

## Control of particle clustering in turbulence by polymer additives

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(Received 19 December 2011; published 21 March 2012)

We study the clustering properties of inertial particles in a turbulent viscoelastic fluid. The investigation is carried out by means of direct numerical simulations of turbulence in the Oldroyd-B model. The effects of polymers on the small-scale properties of homogeneous turbulence are considered in relation with their consequences on clustering of particles, both lighter and heavier than the carrying fluid. We show that, depending on particle and flow parameters, polymers can either increase or decrease clustering.

DOI: [10.1103/PhysRevE.85.036308](https://doi.org/10.1103/PhysRevE.85.036308)

PACS number(s): 47.57.Ng, 47.27.-i

### I. INTRODUCTION

Clustering of inertial particles in turbulent flows is relevant for meteorology and engineering, as well as fundamental research. It is believed to play a crucial role in raindrop formation [1], as well as in the aggregation of protoplanetesimals in Keplerian accretion disks [2]. The physical mechanism that originates such clustering is indeed rather simple: particles heavier than the fluid in which they are transported experience inertial forces that expel them from vortices; particles lighter than the fluid are attracted into vortical structures, for similar reasons [3–5]. In realistic flows, however, particles are advected by the small-scale vortical structures of turbulent flows: these have highly nontrivial statistical features, resulting in a complex clustering process that is still far from being completely understood. From the point of view of applications, the properties of concentration and distribution of inertial particles play a crucial role in engineering and for the design of industrial processes involving combustion and mixing [6–8]. Suspensions of particles in viscoelastic fluids are used in many products of commercial and industrial relevance [9].

In this paper we investigate, by means of direct numerical simulations of a turbulent flow, how the clustering properties of a dilute suspension of inertial particles can be affected by the addition of small amounts of polymer additives. The effects induced by polymers on turbulent flows are themselves of enormous relevance. It is enough to mention the celebrated drag reduction effect, which occurs in pipe flows [10], or the recently discovered elastic turbulence regime [11]. Polymers have striking effects also on Lagrangian properties of the flow. In particular it has been shown that polymer addition in turbulent flows reduces the chaoticity of Lagrangian trajectories [12] and affects acceleration of fluid tracers [13]. Conversely in the elastic turbulence regime polymers are able to generate Lagrangian chaos in flows at vanishing Reynolds number, which would be nonchaotic in the Newtonian case [12,14].

Here we show that the addition of polymers in a turbulent flow has important effects on the statistical properties of inertial particles, which can result in both an increase or a decrease of the clustering. An example of the effect of polymers on clustering is shown in Fig. 1, which represents the distribution of an ensemble of inertial particles in a turbulent

flow before and after the introduction of polymers. It is evident, already at the qualitative level of Fig. 1, that polymers are able to change the statistical distribution of particles. We show that these effects can be understood and quantified in terms of the Lyapunov exponents of inertial particles, which are very sensitive to the presence of polymers. Previous systematic investigations of inertial particle dynamics in Newtonian turbulent flows [15] and stochastic flows [16] have shown that clustering (quantified by means of the Lyapunov dimension of particle attractor) is maximum when the particle relaxation time is of the order of the shortest characteristic time of the flow.

### II. MODEL EQUATIONS

We consider the case of a dilute suspension of small inertial particles, in which the effects of the disturbance flow induced by the particles can be neglected. The dynamics of the suspension is hence modeled by an ensemble of noninteracting point particles, which experience viscous drag and added mass forces. The equation of motion of each particle reads [18]

$$\frac{d\mathbf{x}}{dt} = \mathbf{v} \quad (1)$$

$$\frac{d\mathbf{v}}{dt} = -\frac{1}{\tau_s} [\mathbf{v} - \mathbf{u}(\mathbf{x}(t), t)] + \beta \frac{d\mathbf{u}}{dt}, \quad (2)$$

where  $\tau_s = a^2/(3\beta\nu)$  is the Stokes relaxation time,  $a$  is the particle radius,  $\beta = 3\rho_f/(\rho_f + 2\rho_p)$  ( $\rho_p$  and  $\rho_f$  representing particle and fluid densities, respectively) and  $\nu$  is the kinematic viscosity of the fluid (replaced by the total viscosity  $\nu_T$  in a viscoelastic fluid, see below). Light (heavy) particles correspond to  $\beta > 1$  ( $\beta < 1$ ). In this work we consider the two extreme cases of very light particles (e.g., air bubble in water) for which  $\beta = 3$ , and very heavy particles with  $\beta = 0$ . We define the Stokes number as  $St = \tau_s \lambda_1^0$ , where  $\lambda_1^0$  is the maximum Lyapunov exponent of neutral Lagrangian tracers (i.e.,  $St = 0$  particles) in the flow. With this definition, maximum clustering is obtained for  $St \simeq 0.1$  [15,16].

The viscoelastic flow  $\mathbf{u}(\mathbf{x}, t)$  in which the particles are suspended can be described by standard viscoelastic models, such as the Oldroyd-B model or the nonlinear finitely-extensible-nonlinear-elastic–Peterlin (FENE-P) model, which accounts for the finite extensibility of polymers. In spite of their simplicity, these models are able to reproduce many relevant

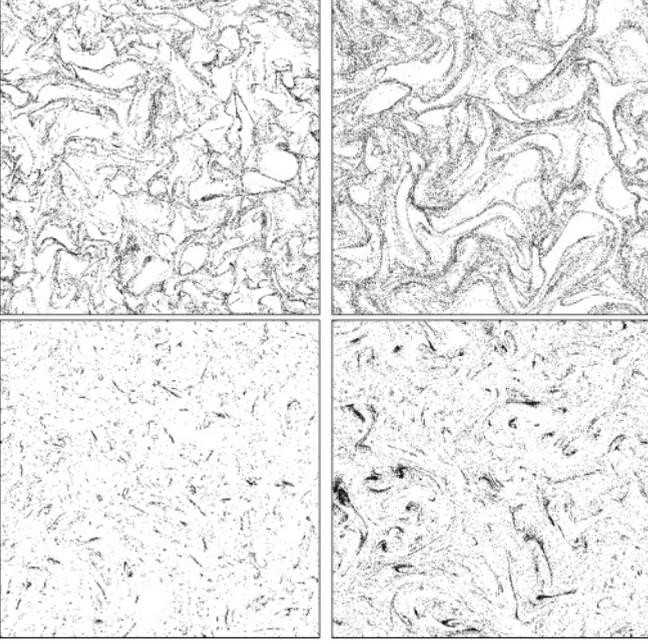


FIG. 1. Section on plane  $z = 0$  of the distribution of heavy particles with  $\tau_S = 0.035$  (upper panels) and light particles with  $\tau_S = 0.03$  (lower panels) in statistically stationary conditions in a Newtonian flow (left) and a viscoelastic flow at  $Wi = 1$  (right). Both flows are forced with the same forcing  $\mathbf{f}(\mathbf{x}, t)$   $\delta$  correlated in time and localized on large scales. Numerical simulations are done by a pseudospectral, fully de-aliased code at resolution  $256^3$ . For the viscoelastic simulations, a small diffusive term is added to (4) to prevent numerical instabilities [17].

properties of dilute polymer solutions, including turbulent drag reduction [19,20] and elastic turbulence phenomenology [21]. Here we choose the Oldroyd-B model [22], in which the coupled dynamics of the velocity field  $\mathbf{u}(\mathbf{x}, t)$  and the polymer conformation tensor  $\sigma(\mathbf{x}, t)$  (which is proportional to local square polymer elongation) reads

$$\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} = -\nabla p + \nu \nabla^2 \mathbf{u} + \frac{2\nu\gamma}{\tau_p} \nabla \cdot \sigma + \mathbf{f} \quad (3)$$

$$\frac{\partial \sigma}{\partial t} + \mathbf{u} \cdot \nabla \sigma = (\nabla \mathbf{u})^T \cdot \sigma + \sigma \cdot (\nabla \mathbf{u}) - \frac{2}{\tau_p} (\sigma - \mathbb{I}). \quad (4)$$

The total viscosity of the solution  $\nu_T = \nu(1 + \gamma)$  is written in terms of the kinematic viscosity of the solvent  $\nu$  and the zero-shear contribution of the polymer  $\gamma$ , which is proportional to the polymer concentration. The polymer time  $\tau_p$  represents the longest relaxation time to the equilibrium configuration ( $\sigma = \mathbb{I}$  in dimensionless units). Viscoelasticity of the turbulent flow is parametrized by the Weissenberg number  $Wi$ , the ratio between  $\tau_p$  and a characteristic time of the flow. Here we use  $Wi = \tau_p \lambda_1^N$  where  $\lambda_1^N$  is the Lagrangian Lyapunov exponent of the Newtonian flow, before the addition of polymers [i.e., Eq. (3) with  $\gamma = 0$ ]. We stress that  $\lambda_1^0$  introduced above refers instead to the specific flow that carries the suspension and it clearly depends on  $Wi$ . Therefore  $\lambda_1^N \equiv \lambda_1^0|_{Wi=0}$ .

### III. NUMERICS AND RESULTS

In the following we discuss results obtained by integrating numerically the viscoelastic model Eqs. (3) and (4) at high

TABLE I. Parameters for the Newtonian and viscoelastic simulations. The Weissenberg number  $Wi$ , energy input  $\varepsilon_f$ , viscous dissipation rate  $\varepsilon_v$ , rms velocity  $u_{rms}$  and Lagrangian Lyapunov exponent  $\lambda_1^0$  of the carrier flow are shown. In both viscoelastic runs an additional dissipative term was added on polymers (see text), with coefficient  $\nu_p = 2.3 \times 10^3$ .

$Wi$	$\varepsilon_f$	$\varepsilon_v$	$u_{rms}$	$\lambda_1^0$
0	0.28	0.28	0.76	1.36
0.5	0.28	0.18	0.73	1.08
1	0.28	0.092	0.68	0.75

resolution for different values of  $Wi$  (see Table I). The flow is sustained by a stochastic Gaussian forcing  $\mathbf{f}(\mathbf{x}, t)\delta$  correlated in time and localized on large scales. Fluid equations were integrated by means of a standard, fully de-aliased, pseudospectral code, on a cubic, triple-periodic domain with 256 grid points per side. When the flow reaches a turbulent, statistically stationary state, different families (i.e., with different values of parameters  $\beta$  and  $\tau_S$ ) of inertial particles are injected, with initial homogeneous distribution in space, and their motion integrated according to Eqs. (1) and (2). For each value of  $Wi$ , we integrated the motion of 1024 particles for each of 21 values of  $\tau_S$  and two values of  $\beta$ , namely very heavy particles with  $\beta = 0$  and bubbles with  $\beta = 3$ .

As an effect of inertia the distribution of particles does not remain homogeneous and evolves to a fractal set dynamically evolving with the flow, such as the examples shown in Fig. 1. In the language of dynamical systems, the equations (1) and (2) for particle motion represent a dissipative system whose chaotic trajectories evolve to a fractal attractor (which evolves in time following the flow). A quantitative measure of clustering at small scales is therefore obtained by measuring the fractal dimension of the attractor (for each family of particles) using the Lyapunov dimension [16,23] defined in terms of Lyapunov exponents as  $D_L = K + \sum_{i=1}^K \lambda_i / |\lambda_{K+1}|$  where  $K$  is the largest integer for which  $\sum_{i=1}^K \lambda_i \geq 0$  [24]. Since the space distribution of the particles is the projection of the attractor on the subspace of particle positions, the fractal dimension of clusters is given by  $\min(D_L, 3)$  [25,26], provided that the projection is generic (for a discussion on this issue see, e.g., Ref. [27]). This implies that  $D_L < 3$  gives fractal distributions of dimension  $D_L$ , while  $D_L > 3$  corresponds to space-filling configurations, which however can be nonhomogeneous.

In Fig. 2 we plot the fractal dimensions for both heavy and light particles as a function of  $\tau_S$  for the three simulations at different  $Wi$ . It is evident that the addition of polymer changes substantially the clustering properties of the particles, both increasing  $D_L$  and reducing  $D_L$  depending on value of  $\tau_S$ . Figure 1 shows examples of clustering reduction, for heavy and light particles, respectively. The upper panels refer to heavy particles ( $\beta = 0$ ) with  $\tau_S = 0.035$ , while the bottom ones are extracted from a simulation with  $\beta = 3$  and  $\tau_S = 0.03$ . Both values of Stokes time are, for the Newtonian flow, on the left of the minimum in  $D_L$ . As a consequence, polymers produce a reduction of clustering. Such effect is more visible for light particles. A possible reason for this difference will be discussed further on.

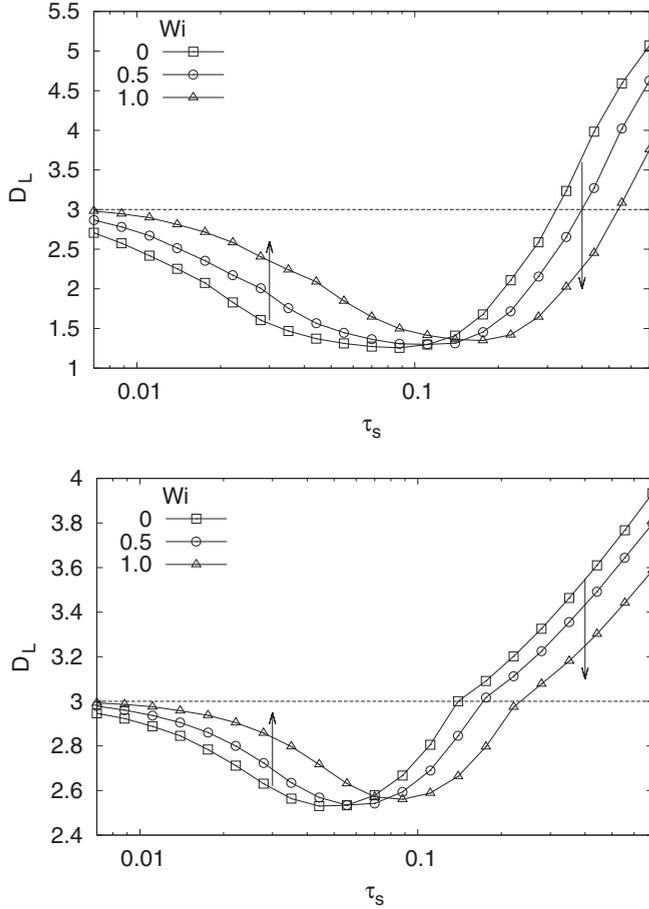


FIG. 2. Lyapunov dimension for light (upper panel) and heavy (lower panel) particles plotted as a function of  $\tau_s$ . Different lines correspond to the different Weissenberg numbers:  $Wi = 0$  (squares),  $Wi = 0.5$  (circles), and  $Wi = 1.0$  (triangles).

The mechanism at the basis of this effect is not trivial and is a consequence of the change induced by the polymers on the small-scale properties of the turbulent flow. In Fig. 3 we plot the energy spectra for the different  $Wi$  numbers. The effect of polymers is evident in the high-wave-number range where velocity fluctuations are clearly suppressed, resulting in a depletion of the energy spectrum, while large-scale fluctuations are unaffected.

Indeed one can expect that only the fastest eddies of the flow (i.e., those whose eddy turnover time  $\tau_\ell$  is shorter than the polymer relaxation time  $\tau_p$ ) can produce a significant elongation of polymers. The elastic feedback therefore affects only small scales  $\ell$  with  $\tau_\ell < \tau_p$ . Conversely, large scales exhibit the same phenomenology of a Newtonian flow, characterized by a turbulent cascade with a constant energy flux equal to the energy input rate  $\varepsilon_f$ . The turbulent cascade proceeds almost unaffected by the presence of polymers down to the Lumley scale  $\ell_L$ , whose eddy turnover time equals the polymer relaxation time. A dimensional estimate, based on the Kolmogorov scaling for the typical velocity  $u_\ell \sim \varepsilon_f^{1/3} \ell^{1/3}$  and turnover time  $\tau_\ell = \ell/u_\ell \sim \varepsilon_f^{-1/3} \ell^{2/3}$  of an eddy of size  $\ell$ , gives  $\ell_L = \tau_p^{3/2} \varepsilon_f^{1/2}$ . Polymers would therefore affect only the small scales  $\ell < \ell_L$ . Our results are in qualitative agreement with

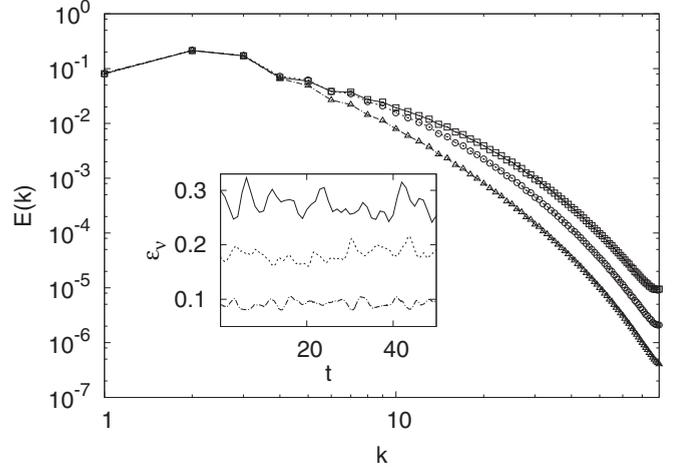


FIG. 3. Energy spectra for the Newtonian case  $Wi = 0$  (squares) and for the viscoelastic ones  $Wi = 0.5$  (circles) and  $Wi = 1$  (triangles). The depletion due to polymer feedback is evident on large wave numbers, while the larger scales are unaffected. The effect of polymers extends at lower wave numbers as  $Wi$  increases. Inset: viscous energy dissipation  $\varepsilon_v$  during a typical time interval in the stationary simulations, for the Newtonian (solid line),  $Wi = 0.5$  (dashed line), and  $Wi = 1$  (dash-dot) flows. The decrease in  $\varepsilon_v$  with  $Wi$  is evident, as well as the reduction in fluctuations.

this picture: the  $Wi = 0.5$  spectrum differs from the Newtonian one only for  $k \gtrsim 8$ , while at  $Wi = 1$  polymers are active over a larger range of scales. The reduction of kinetic energy at small scales, due to the transfer of energy to the polymers, is accompanied by a reduction of the viscous dissipation  $\varepsilon_v = \nu \langle (\nabla \mathbf{u})^2 \rangle$  at fixed energy input  $\varepsilon_f$ , as can be seen from Table I and in the inset of Fig. 3. This phenomenon has been previously observed both in forced and decaying simulations of statistically homogeneous and isotropic turbulence (see, e.g., Refs. [28,29]).

The suppression of small-scale motions caused by polymers has major consequences also on the Lagrangian statistics. It is responsible for the reduction of chaoticity of Lagrangian trajectories [30]. Indeed the chaoticity of the flow is directly related to its stretching efficiency via the Lyapunov exponents. When polymers are stretched, the elastic stress tensor produces a negative feedback on small-scale stretching, thus reducing the degree of chaoticity of the flow [30,31]. This effect is clearly observable in the decrease of the Lagrangian Lyapunov exponent of the flow at increasing polymer elasticity (see the inset of Fig. 4).

It is worth noting that, because of polymer counteraction, the Lyapunov exponent of the resulting viscoelastic flow is smaller than  $\tau_p^{-1}$ . In other words, the  $Wi$  number computed *a posteriori* (i.e., after polymer injection) is always smaller than unity. This is not in contrast with the hypothesis that polymers have a strong active effect on the flow mainly when they are stretched (i.e., above the so-called coil-stretch transition) which is expected to happen around  $Wi \simeq 1$  [32]. Indeed, the Lyapunov exponent simply provides a measure of the average stretching in a chaotic flow. One should bear in mind that large fluctuations of the stretching rates

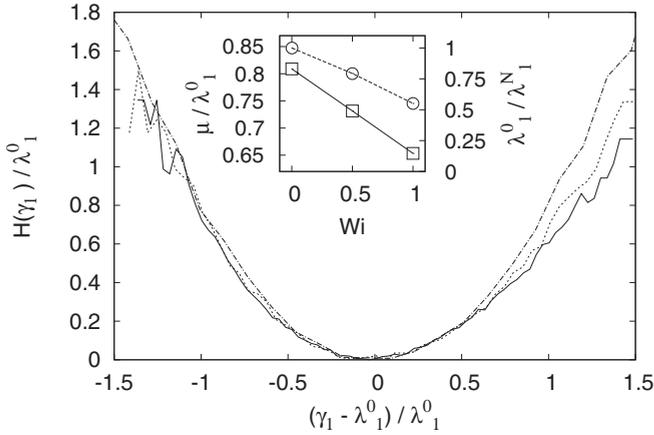


FIG. 4. Comparison between the Cramér functions of the stretching rate  $\gamma_1$  computed at  $Wi = 0$  (solid line),  $Wi = 0.5$  (dashed line), and  $Wi = 1$  (dash-dot). Inset: first Lagrangian Lyapunov exponent  $\lambda_1^0$  (circles) and width  $\mu$  (squares) of the Cramér function (see text) as a function of  $Wi$ . The Lyapunov exponents are compared with the Newtonian value  $\lambda_1^N$ .

(and therefore strong viscoelastic effects) can occur also when  $Wi \lesssim 1$ .

Detailed information on the fluctuations of the stretching rates can be obtained from the statistics of the finite time Lyapunov exponents (FTLEs)  $\gamma_i$ . The FTLEs are defined via the exponential growth rate during a finite time  $T$  of an infinitesimal  $M$ -dimensional volume as  $\sum_{i=1}^M \gamma_i = (1/T) \ln[V^M(T)/V^M(0)]$  [24]. From the definition of the Lyapunov exponents it follows that  $\lim_{T \rightarrow \infty} \gamma_i^T = \lambda_i$ . A large deviation approach suggests that the probability density function (PDF) of the largest stretching rate  $\gamma_1$  measured over a long time  $T \gg 1/\lambda_1$  takes the asymptotic form  $P_T(\gamma_1) \sim N(t) \exp[-H(\gamma_1)T]$  where the Cramér function  $H(\gamma_1)$  is convex and obeys the conditions  $H(\lambda_1) = 0$ ,  $H'(\lambda_1) = 0$ . We computed the Cramér function for the Lagrangian FTLE for the Newtonian case and the two viscoelastic cases. In the inset of Fig. 4 we plotted the average of the stretching rates (i.e., the first Lagrangian Lyapunov exponent of the flow  $\lambda_1^0$ ) and the rescaled variance  $\mu = T \langle \gamma_1^2 \rangle$ , for the three values of  $Wi$  that we considered. The decrease of the Lyapunov exponent (rescaled with the Newtonian value  $\lambda_1^N$  for comparison) gives a measure of the decrease in the chaoticity of the flow, due to the action of polymers. On the other hand, we also observe a decrease in the relative variance  $\mu/\lambda_1^0$ , which implies that polymer feedback induces also a reduction of the fluctuations of stretching rates. Inspection of the main panel of Fig. 4, however, shows that fluctuations are not reduced uniformly. Indeed, the shape of  $P(\gamma_1)$  changes when polymers are added. As is evident in Fig. 4, elasticity has the effect of raising the right branch of the Cramér function, while the left one is comparatively less affected. Given the definition of  $H(\gamma_1)$ , this amounts to a relative suppression of positive fluctuations in the stretching rate: as one could expect, polymers have a larger (negative) feedback on events of larger stretching.

The effect of polymers on Lyapunov exponents and the Lagrangian nature of the latter suggests the introduction of

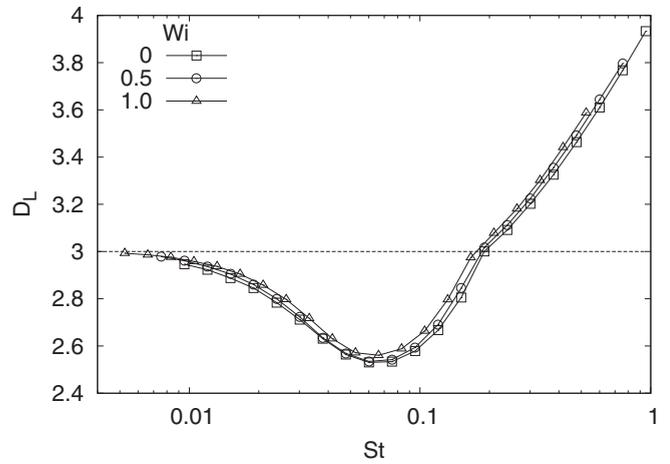
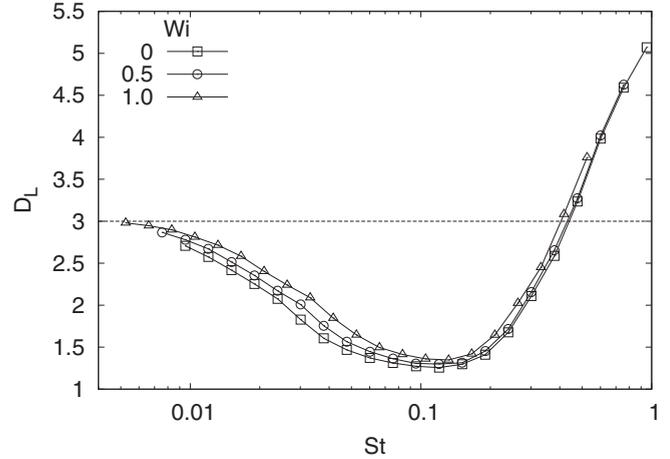


FIG. 5. Lyapunov dimension for light (upper panel) and heavy (lower panel) particles plotted as a function of  $St = \tau_s \lambda_1^0$ . Different lines correspond to the different Weissenberg numbers with symbols as in Fig. 2.

the dimensionless Stokes number defined as  $St = \tau_s \lambda_1^0$ , which depends on  $Wi$  by the dependence of  $\lambda_1^0$  shown in Fig. 4. Figure 5 shows the Lyapunov dimension  $D_L$  for both heavy and light particles as a function of  $St$ . It is evident that, with respect to Fig. 2, the collapse of the curves at different  $Wi$  is improved. In particular, the minimum of the fractal dimension (which corresponds to maximum clustering) occurs almost for the same  $St$  number. Still, some differences are observable, in particular for small  $St$  in the case of light particles. This can be understood by the following argument. Bubbles, at variance with heavy particles, have the tendency to concentrate on filaments of high vorticity. Indeed, while the minimal dimension for heavy particles is about 2.5 (at  $St \simeq 0.1$ ), for light particles at maximal clustering it becomes as small as 1.26. Vortex filaments correspond to quasi-one-dimensional regions of intense stretching, in the direction longitudinal to the vortex, which give major contributions to the right tail of the Cramér function. As shown in Fig. 4, the effects of polymers on the distribution of Lyapunov exponent is more evident in this region of strong fluctuations, where the distribution does not rescale with  $\lambda_1^0$ . It is therefore not surprising that also the effects on clustering of light particles cannot be completely

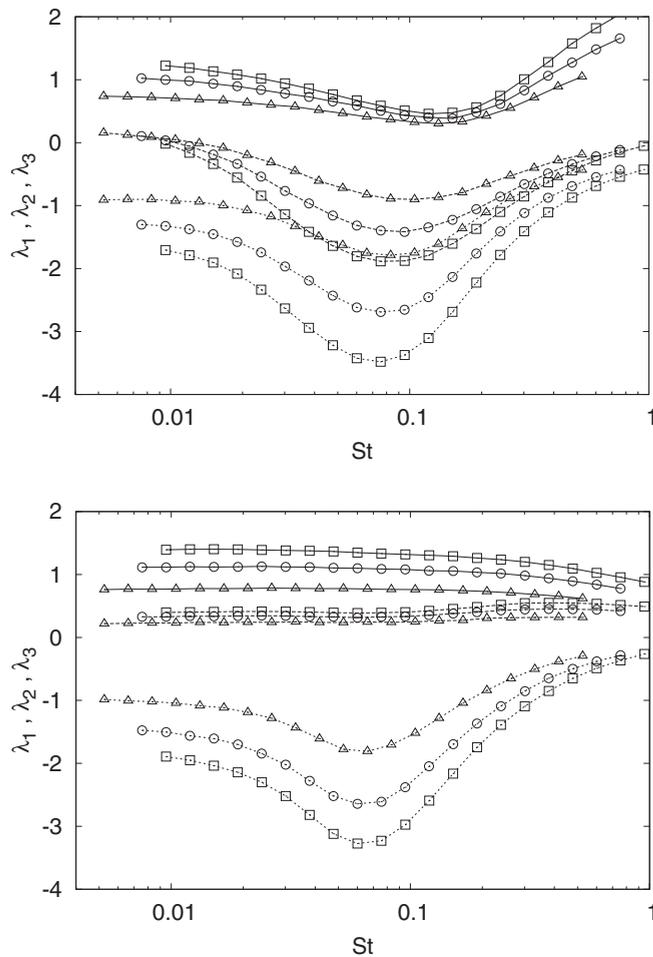


FIG. 6. The first three Lyapunov exponents for light ( $\beta = 3$ , upper panel) and heavy ( $\beta = 0$ , lower panel) particles, at different  $Wi$ . Continuous, dashed, and dotted lines represent the first, second, and third Lyapunov exponents, while symbols correspond to different  $Wi$  as in Fig. 2.

absorbed in the rescaling of  $\tau_S$  with the mean stretching rate  $\lambda_1^0$ .

As the fractal dimension is given by a combination of the Lyapunov exponents, in order to better understand the differences on light and heavy particles, in Fig. 6 we show the first three Lyapunov exponents as a function of  $St$ . The first

observation is that bubbles, at variance with heavy particles, exhibit negative values of  $\lambda_2$  consistently with the lower value of  $D_L$  and the tendency of light particles to concentrate towards vortex filaments.

The first Lyapunov exponent decreases with  $Wi$  for any value of  $St$ , thus indicating that the phenomenon of chaos reduction, already discussed for the case of Lagrangian tracers, is generic also for inertial particles. On the contrary, the second Lyapunov exponent shows a different behavior for light and heavy particles: it increases for the former but slightly decreases for the latter. Figure 6 shows that the effect of polymers is not a simple rescaling of the Lyapunov spectrum, which would trivially keep the dimension  $D_L$  unchanged. From this point of view, the almost perfect rescaling of the Lyapunov dimensions shown in Fig. 5 is quite surprising and arises as the result of compensations of different effects.

#### IV. CONCLUSIONS

In conclusion, we investigated the clustering properties of inertial (heavy and light) particles in a turbulent viscoelastic fluid. The main effect of polymers on turbulent flows is to counteract small-scale fluctuations and to reduce its chaoticity. Quantitatively, this results in a decrease in the first Lyapunov exponent of the flow, which, in turn, affects clustering of inertial particles. The latter can be quantified by means of the fractal (Lyapunov) dimension of particle distributions. Although the effects of polymers on the particle Lyapunov exponents are complex and qualitatively different for light and heavy particles, the overall effect on fractal dimension is relatively simple and can be rephrased in the rescaling of the characteristic time of the flow. Indeed, when particle inertia is parametrized by the Stokes number  $St$  defined with the Lyapunov time of the flow, one can approximately rescale the curves  $D_L(St)$  at all  $Wi$ . In contrast, as polymers do not affect large-scale properties of the flow, a parametrization of particle inertia based on integral time scales would not show a collapse of the curves  $D_L(St)$  at different  $Wi$ . As a consequence, any prediction of particle clustering in turbulent polymeric solutions requires an accurate estimate of small-scale stretching rates.

#### ACKNOWLEDGMENT

We acknowledge support from the European Cooperation in Science and Technology (EU COST) Action MP0806.

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