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Dark radiation candidates: light sterile neutrinos and thermal axions

COSMOS meeting, Ferrara (IT), 26–27/06/2018

- 1 ***Light sterile neutrinos***
 - Why a sterile neutrino
 - Cosmological constraints

- 2 ***Thermal axions***
 - Motivation and theory
 - Cosmological constraints

- 3 ***Conclusions***

Neutrino Oscillations

Analogous to CKM mixing for quarks:

[Pontecorvo, 1958]

[Maki, Nakagawa, Sakata, 1962]

$$\nu_\alpha = \sum_{k=1}^3 U_{\alpha k} \nu_k \quad (\alpha = e, \mu, \tau)$$

ν_α flavour eigenstates, $U_{\alpha k}$ PMNS mixing matrix, ν_k mass eigenstates.

Current knowledge of the 3 active ν mixing: [de Salas et al. (2018)]

$\Delta m_{ji}^2 = m_j^2 - m_i^2$, θ_{ij} mixing angles

NO: Normal Ordering, $m_1 < m_2 < m_3$

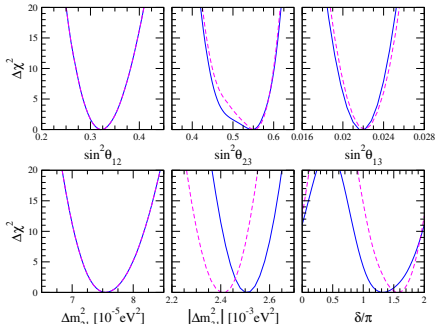
IO: Inverted Ordering, $m_3 < m_1 < m_2$

$$\begin{aligned} \Delta m_{21}^2 &= (7.55^{+0.20}_{-0.16}) \cdot 10^{-5} \text{ eV}^2 \\ |\Delta m_{31}^2| &= (2.50 \pm 0.03) \cdot 10^{-3} \text{ eV}^2 \text{ (NO)} \\ &= (2.42^{+0.03}_{-0.04}) \cdot 10^{-3} \text{ eV}^2 \text{ (IO)} \end{aligned}$$

$$\begin{aligned} \sin^2(\theta_{12}) &= 0.320^{+0.020}_{-0.016} \\ \sin^2(\theta_{13}) &= 0.0216^{+0.008}_{-0.007} \text{ (NO)} \\ &= 0.0222^{+0.007}_{-0.008} \text{ (IO)} \end{aligned}$$

$$\begin{aligned} \sin^2(\theta_{23}) &= 0.547^{+0.020}_{-0.030} \text{ (NO)} \\ &= 0.551^{+0.018}_{-0.030} \text{ (IO)} \end{aligned}$$

First hints for $\delta_{\text{CP}} \simeq 3/2\pi$



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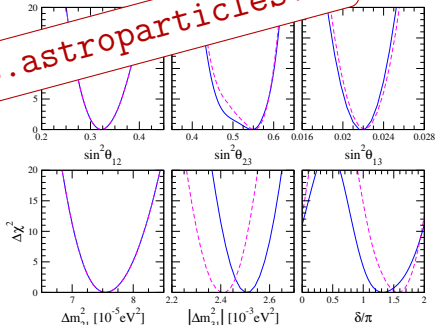
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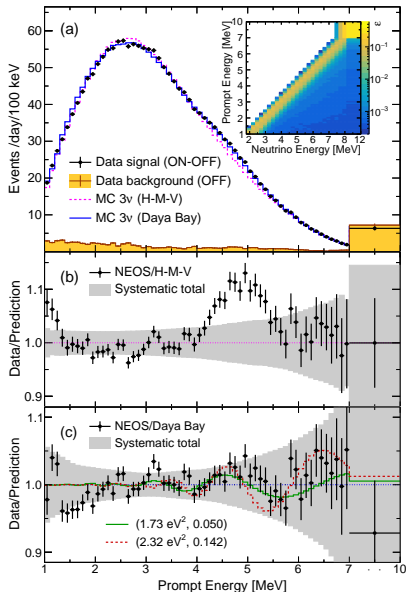
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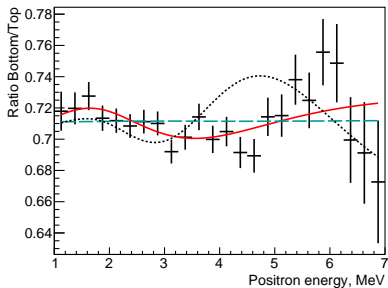


see also: <http://globalfit.astroparticles.es>

[NEOS, PRL 118 (2017) 121802]



[DANSS, arxiv:1804.04046]

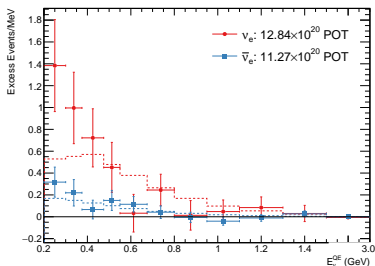
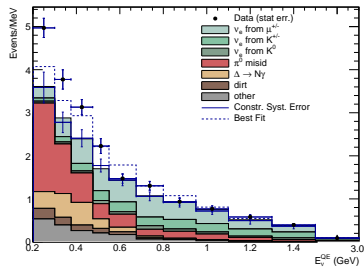


first *model independent* indications in favor of SBL oscillations

DANSS alone gives a $\Delta\chi^2 \simeq 13$ in favor of a light sterile neutrino!

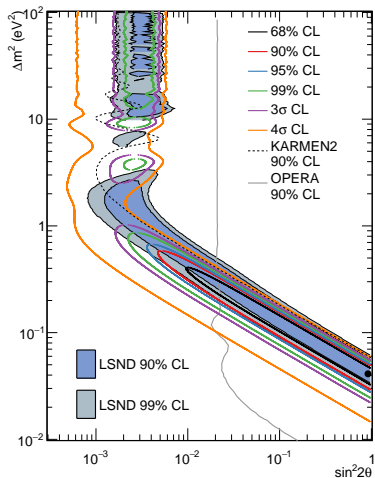
Recent results...

[MiniBooNE, arxiv:1805.12028]



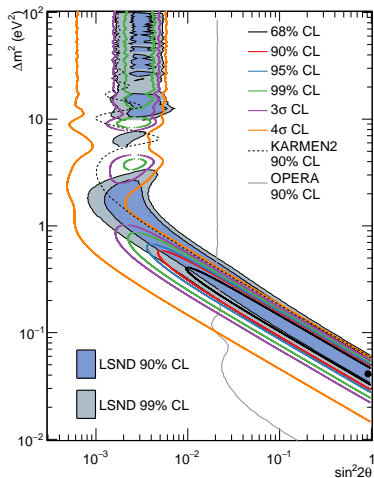
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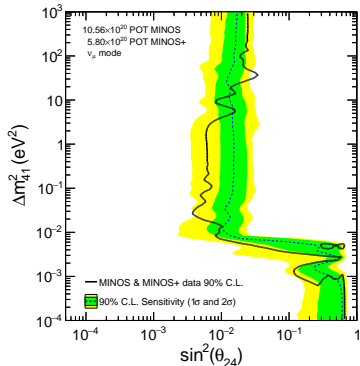


Recent results...

[MiniBooNE, arxiv:1805.12028]



[MINOS+, arxiv:1710.06488]



3+1 Neutrino Model

new $\Delta m_{\text{SBL}}^2 \Rightarrow 4$ neutrinos!

ν_4 with $m_4 \simeq 1$ eV,
no weak interactions

light sterile neutrino (LS ν)

3 (active) + 1 (sterile) mixing:

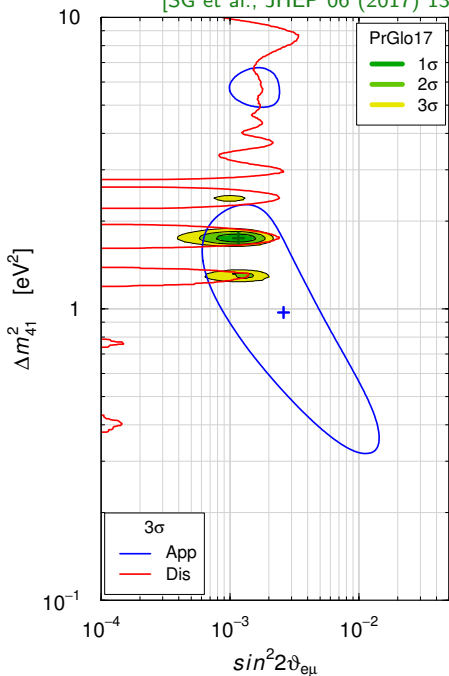
$$\nu_\alpha = \sum_{k=1}^{3+1} U_{\alpha k} \nu_k \quad (\alpha = e, \mu, \tau, s)$$

ν_s is mainly ν_4 :

$$m_s \simeq m_4 \simeq \sqrt{\Delta m_{41}^2} \simeq \sqrt{\Delta m_{\text{SBL}}^2}$$

assuming $m_4 \gg m_i$ ($i = 1, 2, 3$)

[SG et al., JHEP 06 (2017) 135]



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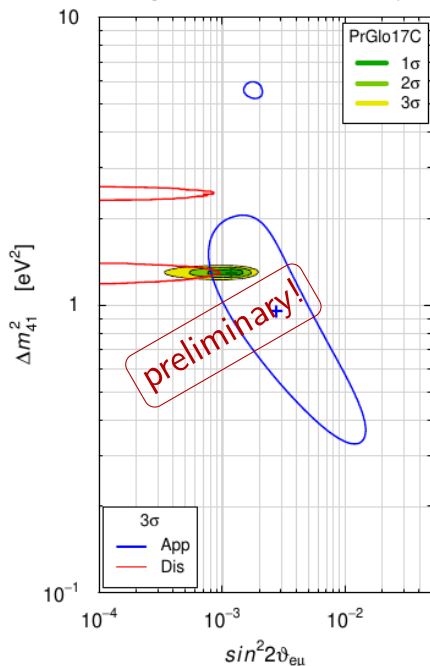
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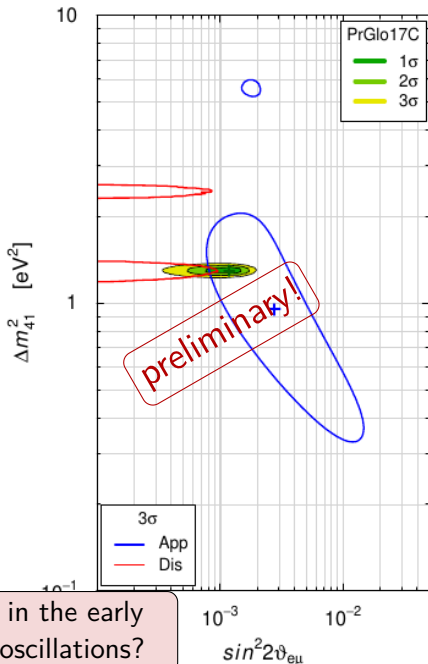
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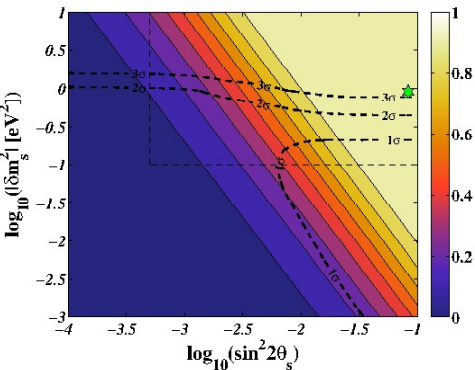
can ν_4 thermalize in the early
Universe through oscillations?



LS ν thermalization

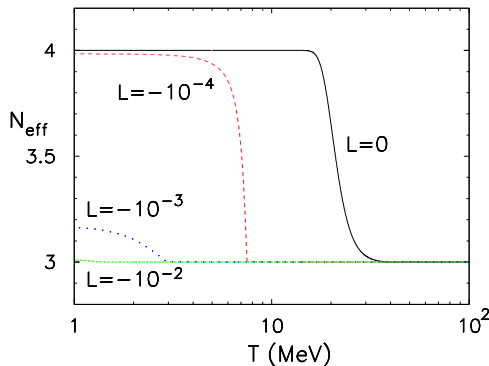
Using SBL best-fit parameters for the LS ν ($\Delta m_{41}^2, \theta_s$):

[Hannestad et al., JCAP 07 (2012) 025]



(colors coding ΔN_{eff})

[Mirizzi et al., PRD 86 (2012) 053009]



(L : lepton asymmetry)

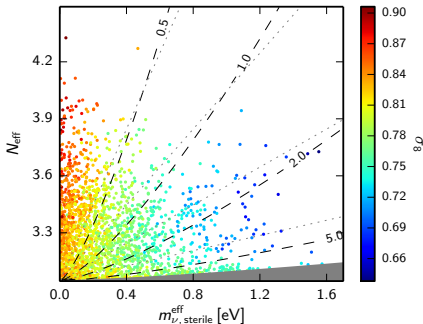
Unless $L \gtrsim \mathcal{O}(10^{-3})$, $\Delta N_{\text{eff}} \simeq 1$

See also: [Saviano et al., PRD 87 (2013) 073006], [Hannestad et al., JCAP 08 (2015) 019]

[to be precise: ΔN_{eff} is slightly smaller at CMB decoupling, when the LS ν starts to be non-relativistic]

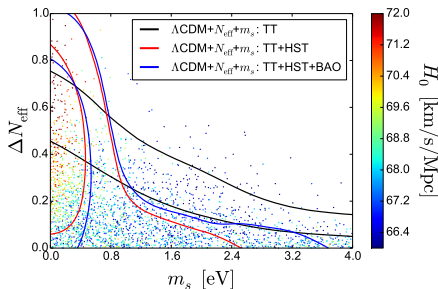
LS ν constraints from cosmology

CMB+local: [Planck Collaboration, 2015]



$$\left\{ \begin{array}{ll} N_{\text{eff}} < 3.7 & (\text{TT+lensing+BAO}) \\ m_s^{\text{eff}} < 0.52 \text{ eV} & [m_s < 5 \text{ eV}] \end{array} \right.$$

[Archidiacono et al., JCAP 08 (2016) 067]



dataset	free ΔN_{eff} [$m_s < 10 \text{ eV}$]	$\Delta N_{\text{eff}} = 1$
(TT)	$N_{\text{eff}} < 3.5$	$m_s < 0.66 \text{ eV}$
(+H ₀)	$N_{\text{eff}} < 3.9$	$m_s < 0.55 \text{ eV}$
(+BAO)	$N_{\text{eff}} < 3.8$	$m_s < 0.53 \text{ eV}$

BBN constraints: $N_{\text{eff}} = 2.90 \pm 0.22$ (BBN+ Y_p) [Peimbert et al., 2016]

Summary: $\Delta N_{\text{eff}} = 1$ from LS ν incompatible with $m_s \simeq 1 \text{ eV}$!

Active-sterile oscillations in the early Universe:

mixing parameters from SBL data $\implies \Delta N_{\text{eff}} \simeq 1$

[Hannestad et al., 2012] [Mirizzi et al., 2012]

Many probes constrain $\Delta N_{\text{eff}} < 1$. Do we need

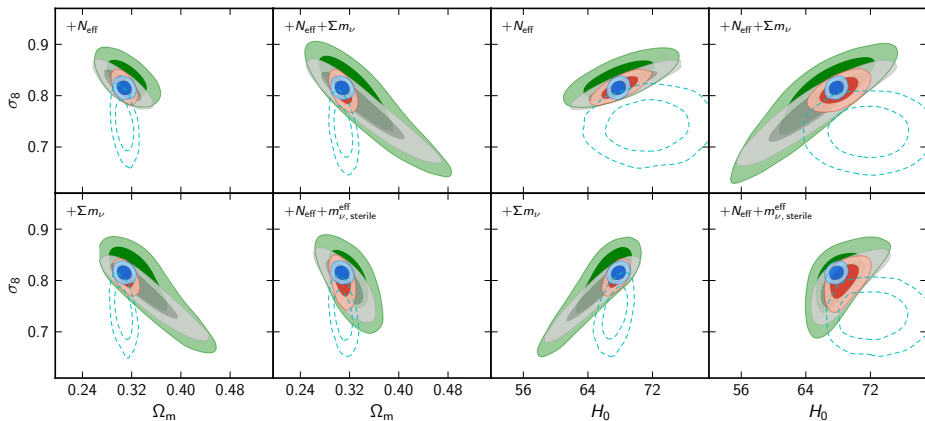
- a mechanism to suppress oscillations and full thermalization of ν_s ?
- to compensate $\Delta N_{\text{eff}} = 1$ with additional mechanisms in Cosmology?

Some ideas (an incomplete list!):

- large lepton asymmetry [Foot et al., 1995; Mirizzi et al., 2012; many more]
- new neutrino interactions [Bento et al., 2001; Dasgupta et al., 2014; Hannestad et al., 2014; Saviano et al., 2014; Archidiacono et al. 2016; many more]
- entropy production after neutrino decoupling [Ho et al., 2013]
- very low reheating temperature [Gelmini et al., 2004; Smirnov et al., 2006]
- time varying dark energy components [Giusarma et al., 2012]
- larger expansion rate at the time of ν_s production [Rehagen et al., 2014]

Solving both σ_8 and H_0 Tension?

■ Planck TT+lowP
 ■ +lensing
 ■ +lensing+BAO
 ■ Λ CDM



dashed: local measurements - Λ CDM model, Λ CDM + $\nu_{a,s}$ models: full cosmological dataset

H_0 increases \Rightarrow σ_8 increases (and viceversa)!

The correlations do not help.

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3 *Conclusions*

The Strong CP problem

CP violation is permitted in QCD

effective periodic strong
CP-violating term Θ

Θ is an input of the SM

must be measured, cannot be predicted

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not observed! $\rightarrow \Theta$ is small ($\lesssim 10^{-10}$)

why so small (or zero), if it can be between 0 and 2π ?

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[Peccei&Quinn,
1977]

Possible solution: Θ is a field, corresponding to
a new spontaneously broken symmetry $U(1)_{PQ}$

Axions during the expansion - I

Axions from $U(1)_{PQ}$

PQ SSB at $T \simeq f_{PQ}$ \longrightarrow $V(\vec{\phi}) = \lambda(|\vec{\phi}|^2 - f_{PQ}^2/2)^2$

the axion is related to Θ :
 $a = (f_{PQ}/N)\Theta$

after PQ SSB,
 $\Theta = \arg(\vec{\phi})$ undetermined

axion is massless for $T \gg \Lambda_{QCD}$

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low T : axion mass from
QCD instanton effects

axion is massless for
 $f_{PQ} \gtrsim T \gtrsim \Lambda_{QCD}$

$$m_a = \frac{f_\pi m_\pi}{f_{PQ}} \frac{\sqrt{R}}{1+R} = 0.6 \text{ eV} \frac{10^7 \text{ GeV}}{f_{PQ}}$$

m_π pion mass

$f_\pi = 93$ MeV pion decay constant

N color anomaly of the PQ symmetry

$R = 0.553 \pm 0.043$ up-to-down quark masses ratio

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lighter axions interact less!

Axion production?

depends on interactions

thermal processes

non-thermal processes

misalignment

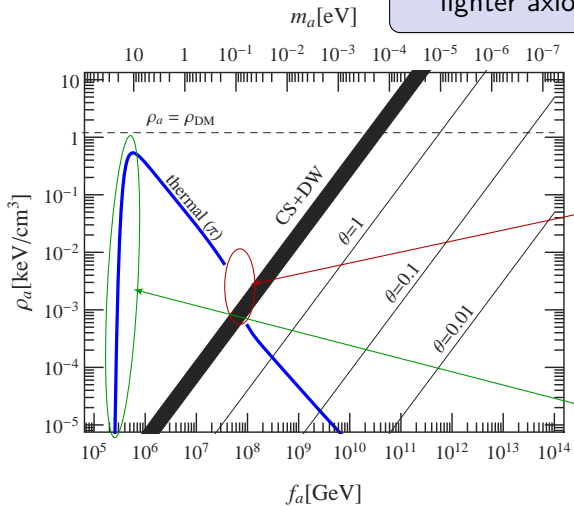
decay of axionic string

see also: [\[D. Marsh, Phys.Rept. 643 \(2016\) 1-79\]](#)

■ Axions during the expansion - II [Archidiacono et al., JCAP 05 (2015) 050]

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drop when $T_{fr} \simeq \Lambda_{QCD}$
 (less efficient interactions with unconfined quarks and gluons + entropy dilution)

Axion rapidly decays $a \rightarrow 2\gamma$ and disappears

Thermal production

thermal process $\pi + \pi \rightarrow \pi + a$

a singly produced

other processes:
 $Q + \pi \rightarrow Q + a$
 $N + \pi \rightarrow N + a$

relativistic at production

hot dark matter

decoupling $T_D(f_{PQ})$ from
 $\Gamma(T_D) = H(T_D)$ (numerically)

d.o.f. at decoupling $g_{*S}(T_D)$

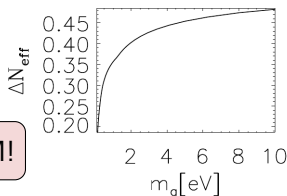
same order of n_γ, n_ν

axion number density
 $n_a(f_{PQ}) = \frac{g_{*S}(T_0)}{g_{*S}(T_D)} \times \frac{n_\gamma}{2}$

$$\Delta N_{\text{eff}} = \frac{4}{7} \left(\frac{3n_a}{2n_\nu} \right)^{4/3}$$

density parameter

$$\omega_a = \Omega_a h^2 \propto m_a n_a \propto m_a / g_{*S}(T_D)$$



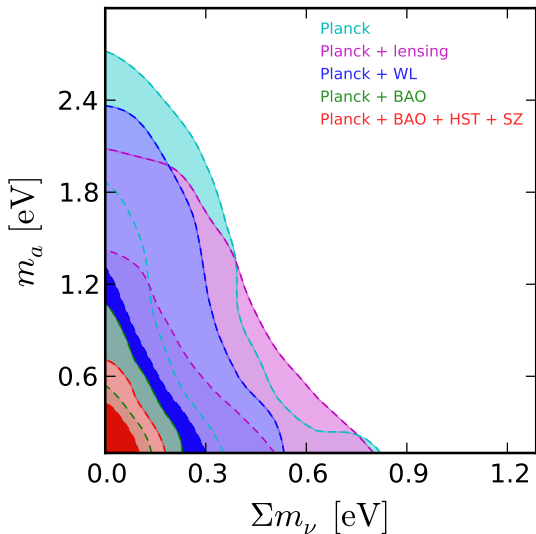
hot: cannot be total DM!

calculations valid for $m_a \gtrsim 0.1 \text{ eV}$

Q quarks, N nucleons
 T_0 CMB temperature today
 n_γ (n_ν) number density of photons (neutrinos)

Constraints - I

[di Valentino et al., PLB 752 (2016) 182]



thermal axion behavior is similar to massive neutrinos

↓
degeneracy
 $\Sigma m_\nu - m_a$

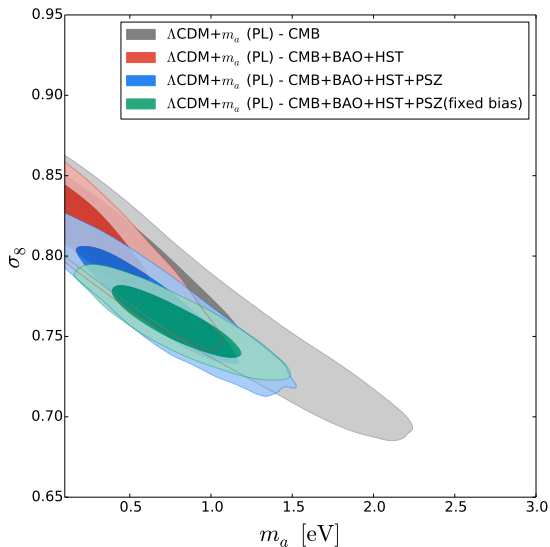
but different contributions to ΔN_{eff}

($\Delta N_{\text{eff},a}$ depends on m_a)

↓
not complete degeneracy

Stronger constraint:
 $m_a < 0.529$ eV (95%)

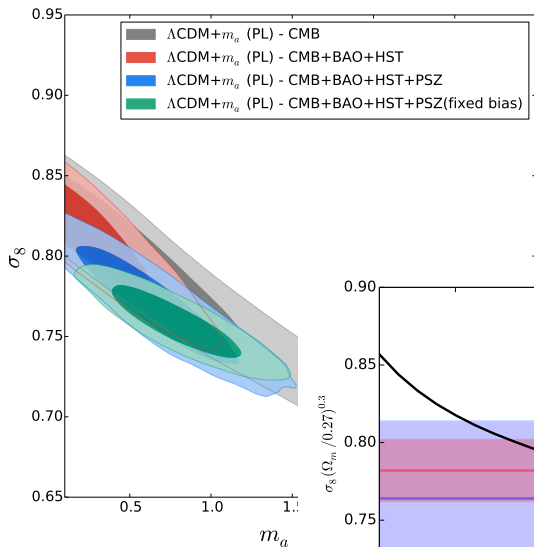
Constraints - II



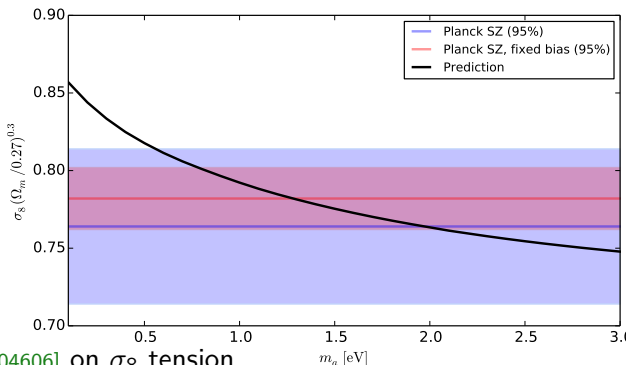
axion is hot relic
 \downarrow
 suppression of matter power spectrum
 \downarrow
 reduced fluctuations at small scales

see also [Joudaki et al., 1610.04606] on σ_8 tension

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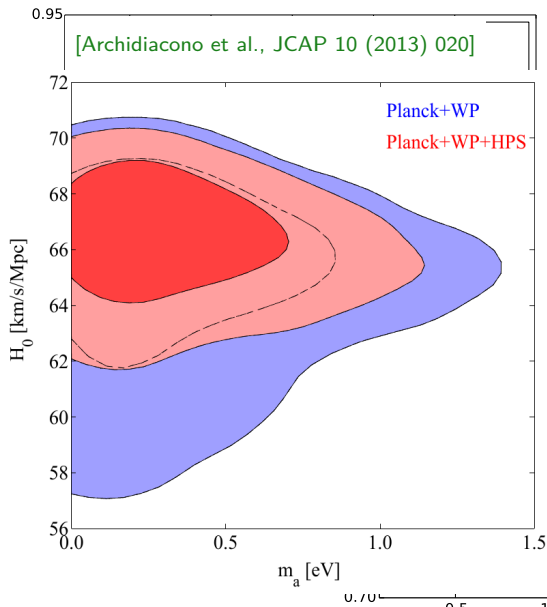


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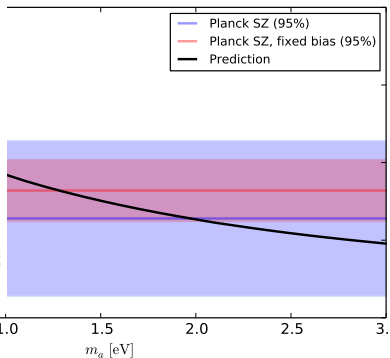


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Is there a **light sterile neutrino**?

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Not completely clear.

If yes, problems in early universe!

More new physics to be discovered?

What about the strong CP problem?

Is there an **axion**?

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If yes, study it using CMB data!

DM may be thermal + non-thermal axions/axion-like particles

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Dark radiation **cannot really solve**
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