



# Stefano Gariazzo



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Horizon 2020  
European Union funding  
for Research & Innovation

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## Direct detection of relic neutrinos with PTOLEMY

*A focus on the PTOLEMY proposal*

26/04/2018 - Seminar at Max-Planck-Institut für Physik - München (DE)

## 1 *Cosmic neutrino background*

## 2 *Direct detection of relic neutrinos*

- Proposed methods
- Neutrino Capture

## 3 *Relic neutrino clustering in the Milky Way*

- N-one-body simulations
- Results for the local neutrino overdensity
- Systematics

## 4 *PTOLEMY*

- The experiment
- Simulations
- Perspectives

## 5 *Beyond the standard: light sterile neutrinos*

## 6 *Conclusions*

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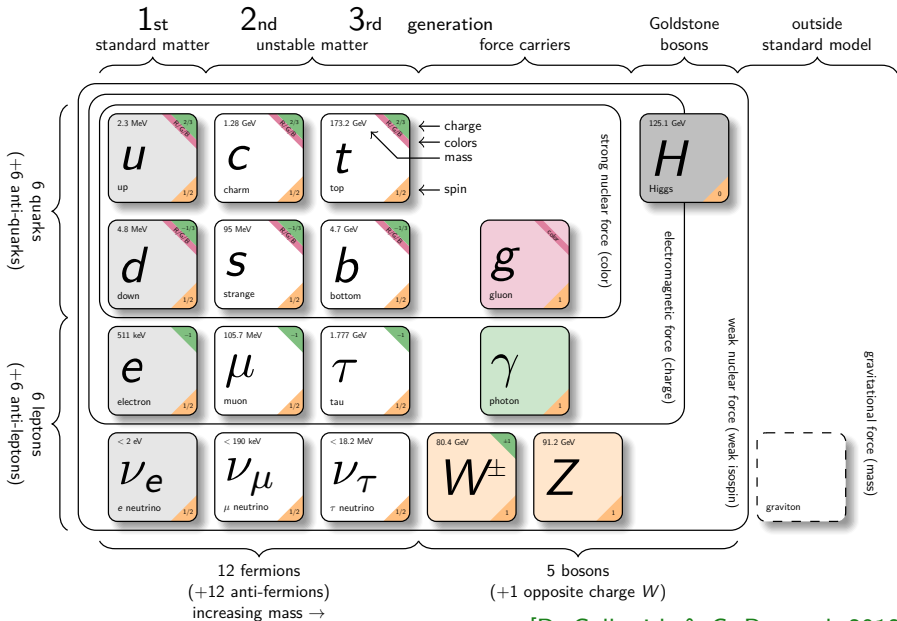
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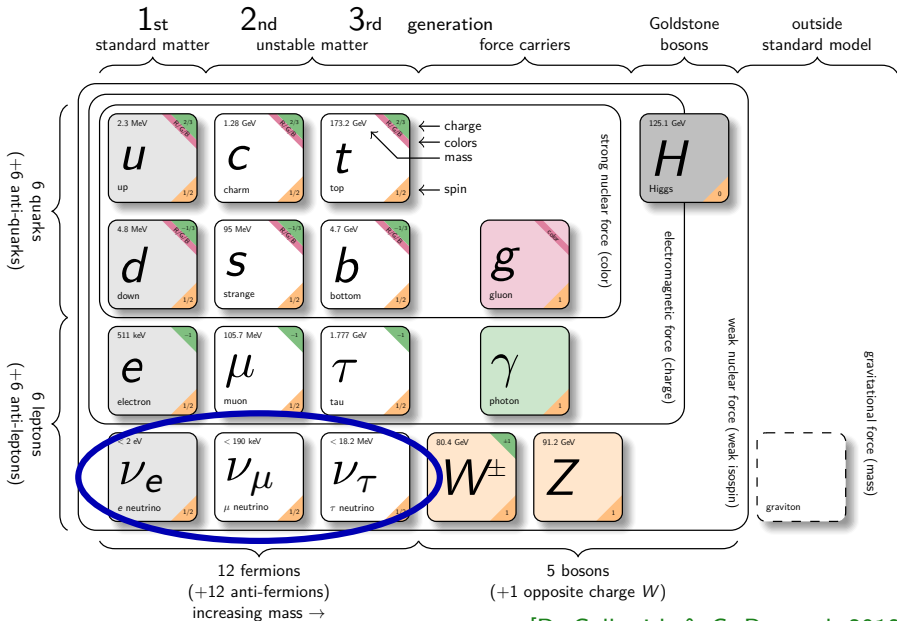
## 6 *Conclusions*

# The Standard Model of Particle Physics



[D. Galbraith & C. Burgard, 2012]

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# Neutrinos and their masses

## Normal ordering (NO)

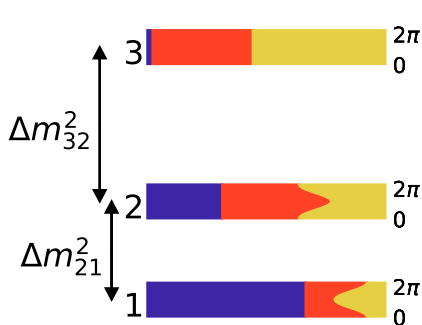
$$m_1 < m_2 < m_3$$

$$\sum m_k \gtrsim 0.06 \text{ eV}$$

  $\nu_e$

  $\nu_\mu$

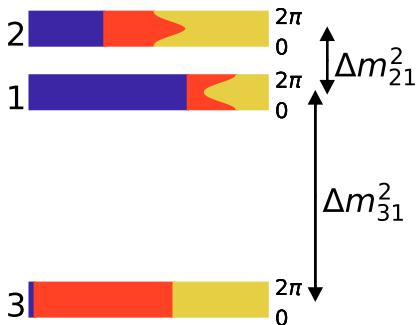
  $\nu_\tau$



## Inverted ordering (IO)

$$m_3 < m_1 < m_2$$

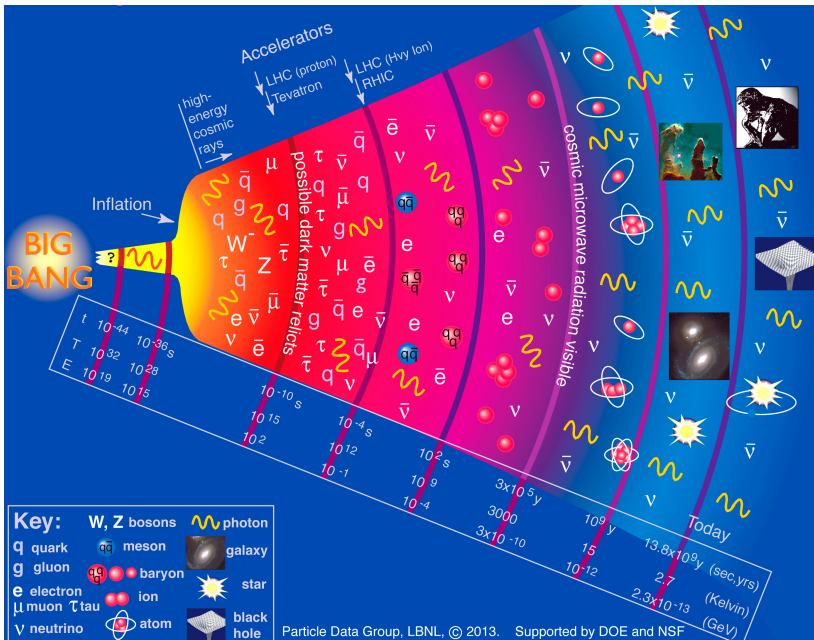
$$\sum m_k \gtrsim 0.1 \text{ eV}$$



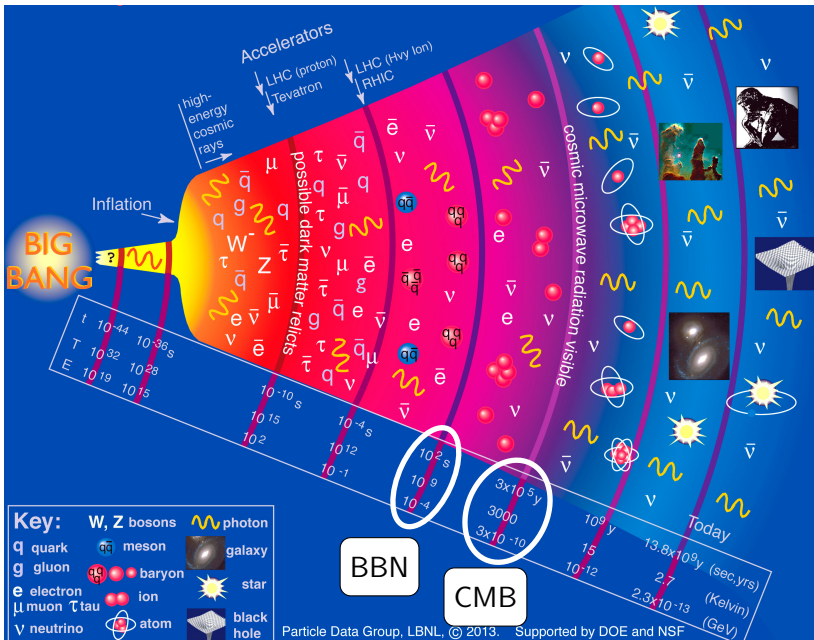
Absolute scale unknown!

Model independent upper bound ( $\beta$  decay, Mainz/Troitsk):  $m_{\nu_e} \lesssim 2 \text{ eV}$

# History of the universe

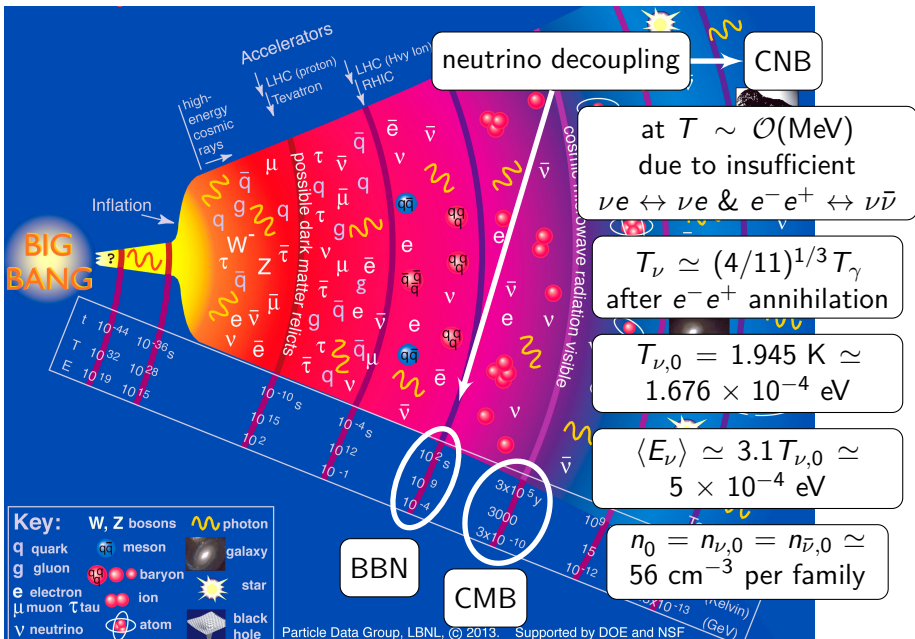


# History of the universe





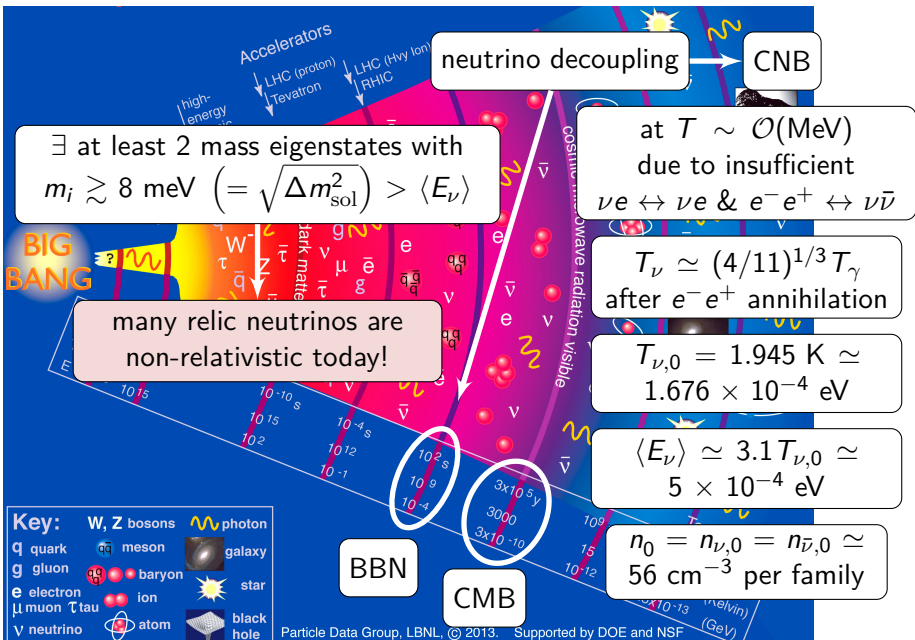
# History of the universe



**Key:**

W, Z bosons	photon
q quark	meson
g gluon	baryon
e electron	ion
μ muon	atom
τ tau	galaxy
ν neutrino	star
	black hole

# History of the universe



Radiation energy density  $\rho_r$  in the early Universe:

$$\rho_r = \left[ 1 + \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_\gamma = [1 + 0.2271 N_{\text{eff}}] \rho_\gamma$$

$\rho_\gamma$  photon energy density,  $7/8$  is for fermions,  $(4/11)^{4/3}$  due to photon reheating after neutrino decoupling

- $N_{\text{eff}} \rightarrow$  all the radiation contribution not given by photons
- $N_{\text{eff}} \simeq 1$  correspond to a single family of active neutrino, in equilibrium in the early Universe
- Active neutrinos:  
 $N_{\text{eff}} = 3.046$  [Mangano et al., 2005] (damping factors approximations)  $\sim$   
 $N_{\text{eff}} = 3.045$  [de Salas et al., 2016] (full collision terms)  
due to not instantaneous decoupling for the neutrinos
- + Non Standard Interactions:  $3.040 < N_{\text{eff}} < 3.059$  [de Salas et al., 2016]

Observations:  $N_{\text{eff}} \simeq 3.04 \pm 0.2$  [Planck 2015]

Indirect probe of cosmic neutrino background!

# Cosmological mass bounds

Cosmology can constrain also  $M_\nu = \sum m_\nu$

standard

based on  $\Lambda$ CDM model

[Planck Collaboration 2015, AA594 (2016) A13]

$$M_\nu < 0.72 \text{ eV} \text{ (PlanckTT+lowP)}$$

$$95\% M_\nu < 0.21 \text{ eV} \text{ (+BAO)}$$

$$95\% M_\nu < 0.49 \text{ eV} \text{ (PlanckTTTEEE+lowP)}$$

$$M_\nu < 0.17 \text{ eV} \text{ (+BAO)}$$

see also:

[Vagnozzi et al., PRD96 (2017) 123503]

[Planck Collaboration 2016, AA596 (2016) A107]

$$M_\nu < 0.59 \text{ eV} \text{ (PlanckTT+SimLow)}$$

$$95\% M_\nu < 0.17 \text{ eV} \text{ (+BAO)}$$

$$95\% M_\nu < 0.34 \text{ eV} \text{ (PlanckTTTEEE+SimLow)}$$

$$M_\nu < 0.14 \text{ eV} \text{ (+BAO)}$$

(SimLow not public yet)

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Modified gravity?

[Barreira et al., 2014]:

$\nu$ Galileon

$$68\% M_\nu = 0.98 \pm 0.24 \text{ eV} \text{ (CMB)}$$

$$68\% M_\nu = 0.65 \pm 0.11 \text{ eV} \text{ (CMB+BAO)}$$

[Bellomo et al., 2016]:

95% Horndeski scalar-tensor

$$95\% M_\nu < 0.76 \text{ eV}$$

[Dirian, 2017]:

68% nonlocal gravity

$$68\% M_\nu = 0.21 \pm 0.08 \text{ eV}$$

[Peirone et al, 2017]:

68% Covariant Galileon

$$68\% M_\nu = 0.8 \pm 0.1 \text{ eV}$$

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How to directly detect non-relativistic neutrinos?

Stodolsky effect

[Stodolsky, 1974][Duda et al., 2001]

(only if there is  
lepton asymmetry)

energy splitting of  $e^-$  spin states due to  
coherent scattering with relic neutrinos



torque on  $e^-$  in lab rest frame



use a ferromagnet to build detector



measure torque with a torsion balance

# Direct detection - proposed methods - Stodolsky effect

How to directly detect non-relativistic neutrinos?

Stodolsky effect

[Stodolsky, 1974][Duda et al., 2001]

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energy splitting of  $e^-$  spin states due to  
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torque on  $e^-$  in lab rest frame



use a ferromagnet to build detector



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expected  $a_\nu \simeq \mathcal{O}(10^{-26}) \text{ cm/s}^2$



$a_{\text{exp}} \simeq \mathcal{O}(10^{-12}) \text{ cm/s}^2$



# Direct detection - proposed methods - at interferometers

How to directly detect non-relativistic neutrinos?

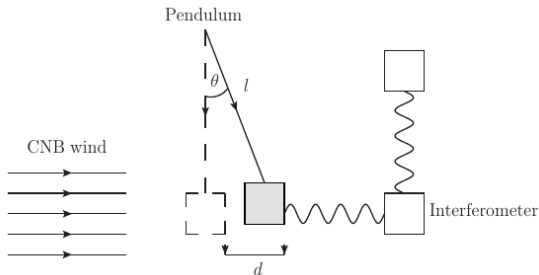
At interferometers

[Domcke et al., 2017]

coherent scattering of relic  $\nu$  on a pendulum



measure oscillations at interferometers



# Direct detection - proposed methods - at interferometers

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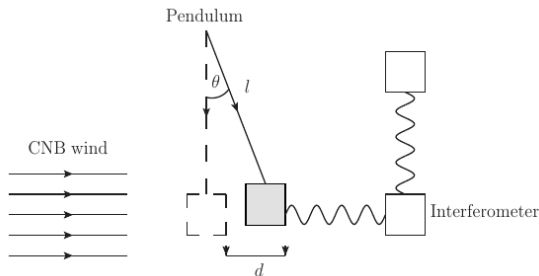
At interferometers

[Domcke et al., 2017]

coherent scattering of relic  $\nu$  on a pendulum



measure oscillations at interferometers



expected

$$10^{-33} \lesssim a_\nu / (\text{cm/s}^2) \lesssim 10^{-27}$$

$$a_{\text{LIGO/Virgo}} \simeq 10^{-16} \text{ cm/s}^2$$

# Direct detection - proposed methods - Capture (I)

How to directly detect non-relativistic neutrinos?

Remember that  
 $\langle E_\nu \rangle \simeq \mathcal{O}(10^{-4})$  eV today

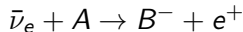


a process without energy  
threshold is necessary

(anti)neutrino capture on  
electron-capture-decaying nuclei

[Cocco et al., 2009]

electron capture (EC):  $e^- + A^+ \rightarrow \nu_e + B^*$   
( $e^-$  from inner level)



must have very specific  $Q$  value  
in order to avoid EC back-  
ground and have no threshold



specific energy conditions required

but

$Q$  value depends on  
ionization fraction!

# Direct detection - proposed methods - Capture (I)

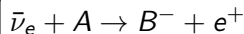
How to directly detect non-relativistic neutrinos?

Remember that  $\langle E_\nu \rangle \simeq \mathcal{O}(10^{-4})$  eV today  $\longrightarrow$  a process without energy threshold is necessary

(anti)neutrino capture on electron-capture-decaying nuclei

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electron capture (EC):  $e^- + A^+ \rightarrow \nu_e + B^*$   
( $e^-$  from inner level)



must have very specific  $Q$  value in order to avoid EC background and have no threshold



specific energy conditions required

but

$Q$  value depends on ionization fraction!

process useful only “if specific conditions on the  $Q$ -value are met or significant improvements on ion storage rings are achieved”

# A viable method - Capture (II)

How to directly detect non-relativistic neutrinos?

Remember that  
 $\langle E_\nu \rangle \simeq \mathcal{O}(10^{-4})$  eV today



a process without energy  
 threshold is necessary

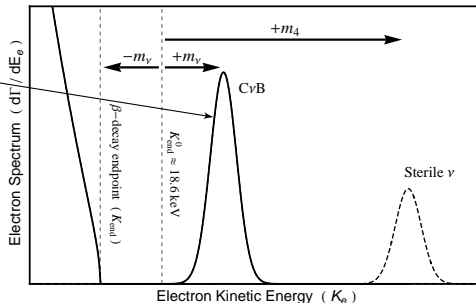
[Weinberg, 1962]: neutrino capture in  $\beta$ -decaying nuclei  $\nu + n \rightarrow p + e^-$

Main background:  $\beta$  decay  $n \rightarrow p + e^- + \bar{\nu}$ !

signal is a peak at  $2m_\nu$   
 above  $\beta$ -decay endpoint

only with a lot of material

need a very good energy resolution



best element has highest  $\sigma_{\text{NCB}}(v_\nu/c) \cdot t_{1/2}$

to minimize contamination from  $\beta$  decay background

Isotope	Decay	$Q_\beta$ (keV)	Half-life (s)	$\sigma_{\text{NCB}}(v_\nu/c)$ ( $10^{-41}$ cm <sup>2</sup> )
<sup>3</sup> H	$\beta^-$	18.591	$3.8878 \times 10^8$	$7.84 \times 10^{-4}$
<sup>63</sup> Ni	$\beta^-$	66.945	$3.1588 \times 10^9$	$1.38 \times 10^{-6}$
<sup>93</sup> Zr	$\beta^-$	60.63	$4.952 \times 10^{13}$	$2.39 \times 10^{-10}$
<sup>106</sup> Ru	$\beta^-$	39.4	$3.2278 \times 10^7$	$5.88 \times 10^{-4}$
<sup>107</sup> Pd	$\beta^-$	33	$2.0512 \times 10^{14}$	$2.58 \times 10^{-10}$
<sup>187</sup> Re	$\beta^-$	2.64	$1.3727 \times 10^{18}$	$4.32 \times 10^{-11}$
<sup>11</sup> C	$\beta^+$	960.2	$1.226 \times 10^3$	$4.66 \times 10^{-3}$
<sup>13</sup> N	$\beta^+$	1198.5	$5.99 \times 10^2$	$5.3 \times 10^{-3}$
<sup>15</sup> O	$\beta^+$	1732	$1.224 \times 10^2$	$9.75 \times 10^{-3}$
<sup>18</sup> F	$\beta^+$	633.5	$6.809 \times 10^3$	$2.63 \times 10^{-3}$
<sup>22</sup> Na	$\beta^+$	545.6	$9.07 \times 10^7$	$3.04 \times 10^{-7}$
<sup>45</sup> Ti	$\beta^+$	1040.4	$1.307 \times 10^4$	$3.87 \times 10^{-4}$

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<sup>3</sup>H better because the cross section ( $\rightarrow$  event rate) is higher



PonTecorvo Observatory for Light, Early-universe, Massive-neutrino Yield (PTOLEMY)

expected resolution  $\Delta \simeq 0.1 \text{ eV?}$   
 $0.05 \text{ eV?}$

built mainly for CNB

can probe  $m_\nu \simeq 1.4\Delta \simeq 0.1 \text{ eV}$

$M_T = 100 \text{ g of atomic } ^3\text{H}$

(see later)

$$\Gamma_{\text{CNB}} = \sum_{i=1}^3 |U_{ei}|^2 [n_i(\nu_{h_R}) + n_i(\nu_{h_L})] N_T \bar{\sigma} \sim \mathcal{O}(10) \text{ yr}^{-1}$$

$N_T$  number of  $^3\text{H}$  nuclei in a sample of mass  $M_T$      $\bar{\sigma} \simeq 3.834 \times 10^{-45} \text{ cm}^2$      $n_i$  number density of neutrino  $i$

(without clustering)

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(see later)

enhancement from  $\nu$  clustering in the galaxy?

$$\Gamma_{\text{CNB}} = \sum_{i=1}^3 |U_{ei}|^2 [n_i(\nu_{hR}) + n_i(\nu_{hL})] N_T \bar{\sigma} \sim \mathcal{O}(10) \text{ yr}^{-1}$$

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# $\nu$ clustering with N-one-body simulations

Milky Way (MW) matter attracts neutrinos!

clustering →

$$\Gamma_{\text{CNB}} = \sum_{i=1}^3 |U_{ei}|^2 f_c(m_i) [n_{i,0}(\nu_{hR}) + n_{i,0}(\nu_{hL})] N_T \bar{\sigma}$$

$f_c(m_i) = n_i/n_{i,0}$  clustering factor → How to compute it?

Idea from [Ringwald & Wong, 2004] → **N-one-body** =  $N \times$  single  $\nu$  simulations

Assumptions:

- $\nu$ s are independent
- only gravitational interactions
- $\nu$ s do not influence matter evolution ( $\rho_\nu \ll \rho_{\text{DM}}$ )

→ each  $\nu$  evolved from initial conditions at  $z = 3$

→ spherical symmetry, coordinates  $(r, \theta, p_r, l)$

→ need  $\rho_{\text{matter}}(z) = \rho_{\text{DM}}(z) + \rho_{\text{baryon}}(z)$

how many  $\nu$ s is "N"?

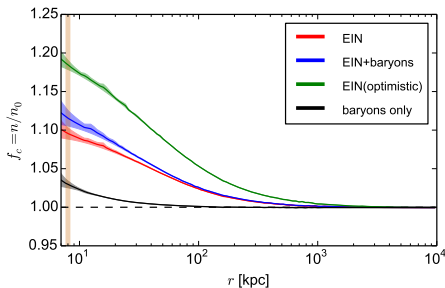
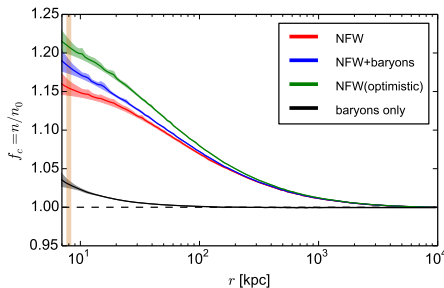
→ must sample all possible  $r, p_r, l$

→ must include all possible  $\nu$ s that reach the MW  
(fastest ones may come from  
**several (up to  $\mathcal{O}(100)$ ) Mpc!**)

given  $N \nu$ :

→ weigh each neutrinos

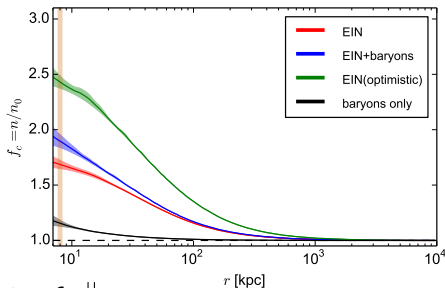
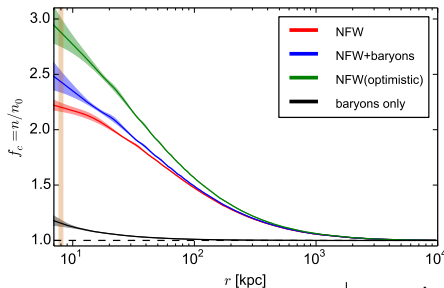
→ reconstruct final density profile with kernel method from [Merritt&Tremblay, 1994]



masses	ordering	matter halo	overdensity $f_c$		$\Gamma_{\text{tot}} \text{ (yr}^{-1}\text{)}$
			$f_1 \simeq f_2$	$f_3$	
any	any	any	no clustering		4.06
$m_3 = 60 \text{ meV}$	NO	NFW(+bar)	$\sim 1$	1.15 (1.18)	4.07 (4.08)
		NFW optimistic		1.21	4.08
		EIN(+bar)		1.09 (1.12)	4.07 (4.07)
		EIN optimistic		1.18	4.08
$m_1 \simeq m_2 = 60 \text{ meV}$	IO	NFW(+bar)	1.15 (1.18)	$\sim 1$	4.66 (4.78)
		NFW optimistic	1.21		4.89
		EIN(+bar)	1.09 (1.12)		4.42 (4.54)
		EIN optimistic	1.18		4.78

ordering dependence from  $\Gamma_{\text{CNB}} = \sum_{i=1}^3 |U_{ei}|^2 f_i [n_i(\nu_{hR}) + n_i(\nu_{hL})] N_T \bar{\sigma}$

$\Rightarrow$  minimal mass detectable by PTOLEMY if  $\Delta \simeq 100\text{--}150$  meV



matter halo	overdensity $f_c$ $f_1 \simeq f_2 \simeq f_3$	$\Gamma_{\text{tot}}$ ( $\text{yr}^{-1}$ )
any	no clustering	4.06
NFW(+bar)	2.18 (2.44)	8.8 (9.9)
NFW optimistic	2.88	11.7
EIN(+bar)	1.68 (1.87)	6.8 (7.6)
EIN optimistic	2.43	9.9

no ordering dependence:  $m_1 \simeq m_2 \simeq m_3 \Rightarrow f_1 \simeq f_2 \simeq f_3$

# Additional clustering due to other galaxies

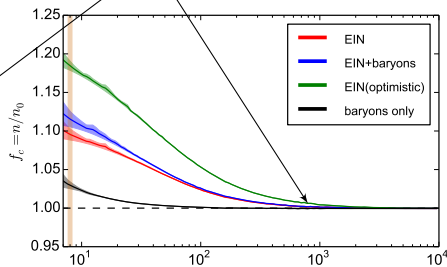
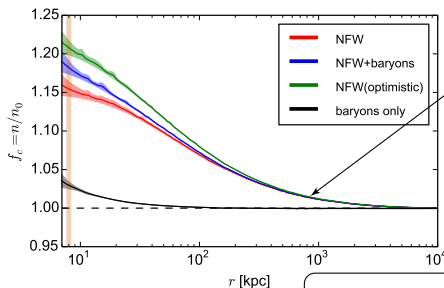
nearest galaxies: various MW **satellites**

with  $M_{\text{sat}} \ll M_{\text{MW}} \longrightarrow$  negligibly small  $\nu$  halo

nearest big galaxy:

Andromeda

$$M_{\text{Andromeda}} = M_{\text{MW}} \times \mathcal{O}(1) - d_{\text{Andromeda}} \simeq 800 \text{ kpc}$$



$m_{\text{heaviest}} \simeq 60 \text{ meV}$

$f_c$  increased of  $\lesssim 0.03$

# Additional clustering due to other galaxies

nearest galaxies: various MW **satellites**

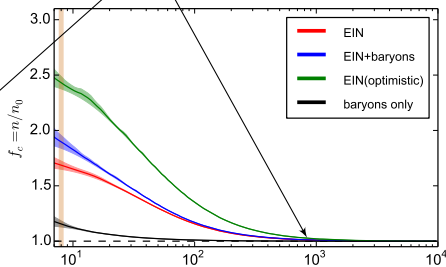
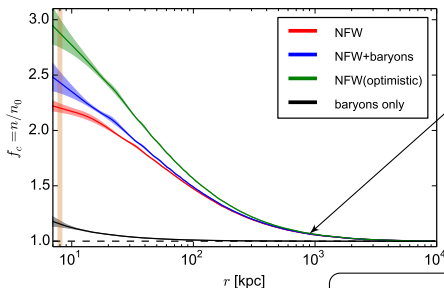
with  $M_{\text{sat}} \ll M_{\text{MW}} \longrightarrow$

negligibly small  $\nu$  halo

nearest big galaxy:

Andromeda

$$M_{\text{Andromeda}} = M_{\text{MW}} \times \mathcal{O}(1) - d_{\text{Andromeda}} \simeq 800 \text{ kpc}$$



$m_\nu \simeq 150 \text{ meV}$

$f_c$  increased of  $\lesssim 0.1$

(halo is less diffuse for higher  $\nu$  masses)



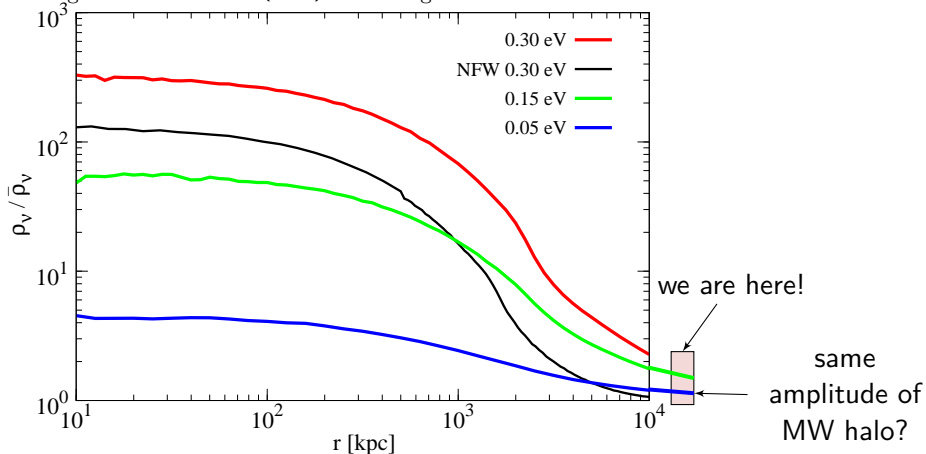
# Additional clustering due to Virgo cluster

nearest galaxy cluster:

Virgo cluster

very wide  $\nu$  halo, may reach Earth

$$M_{\text{Virgo}} = M_{\text{MW}} \times \mathcal{O}(10^3) \text{ — } d_{\text{Virgo}} \simeq 16 \text{ Mpc}$$



[Villaescusa-Navarro et al., JCAP 1106 (2011) 027]

## 1 *Cosmic neutrino background*

## 2 *Direct detection of relic neutrinos*

- Proposed methods
- Neutrino Capture

## 3 *Relic neutrino clustering in the Milky Way*

- N-one-body simulations
- Results for the local neutrino overdensity
- Systematics

## 4 **PTOLEMY**

- The experiment
- Simulations
- Perspectives

## 5 *Beyond the standard: light sterile neutrinos*

## 6 *Conclusions*

# Status of PTOLEMY

[Cocco et al., 2007] theoretical basis for the experiment

[Betts et al., arxiv:1307.4738] first proposal – Princeton based

Sept 2017: first Letter of Intent (LoI) submitted to LNGS

11–12 Dec '17: “Kick-off meeting of the PTOLEMY project”, at LNGS  
<https://agenda.infn.it/conferenceDisplay.py?ovw=True&confId=14222>

summary of current status

reunite interested people  
from different communities

Request for approval as experiment at LNGS in April 2018  
(LNGS scientific committee meeting)

LNGS = Laboratori Nazionali del Gran Sasso (Gran Sasso National Labs)

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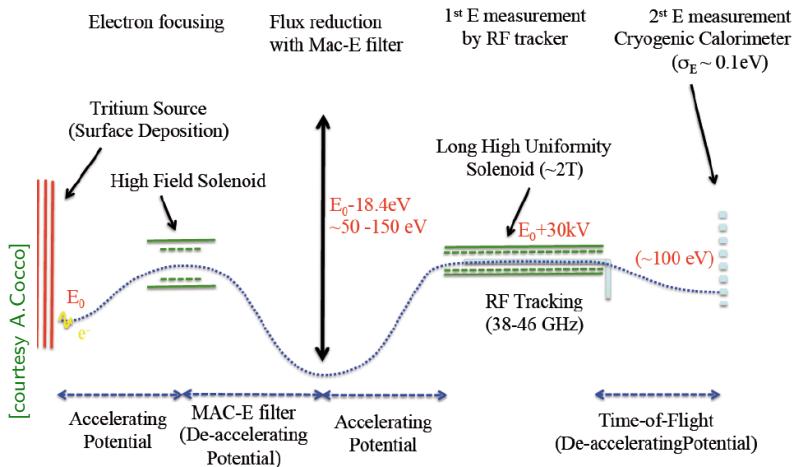
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under process

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scope of PTOLEMY:

measure electron spectrum near  $^3\text{H}$   $\beta$ -decay endpoint  
(same as neutrino mass experiments, e.g. KATRIN)

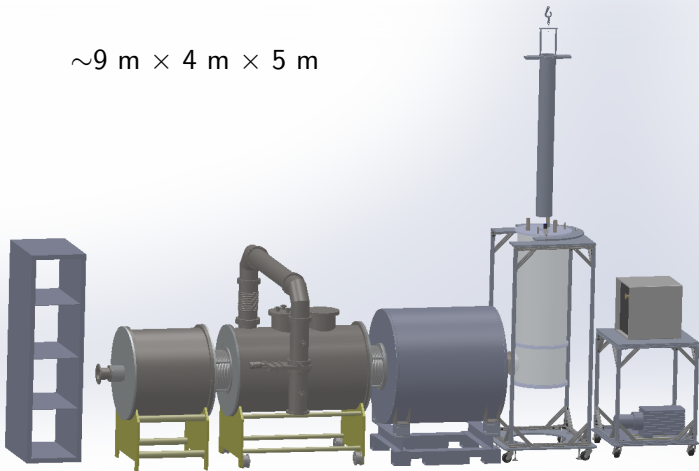


# PTOLEMY pipeline

scope of PTOLEMY:

measure electron spectrum near  ${}^3\text{H}$   $\beta$ -decay endpoint  
(same as neutrino mass experiments, e.g. KATRIN)

$\sim 9 \text{ m} \times 4 \text{ m} \times 5 \text{ m}$



## The source - graphene

source of  $^3\text{H}$  in **gas form** (KATRIN-like) has column density  $\sim 1 \mu\text{g cm}^{-2}$   
source tube is 10 m, for  $\sim \mathcal{O}(100) \mu\text{g}$  of  $^3\text{H}$

not practical solution for required 100 g of  $^3\text{H}$ !

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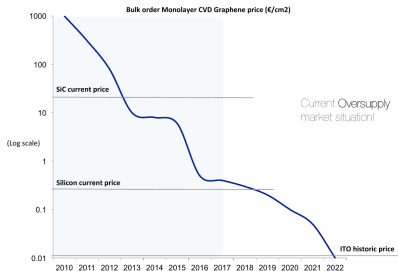
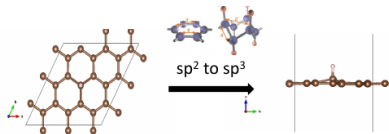
not practical solution for required 100 g of  $^3\text{H}$ !

partially existing technology: hydrogenated graphene

layers

Graphene layers are cheap  
(commercial use in displays)

hydrogenation under study  
at Princeton



[courtesy A.Zurutuza (Graphenea)]



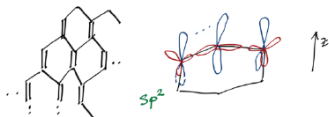
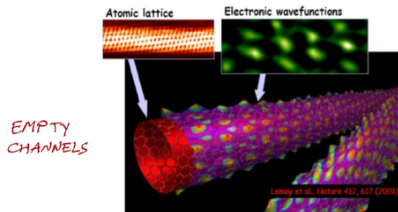
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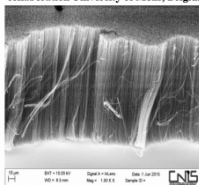
CNT Target



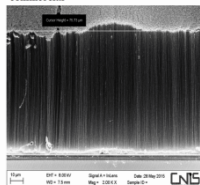
nanotubes

[courtesy G. Cavoto]

collaboration University of Mons, Belgium



commercial



# MAC-E filter

Background flux is too high for microcalorimeter. Must be reduced!

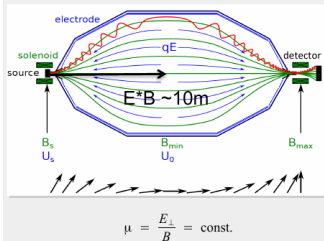
Magnetic Adiabatic Collimation with Electrostatic filter

[KATRIN]

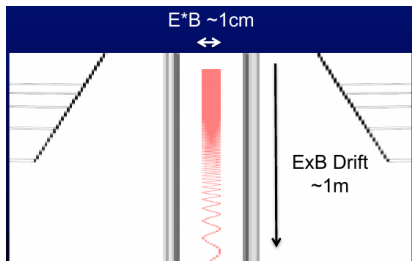
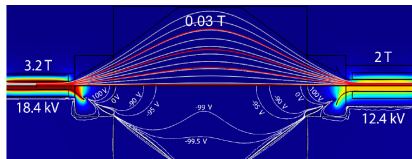


MAC-E filter technique

Magnetic Adiabatic Collimation with Electrostatic filter  
Picard et al., NIM B63 (1992) 345



[PTOLEMY]:  $E \times B$  filter  
(must enter in GS labs)



[courtesy C. Tully]

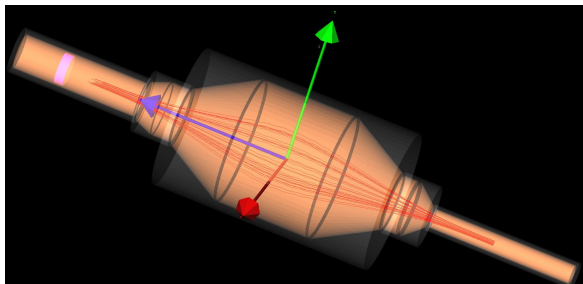
## RF tracking

first energy determination with

RadioFrequency trigger, using  
Cyclotron Radiation Emission Spectroscopy (CRES)

see also [[Project 8, arxiv:1703.02037](#)]

can RF antenna be integrated in the MAC-E filter?



## Final energy determination with TES

Final energy determination needs  $\sigma_E \simeq 0.1$  eV or less!

Microcalorimetry with **T**ransition-**E**dge **S**ensors

TES: “A microcalorimeter  
made by a superconducting film  
operated in the temperature region  
between the normal and the superconducting state”

↙  
difficult readout

↘  
difficult temperature control

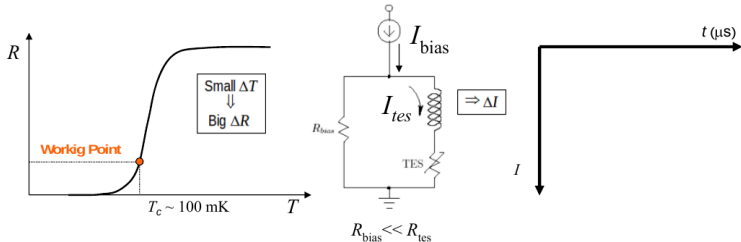
Same technology as in HOLMES experiment ( $\nu$  masses)

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Final energy determination needs  $\sigma_E \simeq 0.1$  eV or less!

Microcalorimetry with **T**ransition-**E**dge **S**ensors

[courtesy M.Ratjeri]

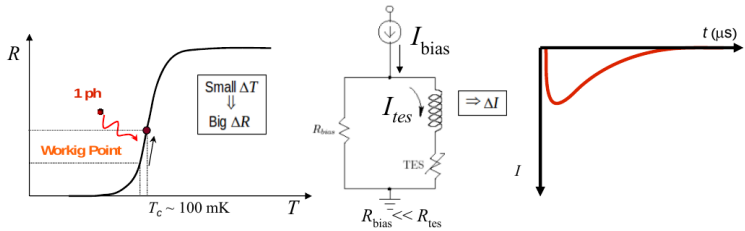


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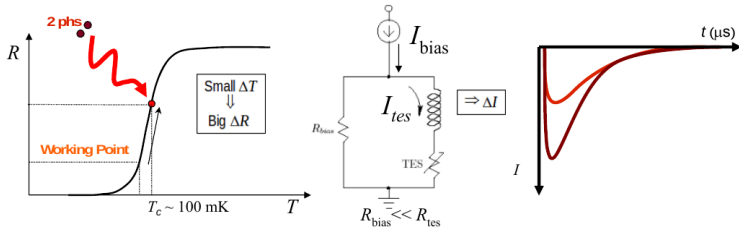
$\Delta T \Leftrightarrow \Delta R$  @ Voltage bias  $\Leftrightarrow \Delta I$

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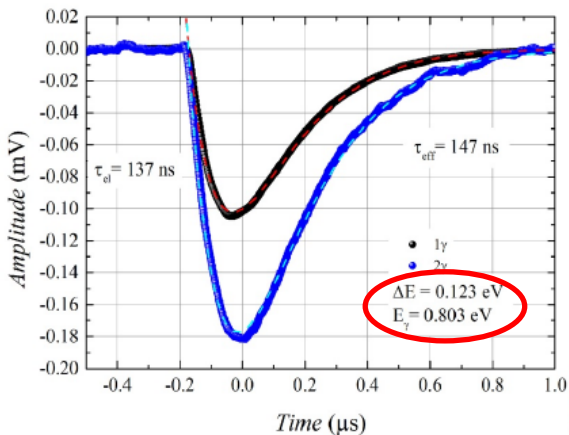
$\Delta T \Leftrightarrow \Delta R$  @ Voltage bias  $\Rightarrow \Delta I$

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Microcalorimetry with **T**ransition-**E**dge **S**ensors

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$\beta$  and Neutrino Capture spectra

$$\frac{d\tilde{\Gamma}_{\text{CNB}}}{dE_e}(E_e) = \frac{1}{\sqrt{2\pi}\sigma} \sum_{i=1}^{N_\nu} \bar{\sigma} N_T |U_{ei}|^2 f_{c,i} n_0 \times e^{-\frac{[E_e - (E_{\text{end}} + m_i + m_{\text{lightest}})]^2}{2\sigma^2}}$$

$$\frac{d\Gamma_\beta}{dE_e} = \frac{\bar{\sigma}}{\pi^2} N_T \sum_{i=1}^{N_\nu} |U_{ei}|^2 H(E_e, m_i)$$

$$\frac{d\tilde{\Gamma}_\beta}{dE_e}(E_e) = \frac{1}{\sqrt{2\pi}\sigma} \int_{-\infty}^{+\infty} dx \frac{d\Gamma_\beta}{dE_e}(x) \exp\left[-\frac{(E_e - x)^2}{2\sigma^2}\right]$$

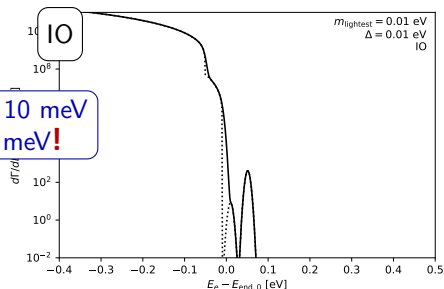
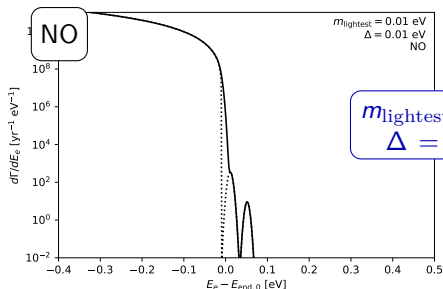
$\bar{\sigma}$  cross section,  $N_T$  number of tritium atoms in  $M_T = 100$  g,  $E_{\text{end}}$  endpoint,  $\sigma = \Delta/\sqrt{8 \ln 2}$  standard deviation

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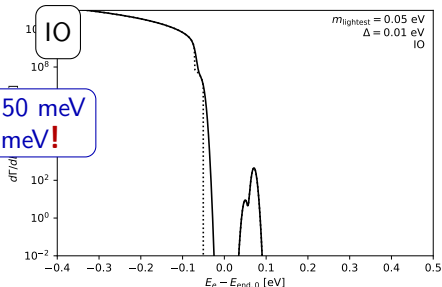
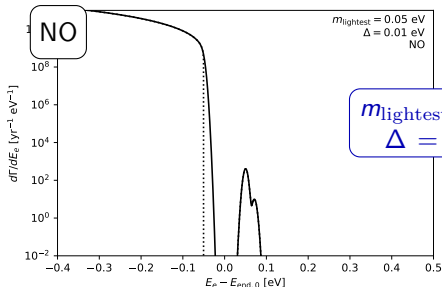
$m_{\text{lightest}} = 10$  meV  
 $\Delta = 10$  meV!

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$m_{\text{lightest}} = 50 \text{ meV}$   
 $\Delta = 10 \text{ meV!}$

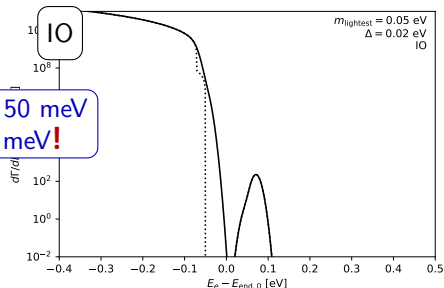
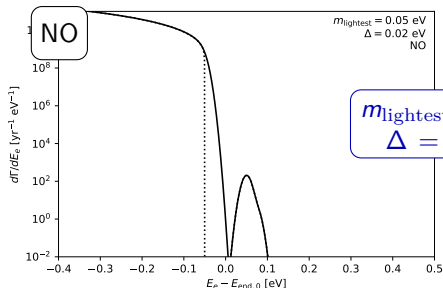
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$m_{\text{lightest}} = 50 \text{ meV}$   
 $\Delta = 20 \text{ meV!}$

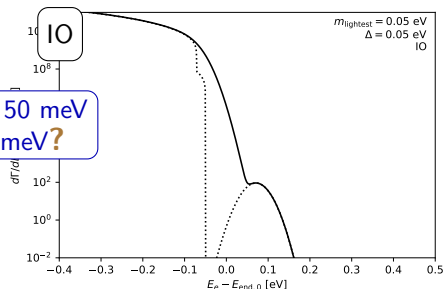
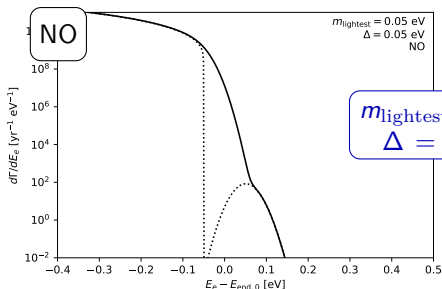
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Events in **bin**  $i$ , centered at  $E_i$ :

$$N_{\beta}^i = T \int_{E_i - \Delta/2}^{E_i + \Delta/2} \frac{d\tilde{\Gamma}_{\beta}}{dE_e} dE_e$$

$$N_{\text{CNB}}^i = T \int_{E_i - \Delta/2}^{E_i + \Delta/2} \frac{d\tilde{\Gamma}_{\text{CNB}}}{dE_e} dE_e$$

**fiducial** number of events:  $\hat{N}^i = N_{\beta}^i(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U}) + N_{\text{CNB}}^i(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U})$

add **background**  $\hat{N}_b = \hat{\Gamma}_b T$   
with  $\hat{\Gamma}_b \simeq 10^{-5}$  Hz

$$\longrightarrow N_t^i = \hat{N}^i + \hat{N}_b$$

$T$  exposure time –  $(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U})$  fiducial endpoint energy, masses, mixing matrix –  $\theta = (A_{\beta}, N_b, \Delta E_{\text{end}}, A_{\text{CNB}}, m_i, U)$

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$$N_{\text{th}}^i(\theta) = \mathbf{A}_{\beta} N_{\beta}^i(\hat{E}_{\text{end}} + \Delta \mathbf{E}_{\text{end}}, m_i, U) + \mathbf{A}_{\text{CNB}} N_{\text{CNB}}^i(\hat{E}_{\text{end}} + \Delta \mathbf{E}_{\text{end}}, m_i, U) + N_b$$

$T$  exposure time –  $(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U})$  fiducial endpoint energy, masses, mixing matrix –  $\theta = (A_{\beta}, N_b, \Delta \mathbf{E}_{\text{end}}, A_{\text{CNB}}, m_i, U)$



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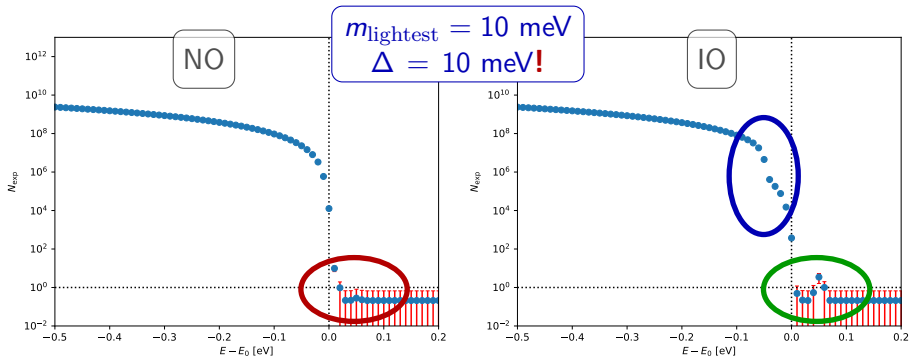
fit  $\longrightarrow$

$$\chi^2(\theta) = \sum_i \left( \frac{N_{\text{exp}}^i(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U}) - N_{\text{th}}^i(\theta)}{\sqrt{N_t^i}} \right)^2$$

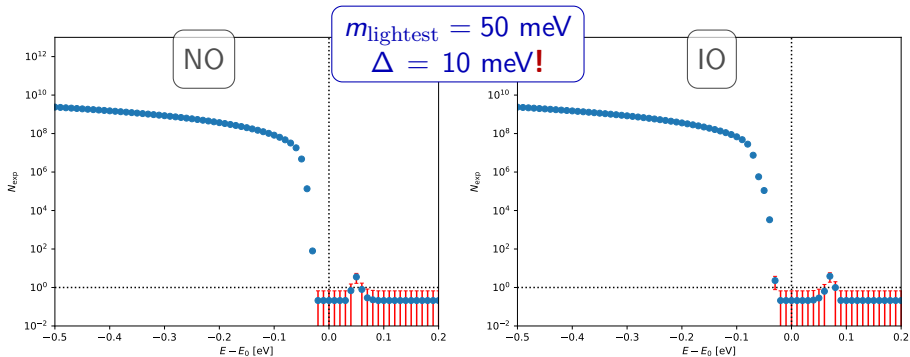
$$\text{or } \log \mathcal{L} = -\frac{\chi^2}{2}$$

$T$  exposure time –  $(\hat{E}_{\text{end}}, \hat{m}_i, \hat{U})$  fiducial endpoint energy, masses, mixing matrix –  $\theta = (A_{\beta}, N_b, \Delta \mathbf{E}_{\text{end}}, A_{\text{CNB}}, m_i, U)$

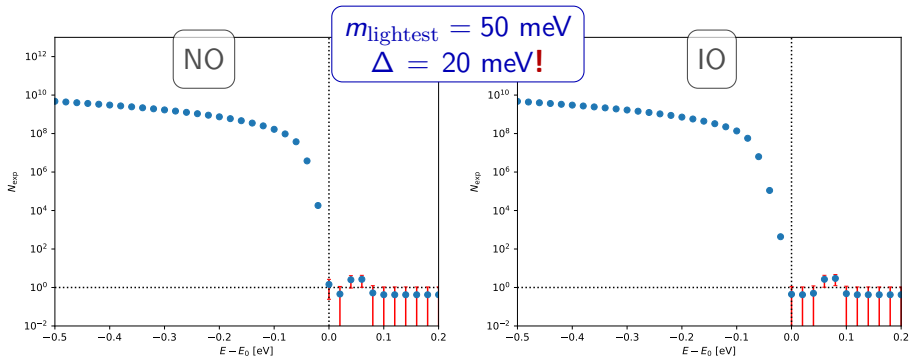
no random noise?



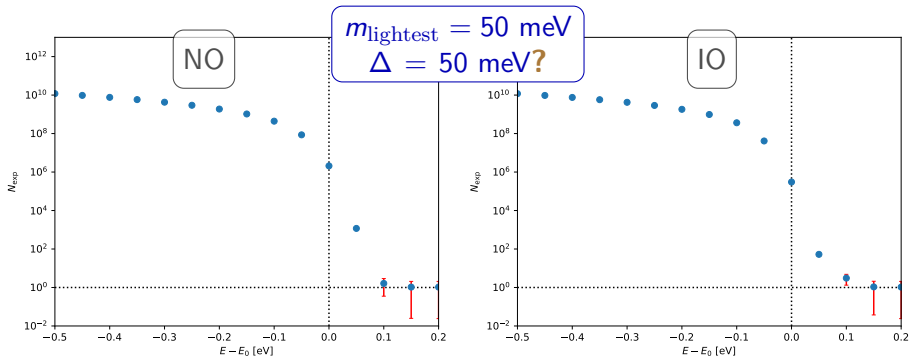
no random noise?



no random noise?

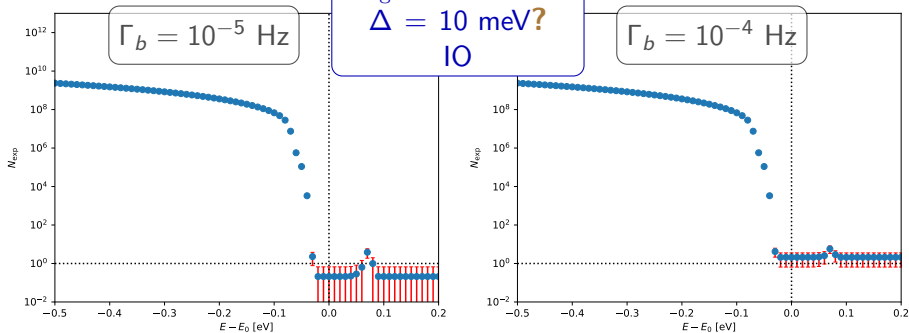


no random noise?



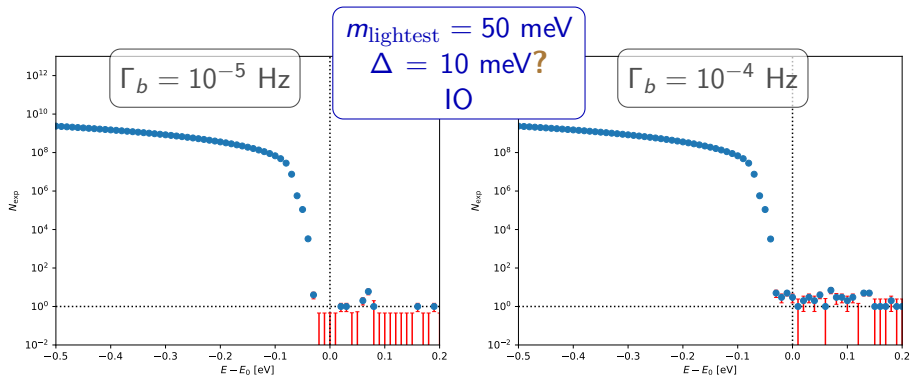
no random noise?

$m_{\text{lightest}} = 50 \text{ meV}$   
 $\Delta = 10 \text{ meV?}$   
 IO



# Simulations - II

with random noise!

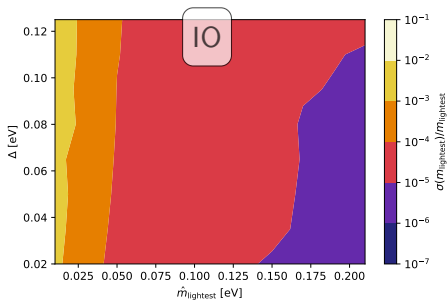
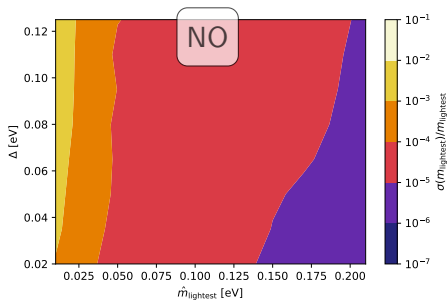


things are more complicated in this way...low background needed!

# Perspectives for the mass determination

statistical only!

relative error on  $m_{\text{lightest}}$   
as a function of  $\hat{m}_{\text{lightest}}$ ,  $\Delta$



wonderful precision in determining the neutrino mass

(well, yes, with 100 g of tritium...)

$\Delta$  has almost no impact



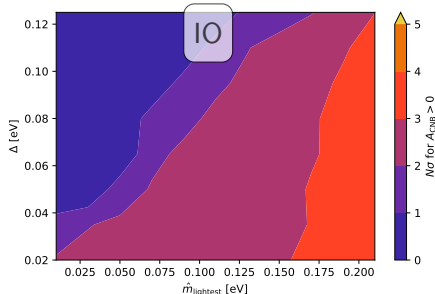
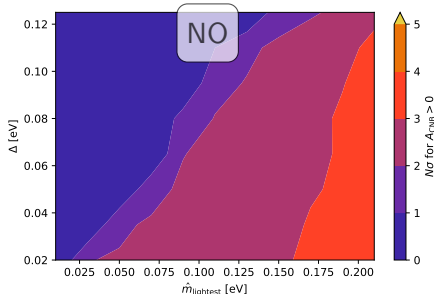
using the definition:

$$N_{\text{th}}^i(\theta) = A_\beta N_\beta^i(\hat{E}_{\text{end}} + \Delta E_{\text{end}}, m_i, U) + \mathbf{A}_{\text{CNB}} N_{\text{CNB}}^i(\hat{E}_{\text{end}} + \Delta E_{\text{end}}, m_i, U) + N_b$$

if  $\mathbf{A}_{\text{CNB}} > 0$  at  $N\sigma$ , direct detection of CNB accomplished at  $N\sigma$

statistical only!

significance on  $\mathbf{A}_{\text{CNB}} > 0$   
as a function of  $\hat{m}_{\text{lightest}}$ ,  $\Delta$



# Requirements for PTOLEMY discoveries

What do we need to discover...

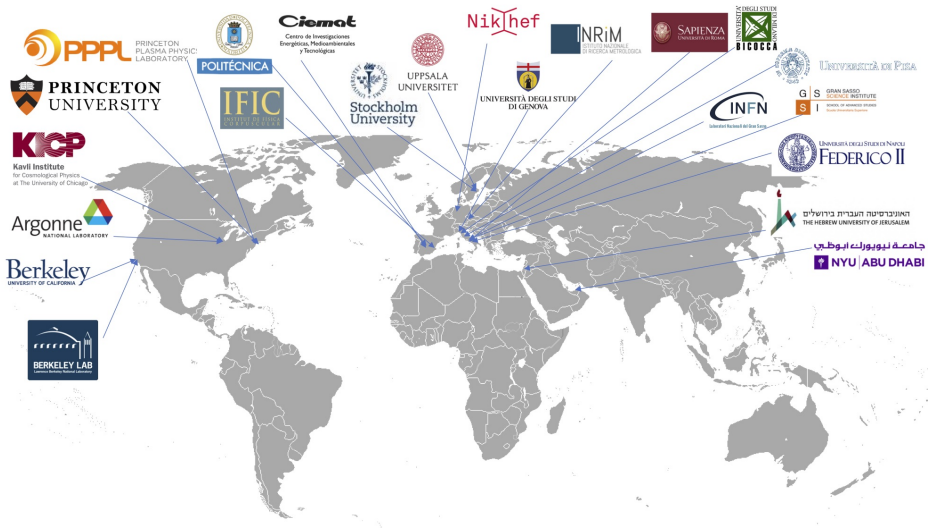
	low $\Gamma_b$	extreme $\Delta$	a lot of ${}^3\text{H}$
... $\nu$ masses?	✗	✗	?
... $\nu$ mass ordering?	✗	?	?
... CNB direct detection?	✓	✓	✓

✓: strongly required

?: not so strongly required

✗: loosely required

# PTOLEMY collaboration



## 1 *Cosmic neutrino background*

## 2 *Direct detection of relic neutrinos*

- Proposed methods
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- N-one-body simulations
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- The experiment
- Simulations
- Perspectives

## 5 *Beyond the standard: light sterile neutrinos*

## 6 *Conclusions*

## Short Baseline (SBL) anomaly

Problem: **anomalies** in SBL experiments  $\Rightarrow$   $\left\{ \begin{array}{l} \text{errors in flux calculations?} \\ \text{deviations from } 3\text{-}\nu \text{ description?} \end{array} \right.$

A short review:

**LSND** search for  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ , with  $L/E = 0.4 \div 1.5$  m/MeV. Observed a  $3.8\sigma$  excess of  $\bar{\nu}_e$  events [Aguilar et al., 2001]

**Reactor** re-evaluation of the expected anti-neutrino flux  $\Rightarrow$  disappearance of  $\bar{\nu}_e$  events compared to predictions ( $\sim 3\sigma$ ) with  $L < 100$  m [Azabajan et al, 2012]

**Gallium** calibration of GALLEX and SAGE Gallium solar neutrino experiments give a  $2.7\sigma$  anomaly (disappearance of  $\nu_e$ ) [Giunti, Laveder, 2011]

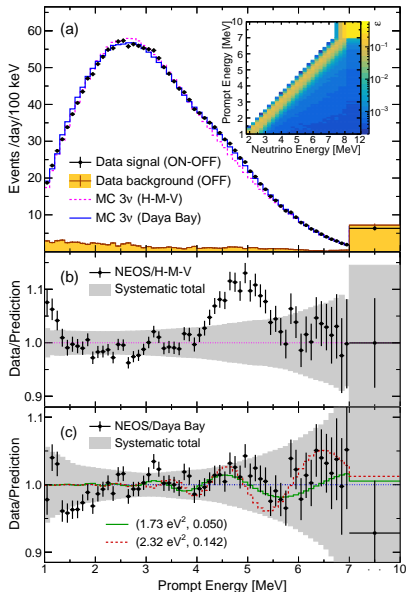
**MiniBooNE** (**inconclusive**) search for  $\nu_\mu \rightarrow \nu_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ , with  $L/E = 0.2 \div 2.6$  m/MeV. No  $\nu_e$  excess detected, but  $\bar{\nu}_e$  excess observed at  $2.8\sigma$  [MiniBooNE Collaboration, 2013]

Possible explanation:

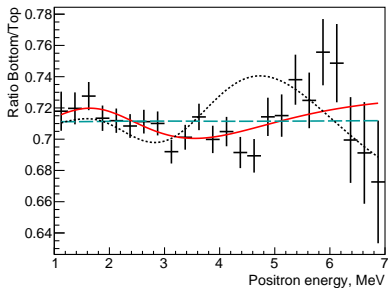
Additional squared mass difference

$$\Delta m_{\text{SBL}}^2 \simeq 1 \text{ eV}^2$$

[NEOS, PRL 118 (2017) 121802]



[DANSS, arxiv:1804.04046]



# 3+1 Neutrino Model

new  $\Delta m_{\text{SBL}}^2 \Rightarrow 4$  neutrinos!

$\nu_4$  with  $m_4 \simeq 1$  eV,  
no weak interactions

light sterile neutrino (LS $\nu$ )

3 (active) + 1 (sterile) mixing:

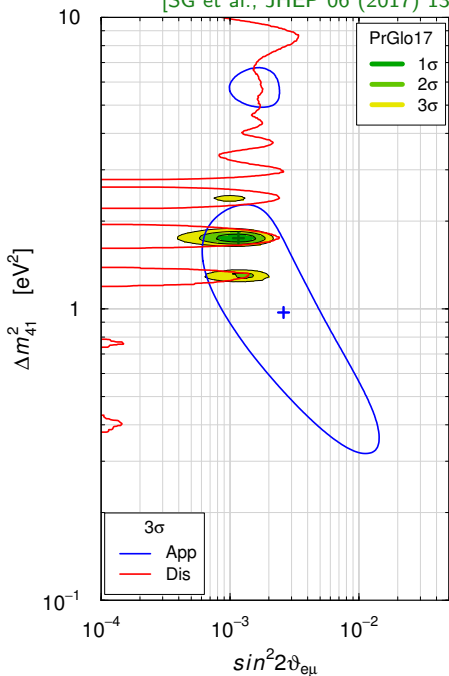
$$\nu_\alpha = \sum_{k=1}^{3+1} U_{\alpha k} \nu_k \quad (\alpha = e, \mu, \tau, s)$$

$\nu_s$  is mainly  $\nu_4$ :

$$m_s \simeq m_4 \simeq \sqrt{\Delta m_{41}^2} \simeq \sqrt{\Delta m_{\text{SBL}}^2}$$

assuming  $m_4 \gg m_i$  ( $i = 1, 2, 3$ )

[SG et al., JHEP 06 (2017) 135]



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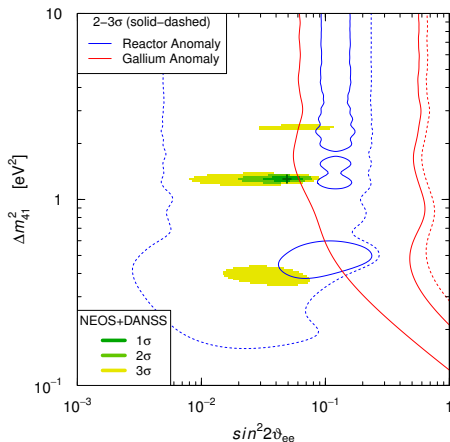
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( $\Delta\chi^2 \gtrsim 14$ ,  $3.4\sigma$ )



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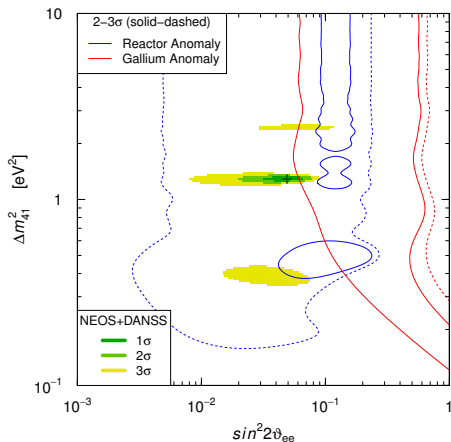
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can  $\nu_4$  thermalize in the early  
Universe through oscillations?

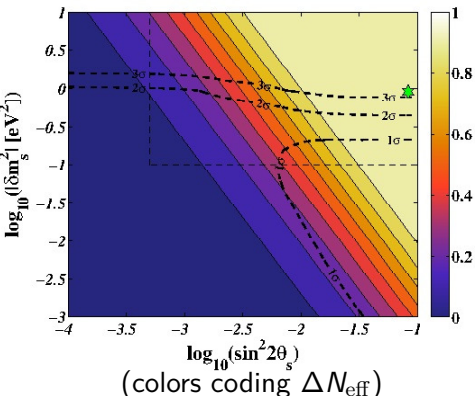


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# LS $\nu$ thermalization

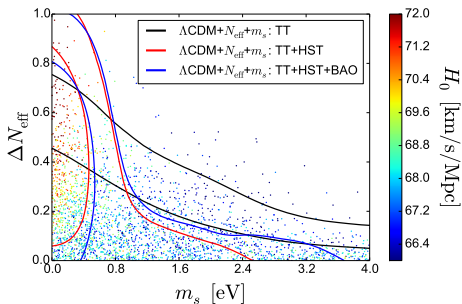
Using SBL best-fit parameters for the LS $\nu$  ( $\Delta m_{41}^2, \theta_s$ ):

[Hannestad et al., JCAP 1207 (2012) 025]



[Archidiacono, SG et al., JCAP 08 (2016) 067]

but cosmological fits give:



$\Delta N_{\text{eff}} = 1$  disfavoured!

$\Delta N_{\text{eff}}$  should be  $\simeq 1$ , but it is disfavoured! (new physics?)

[to be precise:  $\Delta N_{\text{eff}}$  is slightly smaller at CMB decoupling, when the LS $\nu$  starts to be non-relativistic]

# Assumptions and useful equations

We assume possible  
incomplete thermalization

(due to some  
unknown new physics)

$$f_4(p) = \frac{\Delta N_{\text{eff}}}{e^{p/T_\nu} + 1} = \Delta N_{\text{eff}} f_{\text{active}}(p)$$

$$\Delta N_{\text{eff}} = \left[ \frac{1}{\pi^2} \int dp p^3 f_4(p) \right] / \left[ \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 \right]$$

$$\bar{n}_4 = \frac{g_4}{(2\pi)^3} \int f_4(p) p^2 dp = n_0 \Delta N_{\text{eff}}$$

$$n_4 = n_0 \Delta N_{\text{eff}} f_c(m_4)$$

( $f_c(m_4)$  is independent of  $\Delta N_{\text{eff}}$ )

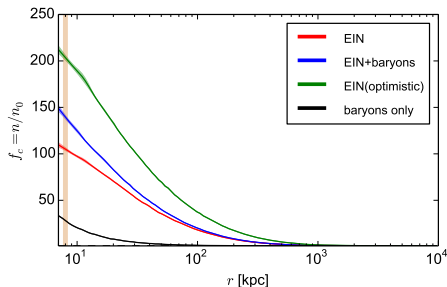
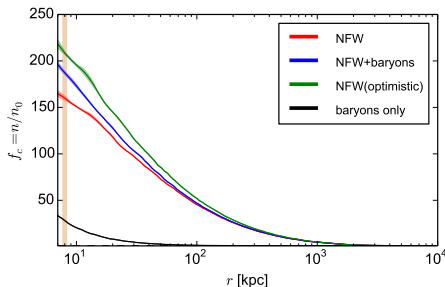
$$\Gamma_4 \simeq |U_{e4}|^2 \Delta N_{\text{eff}} f_c(m_4) \Gamma_{C\nu B}$$

(from global fit [SG et al., 2017]:  $m_4 \simeq 1.3$  eV,  $|U_{e4}|^2 \simeq 0.02$ )

# Overdensity of a sterile neutrino

$$\Gamma_4 \simeq \Delta N_{\text{eff}} |U_{e4}|^2 f_c(m_4) \Gamma_{C\nu B}$$

$$m_4 \simeq 1.3 \text{ eV}, |U_{e4}|^2 \simeq 0.02$$



matter halo	overdensity $f_4$	$\Delta N_{\text{eff}}$	$\Gamma_{\text{tot}}$ ( $\text{yr}^{-1}$ )
NFW(+bar)	159.9 (187.3)	0.2	2.6 (3.0)
		1.0	13.0 (15.2)
NFW optimistic	208.6	0.2	3.4
		1.0	16.9
EIN(+bar)	105.1 (139.5)	0.2	1.7 (2.3)
		1.0	8.5 (11.3)
EIN optimistic	203.5	0.2	3.3
		1.0	16.5

PTOLEMY and the  $\nu_4$ 

$$\Gamma_{C\nu B} = \mathcal{O}(10)/\text{yr}$$

$$\Gamma_4 \simeq \Delta N_{\text{eff}} |U_{e4}|^2 f_c(m_4) \Gamma_{\text{CNB}}$$

[SG et al., 1801.06467]

$$\Delta N_{\text{eff}} = ??$$

[SG et al., 2017]

$$f_c(m_4) = \mathcal{O}(10^2)$$

$$m_4 \simeq 1.15 \text{ eV}$$

$$|U_{e4}|^2 \simeq 0.01$$

$\Gamma_4$  probably too small to be measured!

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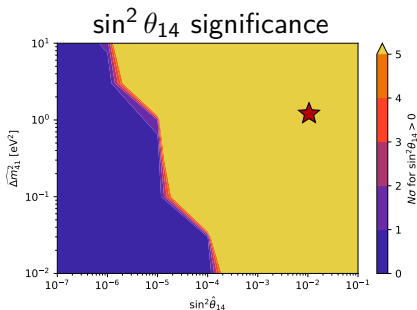
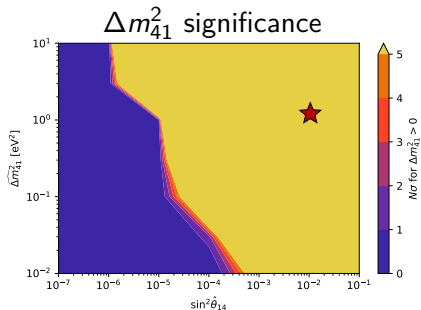
$$f_c(m_4) = \mathcal{O}(10^{2^2})$$

$$m_4 \simeq 1.15 \text{ eV}$$

$$|U_{e4}|^2 \simeq 0.01$$

$\Gamma_4$  probably too small to be measured!

Still possible to measure mass/mixing through  $\beta$  spectrum



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# Conclusions

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amazing (neutrino) science  
with **direct detection**  
of relic neutrinos (e.g. PTOLEMY)  
[non-relativistic regime, masses, ordering?, MW structure?, ...]

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But it will be a **technological challenge!**  
( $^3\text{H}$  amount, low background, energy resolution, ...)

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event rate **enhancement** due  
to clustering in the Milky Way:  
should also include **nearby galaxies/clusters!**



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Thank you for the attention!