial V}\right)_{T\mu}$ $N = -\left(\frac{\partial\Omega}{\partial\mu}\right)_{TV}$

 T, P, μ intensive

S, V, N extensive

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Review of statistical mechanics

• Microcanonical Ensemble: *E*, *N*, ... are fixed. The system is closed and isolated.

All microstates are equally probable.

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• Canonical Ensemble: system in thermal equilibrium with a heat bath, *T* and *N* fixed, *E* fluctuates. System closed but not thermally isolated. It includes all possible microstates with *N* particles. $P_i \propto e^{-\beta E_i}$ $(\beta \equiv \frac{1}{k_B T})$

• Grand-canonical Ensemble: system in thermal equilibrium with a heat bath, it can exchange particles with the external system. Open system. T and μ fixed, N and E fluctuate.

It includes all possible microstates. $P_{i,N} \propto e^{-\beta(E_i - \mu N)}$

In the Grand-canonical ensemble we define the Grand-partition function

$$\mathcal{Z}_{G} = \sum_{N} \sum_{j} e^{-\beta(E_{j}-\mu N)} = \sum_{N} \sum_{j} \langle Nj | e^{-\beta(\hat{H}-\mu\hat{N})} | Nj \rangle = \operatorname{Tr}(e^{-\beta(\hat{H}-\mu\hat{N})})$$

A fundamental result from statistical mechanics is: $\Omega(T, V, \mu) = -k_B T \log \mathcal{Z}_G$ Statistical operator: $\hat{\rho}_{G} = \frac{1}{\mathcal{Z}_{G}} e^{-\beta(\hat{H}-\mu\hat{N})} = e^{\beta(\Omega-\hat{H}+\mu\hat{N})}$

The thermal average of operator \hat{O} is:

$$\langle \hat{O}
angle = \operatorname{Tr}(\hat{
ho}_{\mathcal{G}}\hat{O}) = \operatorname{Tr}(\mathrm{e}^{\beta(\Omega - \hat{H} + \mu \hat{N})}\hat{O}) = \frac{1}{\mathcal{Z}_{\mathcal{G}}}\operatorname{Tr}(\mathrm{e}^{-\beta(\hat{H} - \mu \hat{N})}\hat{O})$$

N is a conserved charge, e.g. B, L, S, I_3 , $Q = I_3 + \frac{B+S}{2}$, C,...

Introduction April 20, 2022 9 / 43 Ideal gas: partition function

$$\mathcal{Z}_{G} = \operatorname{Tr}(\mathrm{e}^{-eta(\hat{H}-\mu\hat{N})}) = \sum_{n_{1},\ldots,n_{\infty}} \langle n_{1},\ldots,n_{\infty} | \mathrm{e}^{-eta(\hat{H}-\mu\hat{N})} | n_{1},\ldots,n_{\infty} \rangle$$

$$= \prod_{i=1}^{\infty} \operatorname{Tr}(\mathrm{e}^{-\beta(\epsilon_i - \mu)\hat{n}_i}) \qquad \mathscr{A}_{\mathbb{D}}$$

 $\underline{\text{Bosons:}} \quad \mathcal{Z}_{G} = \prod_{i=1}^{\infty} \sum_{n=0}^{\infty} \left(e^{\beta(\mu - \epsilon_{i})} \right)^{n} = \prod_{i=1}^{\infty} \frac{1}{1 - e^{\beta(\mu - \epsilon_{i})}}$ $\mathcal{Z}_{G} = \prod_{i=1}^{\infty} \sum_{n=0}^{1} \left(e^{\beta(\mu - \epsilon_{i})} \right)^{n} = \prod_{i=1}^{\infty} \left(1 + e^{\beta(\mu - \epsilon_{i})} \right)$ <u>Fermions:</u>

Boltzmann limit ($e^{\beta(\epsilon_i - \mu)} \gg 1$):

$$\mathcal{Z}_{G} \simeq \prod_{i=1}^{\infty} \left(1 + \mathrm{e}^{\beta(\mu - \epsilon_{i})} \right) \qquad \log \mathcal{Z}_{G} \simeq \sum_{i=1}^{\infty} \mathrm{e}^{\beta(\mu - \epsilon_{i})}$$

$$\Omega(T,V,\mu) = -rac{1}{eta}\log \mathcal{Z}_G$$

Bosons:

$$\Omega_0 = k_B T \sum_{i=1}^\infty \log \left(1 - \mathrm{e}^{eta(\mu - \epsilon_i)}
ight)$$

Fermions:

$$\Omega_0 = -k_B T \sum_{i=1}^\infty \log \left(1 + \mathrm{e}^{eta(\mu-\epsilon_i)}
ight)$$

Compact notation (upper sign for bosons, lower sign for fermions):

$$\Omega(T, V, \mu) = \pm k_B T \log \left(1 \mp e^{\beta(\mu - \epsilon_i)}\right)$$

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Ideal gas: number of particles

$$\langle N \rangle = \operatorname{Tr}\left(\hat{\rho}_{G}\hat{N}\right) = \frac{1}{\mathcal{Z}_{G}}\operatorname{Tr}\left(\mathrm{e}^{-\beta(\hat{H}-\mu\hat{N})}\hat{N}\right)$$

Bosons:

$$\langle N \rangle = \sum_{i=1}^{\infty} n_i^0 = \sum_{i=1}^{\infty} \frac{1}{\mathrm{e}^{\beta(\epsilon_i - \mu)} - 1}$$

Fermions:

$$\langle N \rangle = \sum_{i=1}^{\infty} n_i^0 = \sum_{i=1}^{\infty} \frac{1}{\mathrm{e}^{\beta(\epsilon_i - \mu)} + 1}$$

Mean occupation number: $n_i^0 = rac{1}{\mathrm{e}^{eta(\epsilon_i-\mu)}\mp 1}$

Boltzmann limit: $n_i^0 = e^{-\beta(\epsilon_i - \mu)}$

Thermodynamics of relativistic particles

System of relativistic particles in equilibrium: distribution function $f_i(\vec{p}, \vec{r}) =$ number of particles of species *i* in the volume $d^3r d^3p$ around (\vec{p}, \vec{r}) . $f_i(\vec{p}, \vec{r})$ is a Lorentz scalar. We assume homogeneous space. Equilibrium distribution: $f_i(\vec{p}) = \frac{1}{e^{(\epsilon_p - \mu)/T} \mp 1}$ (+ fermions, - bosons) $\epsilon_p = \sqrt{\vec{p}^2 + m^2}$

For 1-species particles with degeneracy g (spin,color, ...):

number density: $n = \frac{N}{V} = \frac{g}{V} \sum_{\vec{p}} f(\vec{p}) \rightarrow g \int \frac{\mathrm{d}^3 p}{(2\pi)^3} f(p)$

energy density: $\epsilon = \frac{E}{V} = \frac{g}{V} \sum_{\vec{p}} \epsilon_p f(\vec{p}) \rightarrow g \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \epsilon_p f(\vec{p})$

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All the thermodynamic observables can be deduced from the partition function $\mathcal{Z} = \operatorname{Tr} e^{-\beta F} (\mathcal{Z}_{\mathcal{G}} = \operatorname{Tr} e^{-\beta(F-\mu N)})$

energy density
$$\epsilon = -\frac{1}{V} \frac{\partial}{\partial \beta} \log \mathcal{Z}$$

pressure $P = \frac{1}{\beta} \frac{\partial}{\partial V} \log \mathcal{Z}$
free energy $F = -\frac{1}{\beta} \log \mathcal{Z}$

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Example: 1-species massless particles, $\mu = 0$

$$n = \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \frac{1}{\mathrm{e}^{q/T} \mp 1} = \nu \frac{\zeta(3)}{\pi^2} T^3 \qquad \qquad \nu = \begin{cases} 1 & \text{bosons} \\ \frac{3}{4} & \text{fermions} \end{cases}$$

where $\zeta(3) = 1.202$ (Riemann ζ function)

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$$\epsilon = \int \frac{\mathrm{d}^3 q}{(2\pi)^3} \frac{q}{\mathrm{e}^{q/T} \mp 1} = \nu' \frac{\pi^2}{30} T^4 \qquad \qquad \nu' = \begin{cases} 1 & \text{bosons} \\ \frac{7}{8} & \text{fermions} \end{cases} \quad \measuredangle$$

pressure: $P = \frac{\epsilon}{3}$

entropy density: $Ts = \epsilon + P = \frac{4}{3}\epsilon \implies s = \frac{4}{3}\frac{\epsilon}{T} = 2\nu'\frac{\pi^2}{45}T^3$

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Finite temperature calculations \leftrightarrow Quantum mechanics

Partition function: $\mathcal{Z} = \operatorname{Tr} \left(e^{-\beta \hat{H}} \right)$ Thermal average: $\langle A \rangle = \operatorname{Tr} \left(e^{-\beta \hat{H}} \hat{A} \right)$ In QM there is a time evolution operator: $e^{-iHt/\hbar}$ Example: $|\psi_{\mathcal{S}}(t)\rangle = e^{-iHt/\hbar} |\psi_{\mathcal{S}}(0)\rangle$

$$rac{t}{\hbar}
ightarrow -ieta$$
 $\mathrm{e}^{-iHt/\hbar}
ightarrow \mathrm{e}^{-eta H}$

 $e^{-\beta H}$ is an evolution operator in imaginary time. Connection to finite temperature: $\beta \rightarrow \frac{1}{k_B T}$

Techniques developed in quantum mechanics are applicable to compute \mathcal{Z} .

Example: Path Integral. Single particle in 1 dimension:

$$\langle x_2 | e^{-iHt/\hbar} | x_1 \rangle = \int_{x(0)=x_1}^{x(t)=x_2} \mathcal{D}(x(t)) \exp\left\{\frac{i}{\hbar} \int_0^t dt' \left[\frac{m\dot{x}^2}{2} - V(x)\right]\right\}$$
$$t \to -i\tau, \quad x_1 = x_2 \text{ (trace)}, \quad \dot{x} = \frac{dx}{dt} \to i\frac{dx}{d\tau}, \qquad (\hbar = 1)$$

$$\mathcal{Z} = \langle x | \mathrm{e}^{-H\tau} | x \rangle = \int_{x(0)=x(\beta)} \mathcal{D}(x(\tau)) \exp\left\{-\int_{0}^{\beta} \mathrm{d}\tau \left[\frac{1}{2}m\dot{x}^{2} + V(x)\right]\right\}$$

Generalize to field theory (scalar field):

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$$\mathcal{Z} = \int_{\phi(0)=\phi(\beta)} \mathcal{D}\phi \exp\left\{-\int_0^\beta \mathrm{d}\tau \mathrm{d}^3 x \left[\frac{1}{2}(\partial_\tau \phi)^2 + \frac{1}{2}(\nabla \phi)^2 + V(\phi)\right]\right\}$$

Boundary conditions for scalar fields: ϕ periodic in imaginary time $\implies \phi$ can be expanded in Fourier series, with discrete frequencies $\omega_n = 2n\pi T$ (Matsubara frequencies).

Let us calculate the free energy of a static q in a gluonic background. We start from the Dirac eq. in imaginary time (\mathbb{Z}_{D}):

$$(i\not\!D - M)\psi = 0 \stackrel{t \to -i\tau}{\Longrightarrow} \left[\partial_{\tau} - gA_0 + \vec{\alpha} \cdot \left(\frac{\vec{\nabla}}{i} + g\vec{A}\right) + M\gamma_0\right]\psi(\vec{r},\tau) = 0$$

Heavy quark: *M* large, $\gamma_0 \rightarrow 1$, $\vec{\alpha} \cdot (...)$ negligible in the NR limit (see Appendix B)

$$[\partial_{\tau} - gA_0 + M]\psi(\vec{r},\tau) = 0$$

Solution:

$$\psi(\vec{r},\tau) = e^{-M\tau} T \exp\left\{g \int_0^\tau d\tau' A_0(\vec{r},\tau')\right\} \psi(\vec{r},0)$$
(1)

T = imaginary time ordering.

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Free energy: $F = -k_B T \log \mathcal{Z}$, $\mathcal{Z} = e^{-\beta F}$

$$e^{-\beta F} = \frac{1}{N_c} \sum_{i,n} \langle n | \psi_i(\vec{r}) e^{-\beta H} \psi_i^{\dagger}(\vec{r}) | n \rangle$$
(2)

the summation is over gluonic states $|n\rangle$ and quark colors $i \psi_i^{\dagger}(\vec{r}) (\psi_i(\vec{r}))$ creates (destroys) a q of color i at point \vec{r}

evolution operator: $e^{\beta H}\psi_i(\vec{r})e^{-\beta H} = \psi_i(\vec{r},\beta), \quad \psi_i(\vec{r}) \equiv \psi_i(\vec{r},0)$

$$e^{-\beta F} = \frac{1}{N_c} \sum_{i,n} \langle n | e^{-\beta H} \underbrace{e^{\beta H} \psi_i(\vec{r}) e^{-\beta H}}_{\psi_i(\vec{r},\beta)} \psi_i^{\dagger}(\vec{r}) | n \rangle$$

$$= \frac{1}{N_c} \sum_{i,n} \langle n | e^{-\beta H} \psi_i(\vec{r},\beta) \psi_i^{\dagger}(\vec{r}) | n \rangle =$$

$$= \frac{1}{N_c} \sum_{i,n} e^{-\beta E_n} \langle n | \psi_i(\vec{r},\beta) \psi_i^{\dagger}(\vec{r}) | n \rangle$$

We use solution (1):
$$\psi_i(\vec{r},\beta) = \sum_j e^{-M\beta} T \exp\left\{g \int_0^\beta d\tau A_0(\vec{r},\tau)\right\}_{ij} \psi_j(\vec{r},0)$$

$$e^{-\beta F} = e^{-M\beta} \sum_{n} e^{-\beta E_{n}} \langle n | \left[\frac{1}{N_{c}} \sum_{ij,n} T \exp\left\{g \int_{0}^{\beta} d\tau A_{0}(\vec{r},\tau)\right\}_{ij} \underbrace{\psi_{j}(\vec{r},0)\psi_{i}^{\dagger}(\vec{r},0)}_{\delta_{ij}} \right] | n \rangle$$

$$e^{-\beta F} = e^{-M\beta} \sum_{n} e^{-\beta E_{n}} \langle n | \frac{1}{N_{c}} \operatorname{Tr}_{(\text{color})} T \exp\left\{g \int_{0}^{\beta} d\tau A_{0}(\vec{r},\tau)\right\} | n \rangle =$$

$$= e^{-M\beta} \sum_{n} e^{-\beta E_{n}} \langle n | L(\vec{r}) | n \rangle \quad \text{Polyakov line}$$

By subtracting the free energy of gluons: $e^{-\beta(F-F_0-M)} = \langle L(\vec{r}) \rangle$

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 $L(\vec{r}) = Polyakov$ line = order parameter for the deconfinement transition:

$$F = F_0 + M - \frac{\log \langle L(\vec{r}) \rangle}{\beta}$$

• if $\langle L(\vec{r}) \rangle = 0 \implies F \to \infty$, it costs an infinite amount of energy to put an isolated q in the system.

• if $\langle L(\vec{r}) \rangle > 0 \implies F$ is finite, states with a single quark are possible.

Free energy of two isolated, massive quarks $(q\bar{q})$:

$$\mathrm{e}^{-eta(F_{qar{q}}-F_0-2M)}=\langle L(0)L^{\dagger}(ec{r})
angle$$

in the confining regime, for $|\vec{r}| \to \infty$:

$$\langle L(0)L^{\dagger}(\vec{r})\rangle \sim \mathrm{e}^{-\beta\sigma|\vec{r}|}$$

where σ is the string tension; in the deconfined regime: $\sigma
ightarrow 0$





Approximation scheme introduced by Wilson.

Discrete statistical mechanic system on a 4-dim Euclidean lattice. *QCD* partition function in the grand-canonical ensemble:

$$\mathcal{Z}(V, T, \mu) \int \mathcal{D} A_{\nu} \mathcal{D} \bar{\psi} \mathcal{D} \psi \, \mathrm{e}^{-S_{E}(V, T, \mu)}$$

 S_E = Euclidean action, depends on g, m_f A_{ν} = bosonic fields (periodic boundary conditions) $\bar{\psi}, \psi$ = fermionic fields (Grassmann variables, antiperiodic bound. cond.)

The path integral is regularized by introducing a four-dimensional space-time lattice of size $N_{\sigma}^3 \times N_{\tau}$ with a lattice spacing *a*. $V = (N_{\sigma}a)^3$, $T^{-1} = N_{\tau}a$.

Physical results in the continuum limit: $a \rightarrow 0$, $N_{\tau} \rightarrow \infty$ ($T = 1/(N_{\tau}a)$ fixed).

The phase structure of QCD is studied by analyzing observables which are suitable order parameters:

• for chiral symmetry restoration $(m_f \rightarrow 0)$:

chiral condensate
$$\langle ar{\psi}_f \psi_f
angle = rac{T}{V} rac{\partial}{\partial m_f} \log \mathcal{Z}(T,V,\mu_f)$$

 $\langle \bar{\psi}_f \psi_f \rangle > 0$: symmetry broken phase, $T < T_c$ $\langle \bar{\psi}_f \psi_f \rangle = 0$: symmetric phase, $T > T_c$

• for deconfinement:

expectation value of the trace of the Polyakov Loop $\langle L \rangle$.

 $\langle L \rangle = 0$: confined phase, $T < T_c$ $\langle L
angle > 0$: deconfined phase, $T > T_c$



Lattice QCD results: Phase transition



F.Karsch, Lect. Notes Phys. 583 (2002) 209, hep-lat/0106019

Lattice QCD results: order parameters



Fig. 2. Deconfinement and chiral symmetry restoration in 2-flavour QCD: Shown is $\langle L \rangle$ (left), which is the order parameter for deconfinement in the pure gauge limit $(m_q \to \infty)$, and $\langle \bar{\psi}\psi \rangle$ (right), which is the order parameter for chiral symmetry breaking in the chiral limit $(m_q \to 0)$. Also shown are the corresponding susceptibilities as a function of the coupling $\beta = 6/g^2$.

F.Karsch, Lect. Notes Phys. 583 (2002) 209, hep-lat/0106019





F.Karsch, E. Laermann, "Quark-Gluon Plasma 3", World Scientific, hep-lat/0305025

Lattice QCD results



Dynamical quarks, 2+1 flavors (S. Borsanyi et al., JHEP1011 (2010))

Lattice: Conclusions

Lattice QCD shows that strongly interacting matter (i.e. matter interacting with QCD) exists in the high temperature and/or high density limit in a deconfined phase.

Still under debate:

- Order of the phase transition: 1st, 2nd, cross over
- Critical temperature: 150 ÷ 170 MeV
- Critical energy density: $\sim 1 \div 1.5~{
 m GeV}/{
 m fm^3}$

In heavy ion collisions experiments at SPS/RHIC/LHC the extimated Tand ϵ reached in the collisions are well above the critical values: it is very likely that QGP is produced. We have to identify the suitable experimental observables to study it.

This will allow

- Deeper understanding of QCD
- Connection with other fields (cosmology)

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Phase diagram





- Electroweak transition and generation of masses, T > 200 GeV: particles are coupled to a non-vanishing expectation value of a scalar field (Higgs).
- Quark-Hadron transition, $T \sim 200$ MeV, $t \sim 10^{-6}$ s: quarks bind and form hadrons, color confinement.
- Primordial nucleosyntesis, T ~ 0.07 MeV: weakly bound deuterons survive collisions with other particles; p and n are not in β-equilibrium, free neutrons decay.
- Decoupling of matter from radiation, $T \sim eV$: electrons bind to protons and form hydrogen atoms. Photons become free, black-body radiation $T \sim 2.7$ K (red-shifted)



Models of quark-hadron transitions

Hagedorn Temperature

Boltzmann statistic for 1 species ($\mu = 0$ for simplicity, natural units):

$$\log \mathcal{Z}(T, V) = \frac{V}{(2\pi)^3} \int \mathrm{d}^3 p \,\mathrm{e}^{-\frac{\sqrt{p^2 + m^2}}{T}} = \frac{VTm^2}{(2\pi)^2} K_2\left(\frac{m}{T}\right) \qquad \not = \mathbb{E}_{\mathbb{D}}$$

in the limit $T \ll m$: $\log \mathcal{Z}(T, V) \simeq V \left(\frac{Tm}{2\pi}\right)^{3/2} e^{-m/T}$ For many particle species: $\log \mathcal{Z} = \sum_{i} \log \mathcal{Z}_{i} \to \text{const.} \int dm \, \rho(m) \log \mathcal{Z}(m)$

Hagedorn's bootstrap model ('60): any highly excited hadronic system is a resonance \implies self-consistent condition on $\rho(m)$, solution:

$$\rho(m) = Cm^{\alpha} e^{m/T_0} \qquad \alpha = -\frac{5}{2}, \ T_0 \simeq 160 \text{ MeV} \quad (\text{exp. fit})$$

$$\log \mathcal{Z}(T, V) = V\left(\frac{T}{2\pi}\right)^{3/2} C \int_{m_0}^{\infty} \mathrm{d}m \, m^{\alpha + \frac{3}{2}} \exp\left\{m\left(\frac{1}{T_0} - \frac{1}{T}\right)\right\}$$

The integral is well defined for $T < T_0$, it diverges for $T \to T_0$:

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hadronic matter can not exist for $T \rightarrow T_0$.

 T_0 is a limiting temperature.



Bag model

Equilibrated baryon free matter ($\mu_B = 0$), non-interacting particles.

• Low temperature phase = pions (massless)
degeneracy:
$$g = 3$$
 for isospin (π^+, π^0, π^-)
 $\epsilon = 3\frac{\pi^2}{30}T^4$ $P = \frac{\epsilon}{3} = 3\frac{\pi^2}{90}T^4$

• High temperature phase = g, q, \bar{q} , $N_q = N_{\bar{q}}$, two flavors (u, d). degeneracy: $g = g_g + g_q + g_{\bar{q}} = 2 \cdot 1 \cdot 8 + \frac{7}{8} \cdot 2 \cdot 2 \cdot 3 + \frac{7}{8} \cdot 2 \cdot 2 \cdot 3 = 37$ for: spin, flavor, color

$$\epsilon = 37 \frac{\pi^2}{30} T^4 + B$$
 $P = \frac{\epsilon}{3} = 37 \frac{\pi^2}{90} T^4 - B$

B mimics the interaction effects, force needed to equilibrate the pressure generated by the kinetic energy of the quarks inside the bag. $B^{1/4} = 192$ MeV.

Stable phase: higher pressure (minimal internal energy)





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$$\mathcal{L}_{QCD} = -rac{1}{4} F^a_{\mu
u} F^{\mu
u}_a + ar{q}_r \left(i D - m_r\right) q_r$$

Infinitesimal local gauge transformation:

$$q_{r}(x) \rightarrow q'_{r}(x) = (1 + it^{a}\theta^{a})q_{r}(x)$$

$$\bar{q}_{r}(x) \rightarrow \bar{q}'_{r}(x) = \bar{q}_{r}(x)(1 - it^{a}\theta^{a})$$

$$A^{a}_{\mu} \rightarrow A'^{a}_{\mu} = A^{a}_{\mu} + \frac{1}{g}(\partial_{\mu}\theta^{a}) + f^{abc}A^{b}_{\mu}\theta^{c}$$

$$\bar{q}'_r m_r q'_r = \bar{q}_r (1 - it^a \theta^a) m_r (1 + it^b \theta^b) q_r = = \bar{q}_r m_r (1 - it^a \theta^a + it^b \theta^b + \mathcal{O}(\theta^2)) q_r = \bar{q}_r m_r q_r$$

$$\begin{split} \bar{q}i D q &= \bar{q}i \gamma^{\mu} D_{\mu} q = \bar{q}i \gamma^{\mu} (\partial_{\mu} - igA^{b}_{\mu}t^{b}) q \rightarrow \\ &\rightarrow \bar{q}'i D q' = \bar{q}'i \gamma^{\mu} (\partial_{\mu} - igA'^{b}_{\mu}t^{b}) q' = \\ &= \bar{q}(1 - it^{a}\theta^{a})i \gamma^{\mu} \Big[\partial_{\mu} - ig \Big(A^{b}_{\mu} + \frac{1}{g}(\partial_{\mu}\theta^{b}) + f^{bde}A^{d}_{\mu}\theta^{e}\Big) t^{b} \Big] \times \\ &\times (1 + it^{c}\theta^{c}) q = \\ &= \bar{q}(1 - it^{a}\theta^{a})i \gamma^{\mu} \Big[\partial_{\mu} + it^{c}(\partial_{\mu}\theta^{e}) + it^{c}\theta^{c}\partial_{\mu} - igA^{b}_{\mu}t^{b} \\ &+ gA^{b}_{\mu}t^{b}t^{c}\theta^{c} - i(\partial_{\mu}\theta^{b})t^{b} - igf^{bde}A^{d}_{\mu}\theta^{e}t^{b} + O(\theta^{2}) \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - it^{a}\theta^{a}\partial_{\mu} + it^{c}\theta^{c}\partial_{\mu} - igA^{b}_{\mu}t^{b} - gA^{b}_{\mu}t^{a}t^{b}\theta^{a} \\ &+ gA^{b}_{\mu}t^{b}t^{a}\theta^{a} - igf^{bde}A^{d}_{\mu}\theta^{e}t^{b} + O(\theta^{2}) \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + gA^{b}_{\mu}\theta^{a}[t^{b}, t^{a}] - igf^{bde}A^{d}_{\mu}\theta^{e}t^{b} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + igf^{bae}A^{b}_{\mu}\theta^{a}t^{e} - igf^{deb}A^{d}_{\mu}\theta^{e}t^{b} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + igf^{bae}A^{b}_{\mu}\theta^{a}t^{e} - igf^{deb}A^{d}_{\mu}\theta^{e}t^{b} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + igf^{bae}A^{b}_{\mu}\theta^{a}t^{e} - igf^{deb}A^{d}_{\mu}\theta^{e}t^{b} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + igf^{bae}A^{b}_{\mu}\theta^{a}t^{e} - igf^{deb}A^{d}_{\mu}\theta^{e}t^{b} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + igf^{bae}A^{b}_{\mu}\theta^{a}t^{e} - igf^{deb}A^{d}_{\mu}\theta^{e}t^{b} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + igf^{bae}A^{b}_{\mu}\theta^{a}t^{e} - igf^{deb}A^{d}_{\mu}\theta^{e}t^{b} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + igf^{bae}A^{b}_{\mu}\theta^{a}t^{e} - igf^{deb}A^{d}_{\mu}\theta^{e}t^{b} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + igf^{bae}A^{b}_{\mu}\theta^{a}t^{e} - igf^{deb}A^{d}_{\mu}\theta^{e}t^{b} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + igf^{bae}A^{b}_{\mu}t^{e} + igf^{bae}A^{b}_{\mu}t^{e} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + igf^{bae}A^{b}_{\mu}t^{e} + igf^{bae}A^{b}_{\mu}t^{e} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[\partial_{\mu} - igA^{b}_{\mu}t^{b} + igf^{bae}A^{b}_{\mu}t^{e} + igf^{bae}A^{b}_{\mu}t^{e} \Big] q = \\ &= \bar{q}i \gamma^{\mu} \Big[$$

$$\begin{aligned} F^{a}_{\mu\nu} &= \partial_{\mu}A^{a}_{\nu} - \partial_{\nu}A^{a}_{\mu} + gf^{abc}A^{\mu}_{\mu}A^{c}_{\nu} \\ F^{a}_{\mu\nu} &\to F'^{a}_{\mu\nu} = \partial_{\mu}A'^{a}_{\nu} - \partial_{\nu}A'^{a}_{\mu} + gf^{abc}A'^{b}_{\mu}A'^{c}_{\nu} = \\ &= \partial_{\mu}\Big(A^{a}_{\nu} + \frac{1}{g}(\partial_{\nu}\theta^{a}) + f^{abc}A^{b}_{\nu}\theta^{c}\Big) - \partial_{\nu}\Big(A^{a}_{\mu} + \frac{1}{g}(\partial_{\mu}\theta^{a}) + f^{abc}A^{b}_{\mu}\theta^{c}\Big) \\ &+ gf^{abc}\Big(A^{b}_{\mu} + \frac{1}{g}(\partial_{\mu}\theta^{b}) + f^{bmn}A^{m}_{\mu}\theta^{n}\Big)\Big(A^{c}_{\nu} + \frac{1}{g}(\partial_{\nu}\theta^{c}) + f^{cpq}A^{p}_{\nu}\theta^{q}\Big) = \\ &= F^{a}_{\mu\nu} - f^{abc}\theta^{b}\Big(\partial_{\mu}A^{a}_{\nu} - \partial_{\nu}A^{a}_{\mu}\Big) + g(f^{abc}f^{cpq} + f^{pac}f^{cbq})A^{b}_{\mu}A^{p}_{\nu}\theta^{q} + \mathcal{O}(\theta^{2}) \end{aligned}$$
Jacobi's identity: $f^{abc}f^{cpq} + f^{pac}f^{cbq} + f^{bpc}f^{caq} = 0$

$$F'^{a}_{\mu\nu} = F^{a}_{\mu\nu} - f^{abc}\theta^{b} \left(\partial_{\mu}A^{a}_{\nu} - \partial_{\nu}A^{a}_{\mu}\right) - gf^{bpc}f^{caq}A^{b}_{\mu}A^{p}_{\nu}\theta^{q} + \mathcal{O}(\theta^{2}) = F^{a}_{\mu\nu} - f^{abc}\theta^{b}F^{c}_{\mu\nu} + \mathcal{O}(\theta^{2})$$

$$\begin{array}{ll} F^{a}_{\mu\nu}F^{\mu\nu}_{a} & \rightarrow & F'^{a}_{\ \mu\nu}F'^{\mu\nu}_{\ a} = \left(F^{a}_{\mu\nu} - f^{abc}\theta^{b}F^{c}_{\mu\nu}\right)\left(F^{\mu\nu}_{a} - f^{ade}\theta^{d}F^{\mu\nu}_{e}\right) = \\ & = & F^{a}_{\mu\nu}F^{\mu\nu}_{a} - f^{ade}\theta^{d}F^{a}_{\mu\nu}F^{\mu\nu}_{e} - f^{abc}\theta^{b}F^{c}_{\mu\nu}F^{\mu\nu}_{a} + \mathcal{O}(\theta^{2}) \\ & = & F^{a}_{\mu\nu}F^{\mu\nu}_{a} \end{array}$$



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Dirac Eq. for a massive quark

Introduction

 $(i\not D - M)\psi$ $D_{\mu} \equiv \partial_{\mu} - igA_{\mu} \qquad iD_{\mu} = i\partial_{\mu} + gA_{\mu}$ $i\not D = i\gamma^{\mu}D_{\mu} = i\gamma^{\mu}\partial_{\mu} + g\gamma^{\mu}A_{\mu}$ $\gamma^{0} = \beta \qquad \alpha^{k} = \gamma^{0}\gamma^{k} \qquad (\gamma^{0})^{2} = 1$ $\gamma^{0}(i\gamma^{\mu}\partial_{\mu} + g\gamma^{\mu}A_{\mu} - M)\psi = 0$ $(i\partial_{0} + gA_{0} + i\alpha^{k}\partial_{k} + g\alpha^{k}A_{k} - \gamma^{0}M)\psi = 0$

Wick's rotation: t
ightarrow -i au , $\frac{\partial}{\partial t} = i \frac{\partial}{\partial au}$

$$\left(-\partial_{\tau}+gA_{0}+i\vec{\alpha}\cdot\vec{\nabla}-g\vec{\alpha}\cdot\vec{A}-\gamma^{0}M\right)\psi=0$$

$$\begin{bmatrix} \partial_{\tau} - gA_0 + \vec{\alpha} \cdot \left(\frac{\vec{\nabla}}{i} + g\vec{A}\right) + \gamma^0 M \end{bmatrix} \psi = 0$$
$$\vec{\alpha} = \begin{pmatrix} 0 & \vec{\sigma} \\ \vec{\sigma} & 0 \end{pmatrix} \qquad \gamma^0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$
$$\psi = \begin{pmatrix} \varphi_1 \\ \varphi_2 \end{pmatrix} \qquad \Leftarrow \text{ large components} \\ \Leftarrow \text{ small components} \end{cases}$$

In the NR limit (*M* is very large): $\varphi_2 \ll \varphi_1$

$$\left[\partial_{\tau} - gA_0 + M\right]\varphi_1 = 0$$

Introduction

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