



Horizon 2020
European Union funding
for Research & Innovation

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Neutrinos and Cosmology

*Strengths and weaknesses of cosmological bounds
on effective number and masses of neutrinos*

European Neutrino “Town” Meeting, CERN, 22–24/10/2018

1 *Introduction*

- Neutrinos and early Universe
- Relativistic neutrinos in the early Universe
- Massive neutrinos in the late Universe

2 *Current constraints*

- Cosmological observables
- Current status
- Extending the cosmological model
- Mass ordering

3 *Direct detection of relic neutrinos*

4 *Conclusions*

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Three Neutrino Oscillations

Analogous to CKM mixing for quarks:

[Pontecorvo, 1968]

[Maki, Nakagawa, Sakata, 1962]

$$\nu_\alpha = \sum_{k=1}^3 U_{\alpha k} \nu_k \quad (\alpha = e, \mu, \tau)$$

ν_α flavour eigenstates, $U_{\alpha k}$ PMNS mixing matrix, ν_k mass eigenstates.

Current knowledge of the 3 active ν mixing: [de Salas et al. (2018)]

$\Delta m_{ji}^2 = m_j^2 - m_i^2$, θ_{ij} mixing angles

NO: Normal Ordering, $m_1 < m_2 < m_3$

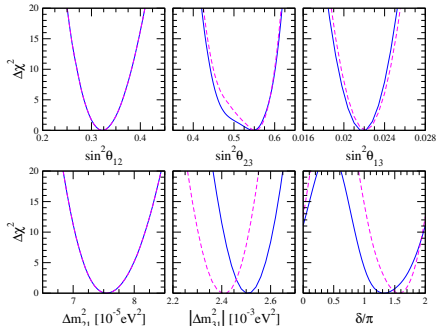
IO: Inverted Ordering, $m_3 < m_1 < m_2$

$$\begin{aligned} \Delta m_{21}^2 &= (7.55^{+0.20}_{-0.16}) \cdot 10^{-5} \text{ eV}^2 \\ |\Delta m_{31}^2| &= (2.50 \pm 0.03) \cdot 10^{-3} \text{ eV}^2 \text{ (NO)} \\ &= (2.42^{+0.03}_{-0.04}) \cdot 10^{-3} \text{ eV}^2 \text{ (IO)} \end{aligned}$$

$$\begin{aligned} \sin^2(\theta_{12}) &= 0.320^{+0.020}_{-0.016} \\ \sin^2(\theta_{13}) &= 0.0216^{+0.008}_{-0.007} \text{ (NO)} \\ &= 0.0222^{+0.007}_{-0.008} \text{ (IO)} \end{aligned}$$

$$\begin{aligned} \sin^2(\theta_{23}) &= 0.547^{+0.020}_{-0.030} \text{ (NO)} \\ &= 0.551^{+0.018}_{-0.030} \text{ (IO)} \end{aligned}$$

First hints for $\delta_{\text{CP}} \simeq 3/2\pi$



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$$\sin^2(\theta_{12})$$

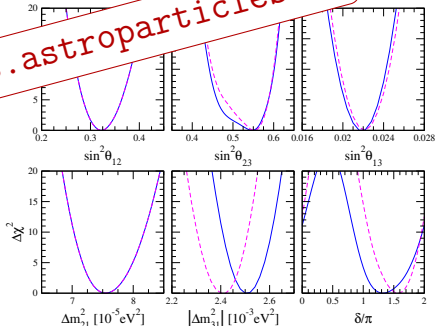
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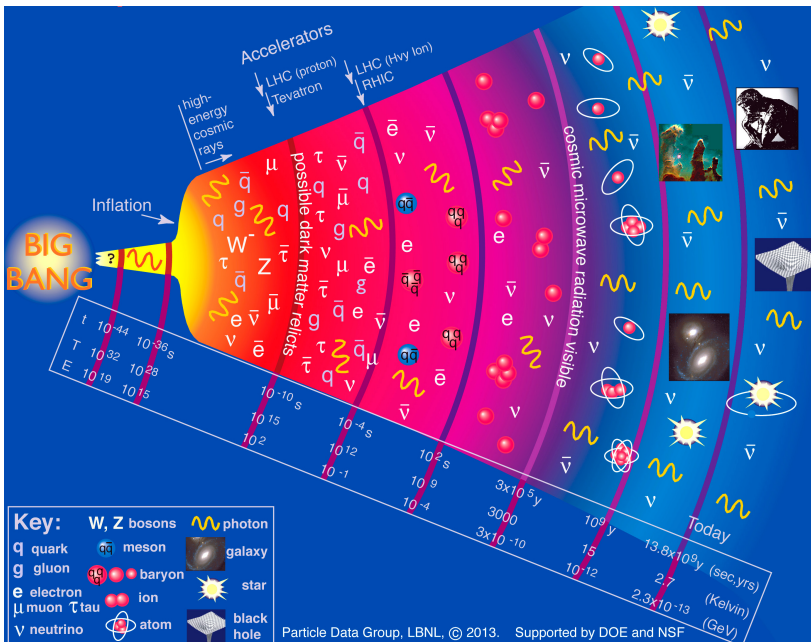
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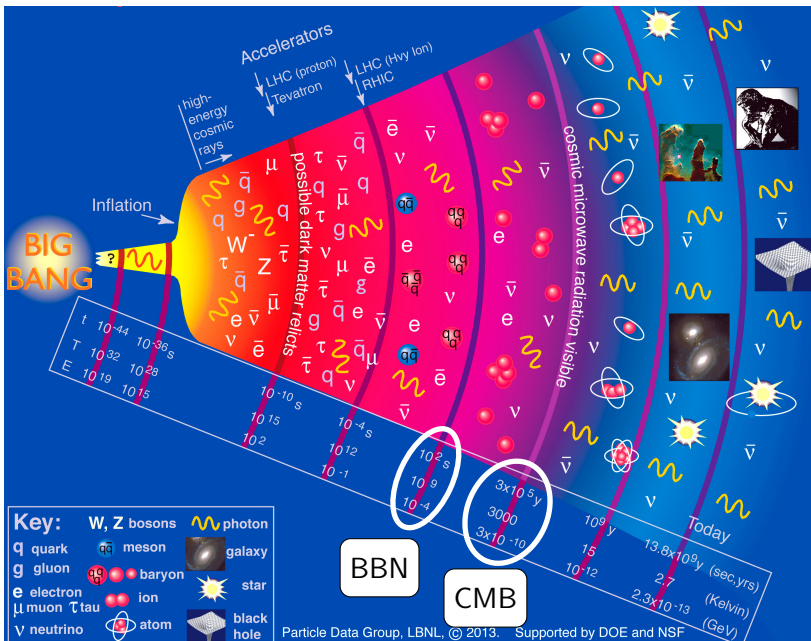


see also: <http://globalfit.astroparticles.es>

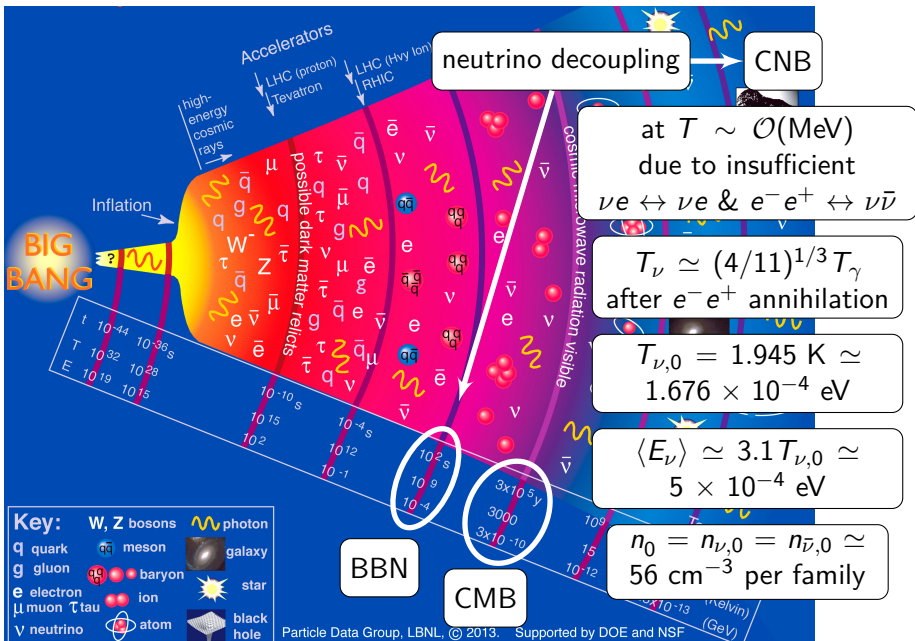
History of the universe



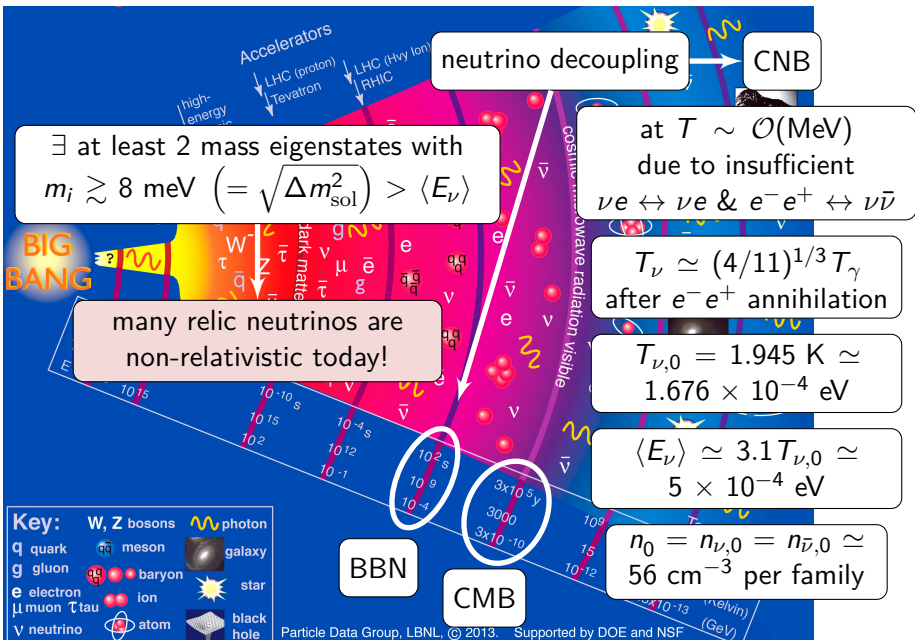
History of the universe



History of the universe



History of the universe



Relic neutrinos in cosmology: N_{eff}

Radiation energy density ρ_r in the early Universe:

$$\rho_r = \left[1 + \frac{7}{8} \left(\frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_\gamma = [1 + 0.2271 N_{\text{eff}}] \rho_\gamma$$

ρ_γ photon energy density, $7/8$ is for fermions, $(4/11)^{4/3}$ due to photon reheating after neutrino decoupling

- $N_{\text{eff}} \rightarrow$ all the radiation contribution not given by photons
- $N_{\text{eff}} \simeq 1$ correspond to a single family of active neutrino, in equilibrium in the early Universe
- Active neutrinos:
 $N_{\text{eff}} = 3.046$ [Mangano et al., 2005] (damping factors approximations) \sim
 $N_{\text{eff}} = 3.045$ [de Salas et al., 2016] (full collision terms)
due to not instantaneous decoupling for the neutrinos
- + Non Standard Interactions: $3.040 < N_{\text{eff}} < 3.059$ [de Salas et al., 2016]

Observations: $N_{\text{eff}} \simeq 3.0 \pm 0.2$ [Planck 2018]
Indirect probe of cosmic neutrino background!

$\gg 10\sigma!$

Additional Radiation in the Early Universe

$$\rho_r = [1 + 0.2271 N_{\text{eff}}] \rho_\gamma$$

$$H^2 = 8\pi G \rho_T / 3$$

N_{eff} controls the expansion rate H in the early Universe, during radiation dominated phase

influence on

Big Bang Nucleosynthesis:
production of light nuclei

abundances today

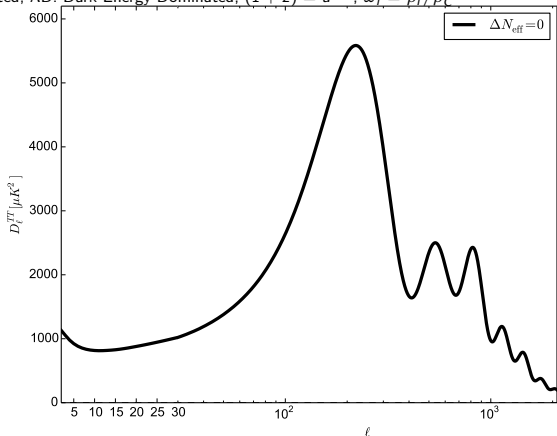
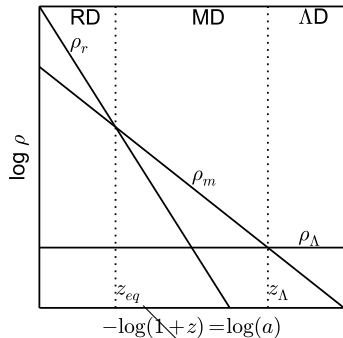
matter-radiation equality

expansion rate at
CMB decoupling

Additional Radiation: Effects on the CMB

Starting configuration:

RD: Radiation Dominated, MD: Matter Dominated, Λ D: Dark Energy Dominated; $(1+z) = a^{-1}$; $\omega_i = \rho_i/\rho_C$

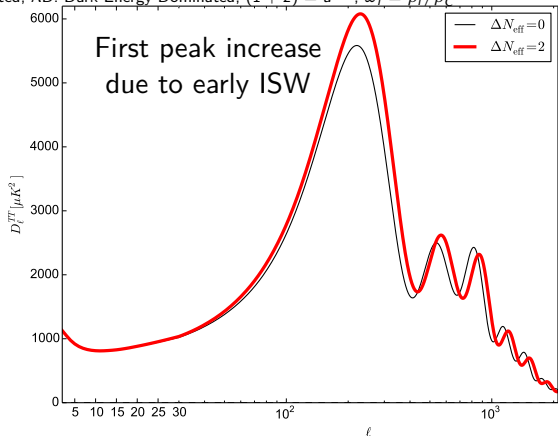
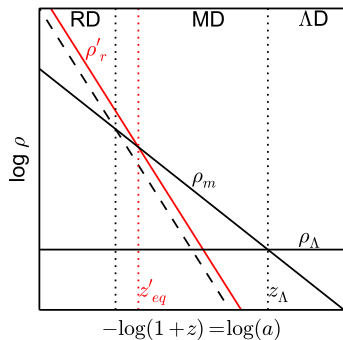


$$1 + z_{eq} = \frac{\omega_m}{\omega_r} = \frac{\omega_m}{\omega_\gamma} \frac{1}{1 + 0.2271 N_{eff}}$$

Additional Radiation: Effects on the CMB

If we increase N_{eff} , all the other parameters fixed:

RD: Radiation Dominated, MD: Matter Dominated, Λ D: Dark Energy Dominated; $(1+z) = a^{-1}$; $\omega_i = \rho_i/\rho_c$

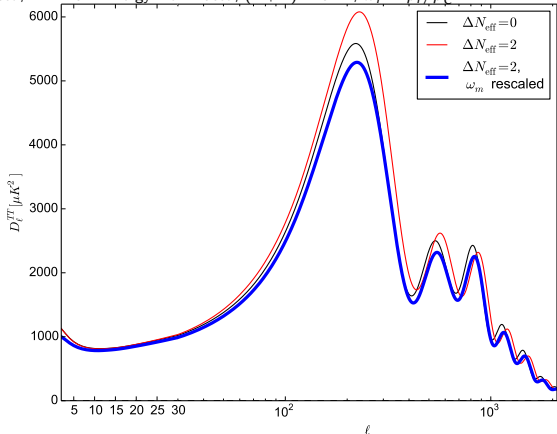
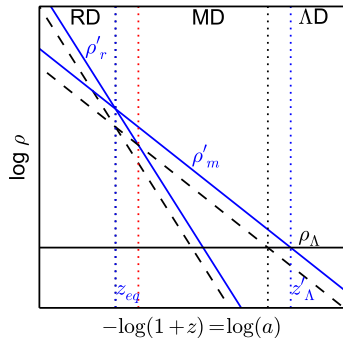


At z_{CMB} : higher $H \propto \rho_r \Rightarrow$ smaller comoving sound horizon $r_s \propto H^{-1}$
 \Rightarrow decrease of the angular scale of the acoustic peaks $\theta_s = r_s/D_A$
 \Rightarrow shift of the peaks at higher ℓ

Additional Radiation: Effects on the CMB

If we increase N_{eff} , plus ω_m to fix z_{eq} :

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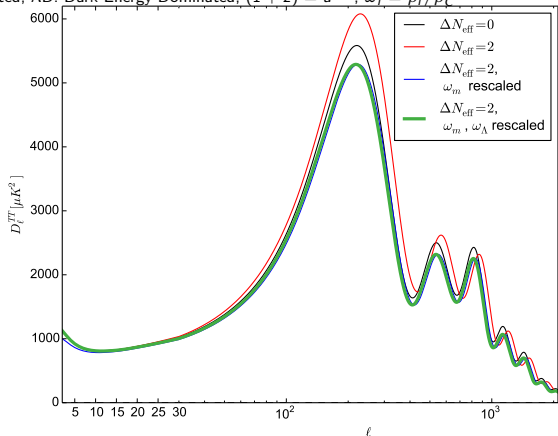
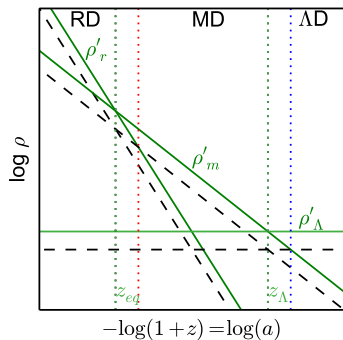


- Contribution from early ISW effect restored (first peak)
- different slope of the Sachs-Wolfe plateau, peak positions, envelope of high- l peaks \Rightarrow due to later z_Λ

Additional Radiation: Effects on the CMB

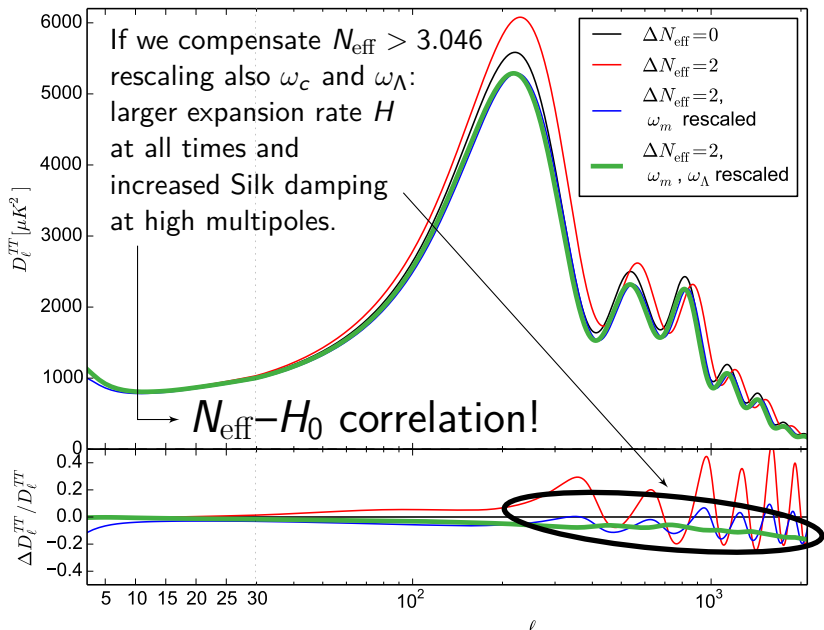
If we increase N_{eff} , plus ω_m, ω_Λ to fix z_{eq}, z_Λ :

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- peak positions recovered;
- slope of the Sachs-Wolfe plateau recovered;
- peak amplitude not recovered!

Additional Radiation: Effects on the CMB



N_{eff} and BBN

BBN: production of light nuclei
at $t \sim 1\text{s}$ to $t \sim \mathcal{O}(10^2)\text{s}$

temperature $T_{\text{fr}} \simeq 1\text{ MeV}$
from nucleon freeze-out:

$$\Gamma_{n \leftrightarrow p} \sim G_F^2 T^5 = H \sim \sqrt{g_* G_N} T^2$$

$$T_{\text{fr}} \simeq (g_* G_N / G_F^4)^{1/6}$$

enters

$$n/p = \exp(-Q/T_{\text{fr}})$$

which controls element abundances

$$g_* \text{ depends on } N_{\text{eff}}$$

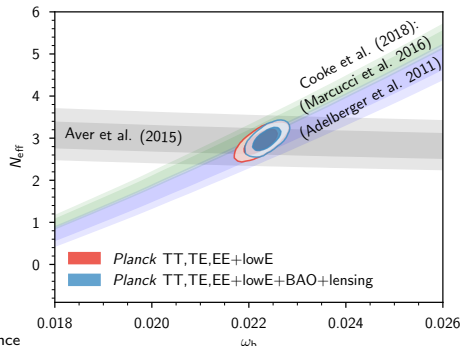
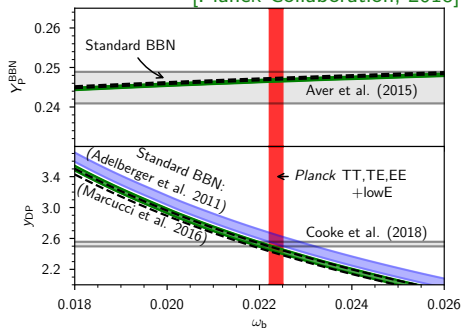
abundances depend on N_{eff}

G_F Fermi constant n, p : neutron, proton density number
 G_N Newton constant $Q = 1.293\text{ MeV}$ neutron-proton mass difference

S. Gariazzo

"Neutrinos and Cosmology"

[Planck Collaboration, 2018]



CERN, 22/10/2018

7/28

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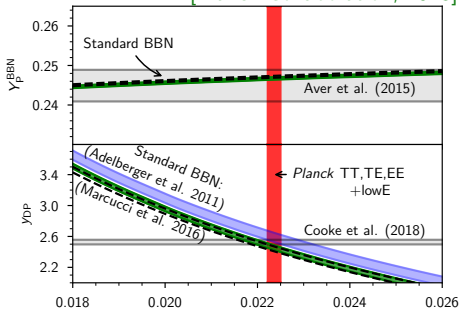
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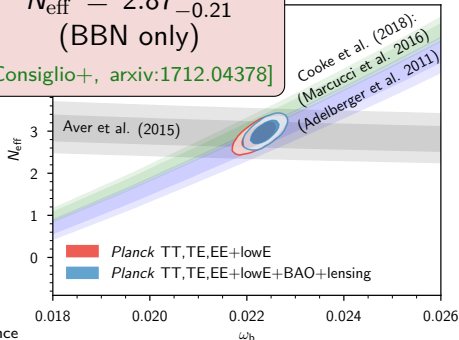
[Planck Collaboration, 2018]



$$N_{\text{eff}} = 2.87^{+0.24}_{-0.21}$$

(BBN only)

[Consiglio+, arxiv:1712.04378]



CERN, 22/10/2018

7/28

Neutrino masses from CMB

$$1 + z_{\text{eq}} = (\omega_b + \omega_c) / \omega_r$$

independent of m_ν

ω_i energy density of species i ,
 $i \in (\text{radiation, matter, baryons, cold dark matter, } \nu)$
 z_{eq} matter-radiation equality redshift

$$\omega_m^0 = \omega_b^0 + \omega_c^0 + \omega_\nu^0 \text{ today}$$

mass of species relativistic at recombination
affects late time evolution only

small effects on the SW plateau
(cosmic variance, degeneracies...)

Effects on the early ISW effect

$$\frac{\Delta C_\ell}{C_\ell} \simeq - \left(\frac{\sum m_\nu}{0.1 \text{ eV}} \right) \%$$

effects on the position of peaks

$$\theta_s = r_s(\eta_{LS}) / D_A(\eta_{LS})$$

$$D_A = \int_0^{z_{\text{rec}}} \frac{dz}{H(z)}$$

(this effect can be compensated reducing H_0)

correlation $m_\nu - H_0$

["Neutrino Cosmology", Lesgourgues et al.]

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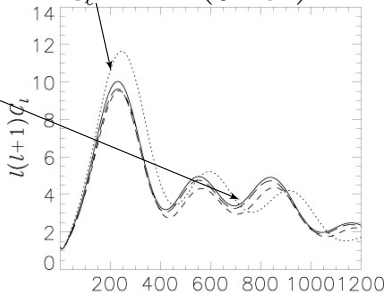
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["Neutrino Cosmology", Lesgourgues et al.]

Free-streaming - I

Non-cold relics \implies damping in the perturbations due to free-streaming

Growth equation: $\ddot{\delta} + \boxed{2H\dot{\delta}} - \boxed{c_s^2 k^2 \frac{\delta}{a^2}} = \boxed{4\pi G_N \rho \delta}$

Hubble drag pressure gravity

Jeans scale: pressure = gravity

$$k_J \equiv \sqrt{\frac{4\pi G_N \rho}{c_s^2 (1+z)^2}}$$

$k < k_J$

growth of density perturbations

$k > k_J$

no growth can occur

neutrino free-streaming scale

$$k_{fs}(z) \equiv \sqrt{\frac{3}{2} \frac{H(z)}{(1+z)\sigma_{\nu,\nu}(z)}} \simeq 0.7 \left(\frac{m_\nu}{1 \text{ eV}} \right) \sqrt{\frac{\Omega_M}{1+z}} h/\text{Mpc}$$

ρ energy density of a given fluid
 $\delta = \delta\rho/\rho$ perturbation (single fluid)
 c_s sound speed of the fluid

$\sigma_{\nu,\nu}(z)$ ν velocity dispersion
 $H = H(z)$ Hubble factor at redshift z
 h reduced Hubble factor today

Free-streaming - II

Damping occurs for all $k \gtrsim k_{nr}$

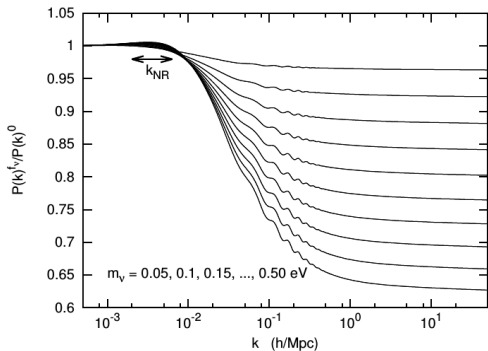
k_{nr} : corresponding
to ν non-relativistic transition

Plot: $\frac{P_{m_\nu > 0}(k)}{P_{m_\nu = 0}(k)}$

- top to bottom: $m_\nu = 0.05$ eV
to $m_\nu = 0.5$ eV

- $\frac{\Delta P}{P} \simeq -\frac{8\Omega_\nu}{\Omega_M} \simeq -\frac{\sum m_\nu}{0.01 \text{ eV}} \%$

["Neutrino Cosmology", Lesgourgues et al.]
(fixed $h, \omega_m, \omega_b, \omega_\Lambda$)



Expected constraints from future surveys:

- Planck CMB + DES: $\sigma(m_\nu) \simeq 0.04\text{--}0.06$ eV [Font-Ribera et al., 2014]
- Planck CMB + Euclid: $\sigma(m_\nu) \simeq 0.03$ eV [Audren et al., 2013]

1 *Introduction*

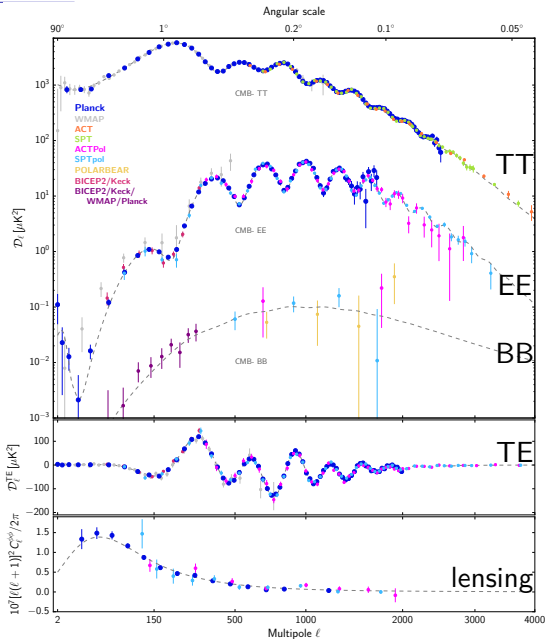
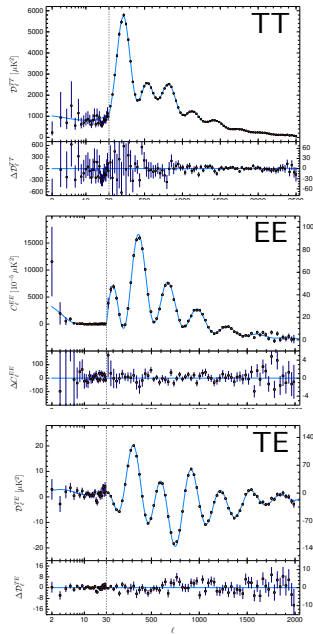
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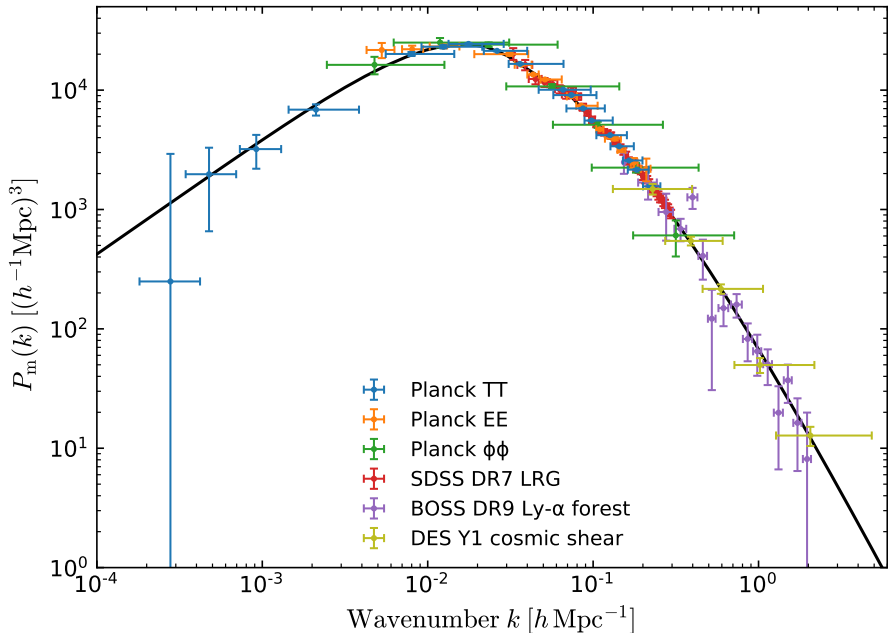
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with $H_0 = H(z = 0)$

Local measurements:

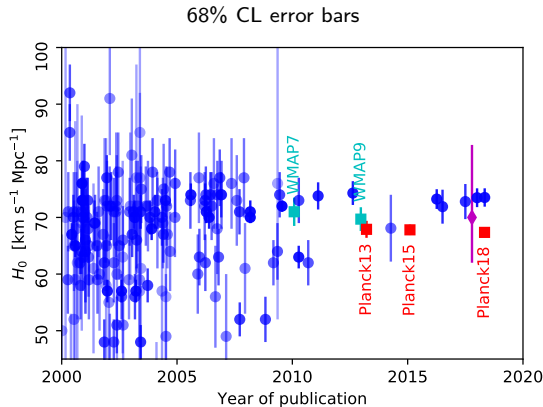
$H(z = 0)$,

local and independent on evolution (model independent, but **systematics?**)

CMB measurements

(probe $z \simeq 1100$):

H_0 from the cosmological evolution (model dependent, well controlled systematics)



Tension I: the Hubble parameter H_0

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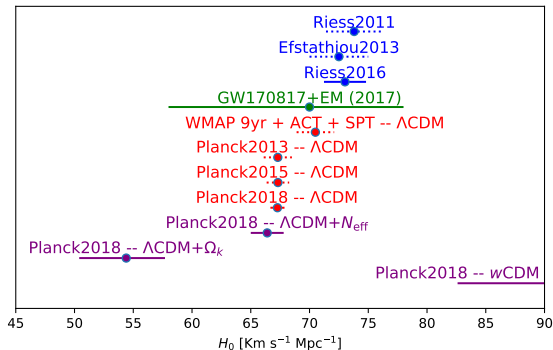
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68% CL error bars



Using HST Cepheids:

[Efstathiou 2013] $H_0 = 72.5 \pm 2.5 \text{ Km s}^{-1} \text{ Mpc}^{-1}$

[Riess et al., 2016] $H_0 = 73.24 \pm 1.74 \text{ Km s}^{-1} \text{ Mpc}^{-1}$

GW: [Abbott et al., 2017] $H_0 = 70^{+12}_{-8} \text{ Km s}^{-1} \text{ Mpc}^{-1}$

(Λ CDM model - CMB data only)

[Planck 2013]: $H_0 = 67.3 \pm 1.2 \text{ Km s}^{-1} \text{ Mpc}^{-1}$

[Planck 2018]: $H_0 = 67.27 \pm 0.60 \text{ Km s}^{-1} \text{ Mpc}^{-1}$

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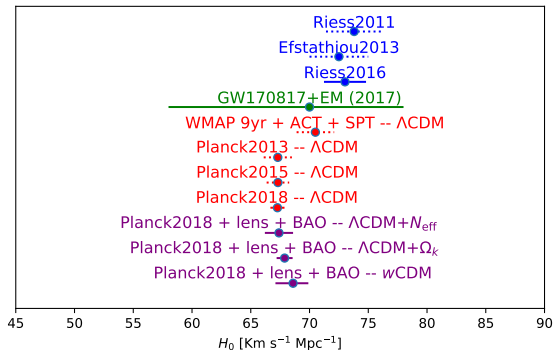
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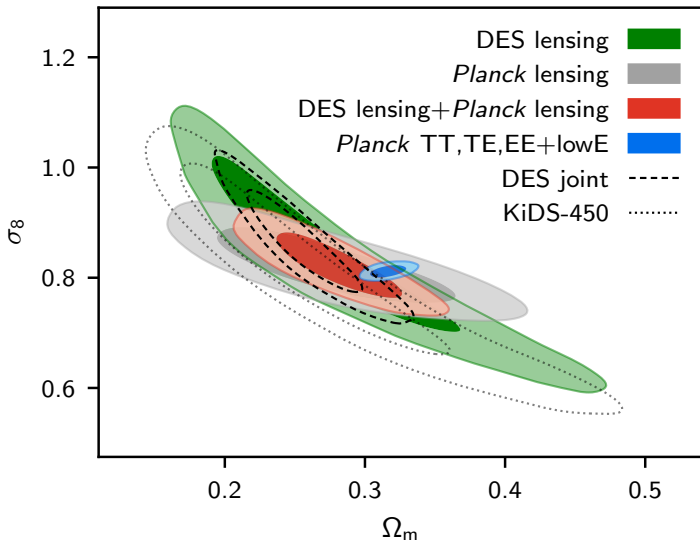
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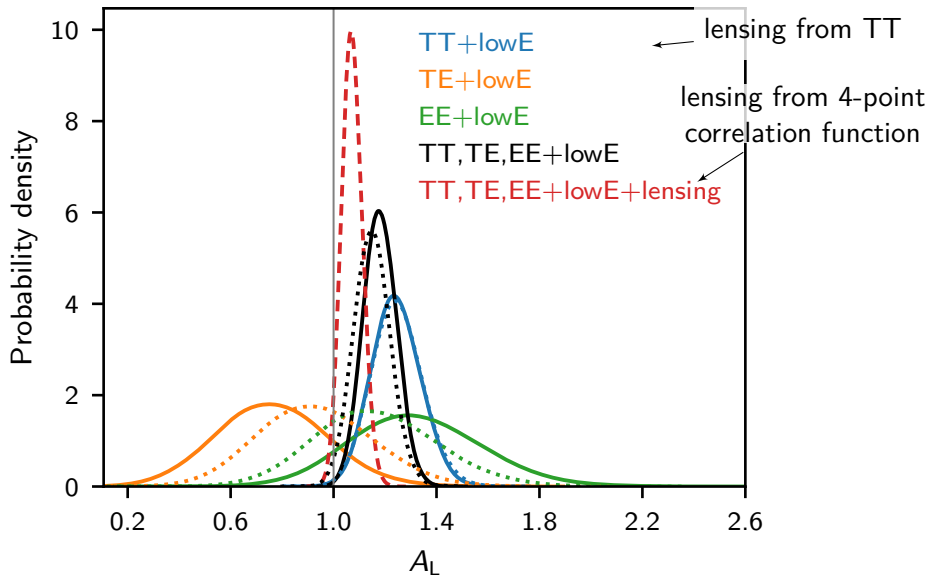
Tension II (?): the matter distribution at small scales

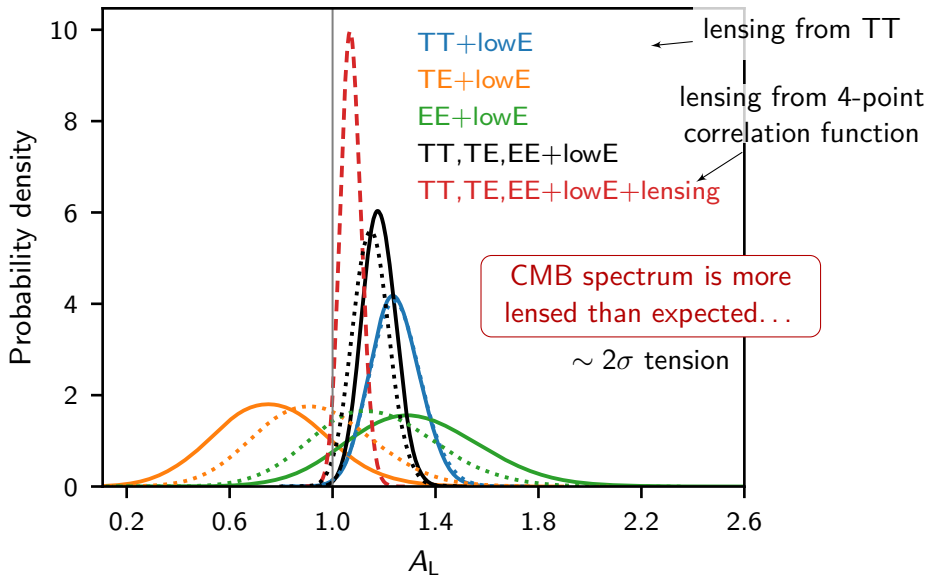
Assuming Λ CDM model:

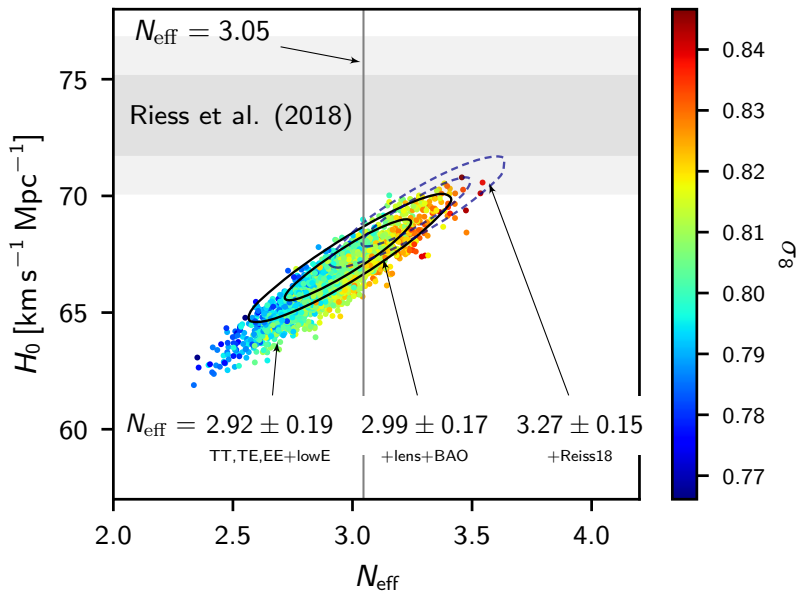
σ_8 : rms fluctuation in total matter (baryons + CDM + neutrinos) in $8h^{-1}$ Mpc spheres, today;

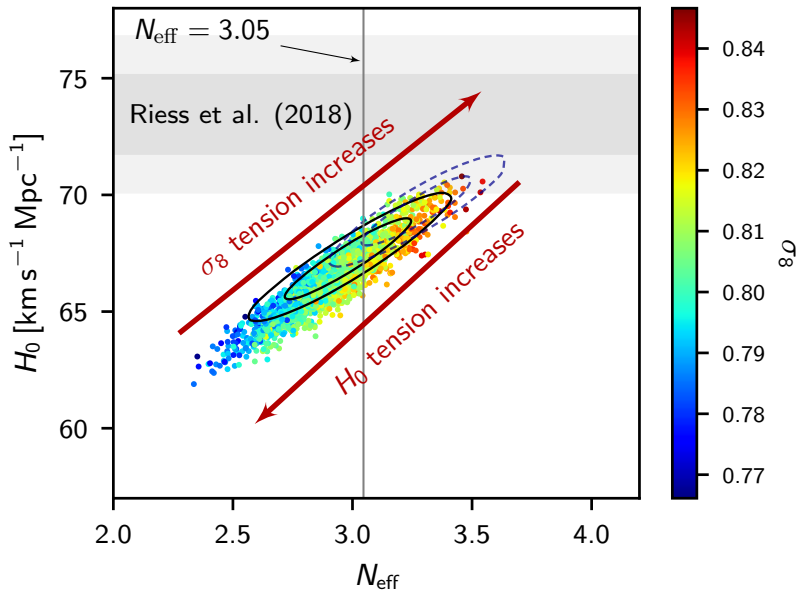
Ω_m : total matter density today divided by the critical density

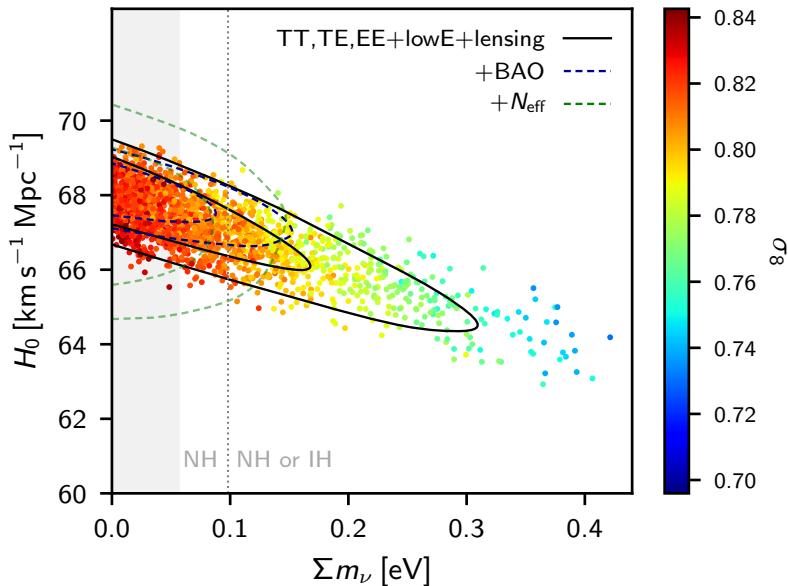


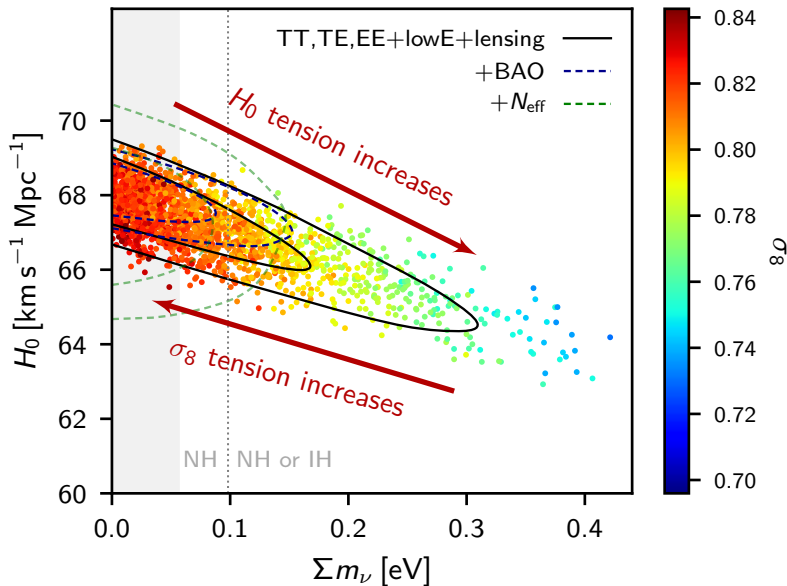


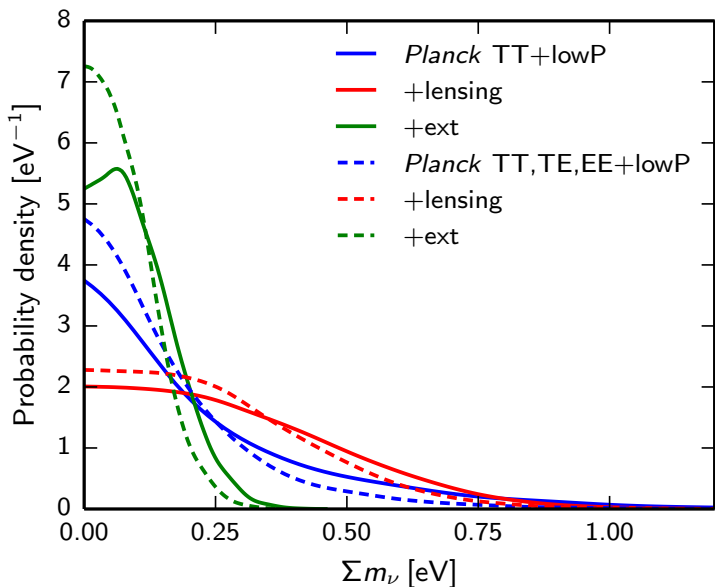


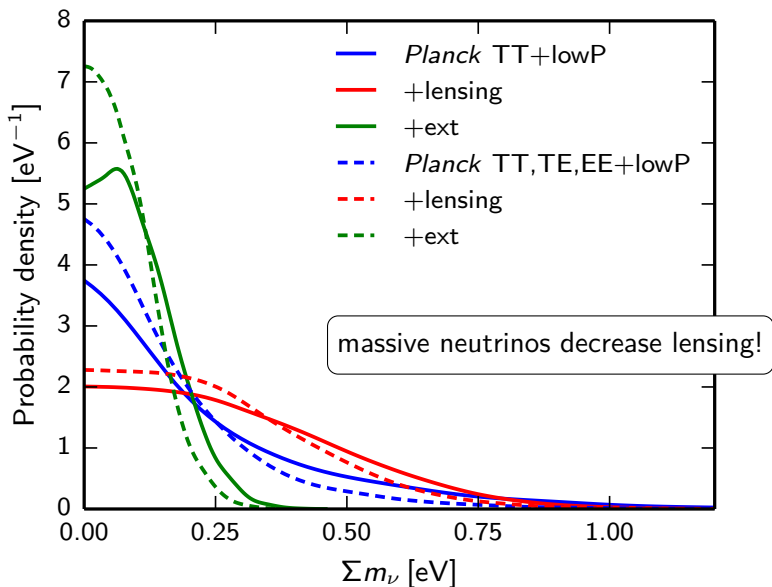












Normal ordering (NO)

$$m_1 < m_2 < m_3$$

$$\sum m_k \gtrsim 0.06 \text{ eV}$$

Inverted ordering (IO)

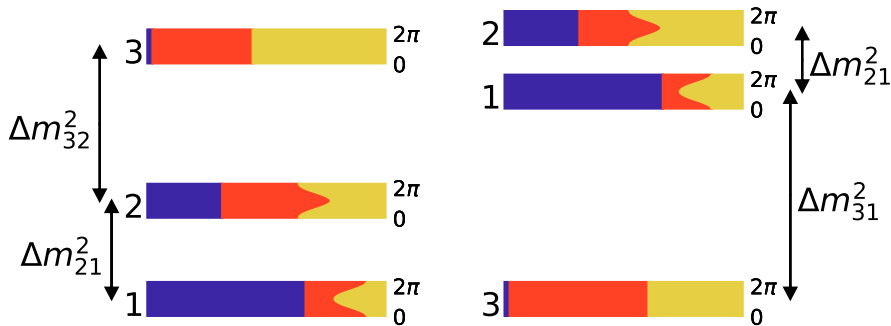
$$m_3 < m_1 < m_2$$

$$\sum m_k \gtrsim 0.1 \text{ eV}$$

 ν_e

 ν_μ

 ν_τ



Absolute scale unknown!

Can we constrain the mass ordering using bounds on $\sum m_\nu$?

Playing with priors

Bayes theorem:

$$p(\theta|d, \mathcal{M}) = \mathcal{L}(\theta) \frac{\pi(\theta|\mathcal{M})}{Z_{\mathcal{M}}}$$

posterior depends on prior!

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[Planck 2018]: prior

$$0 < \Sigma m_{\nu} < \mathcal{O}(1) \text{ eV}$$

strongest upper limit (95%):

$$\Sigma m_{\nu} < 113 \text{ meV}$$

(CMB+lens+BAO+SN)

corresponding to

$$\Sigma m_{\nu} < 53.6 \text{ meV (68\%)}$$

below minimum for NO!
does it make sense?

Playing with priors

Bayes theorem:

$$p(\theta|d, \mathcal{M}) = \mathcal{L}(\theta) \frac{\pi(\theta|\mathcal{M})}{Z_{\mathcal{M}}}$$

posterior depends on prior!

Different limits if you consider simply $\Sigma m_{\nu} > 0$ or you take into account oscillation results...

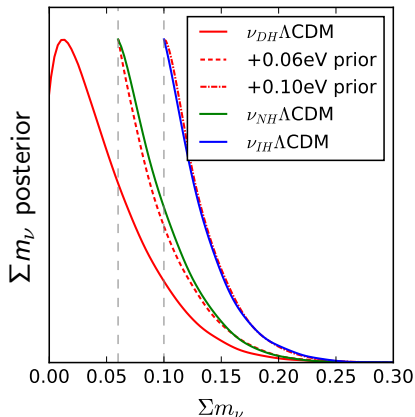
[Wang+, 2017]

degenerate (DH)

vs normal (NH)

vs inverted (IH) hierarchy

(i.e. change the prior lower bound)



Playing with priors

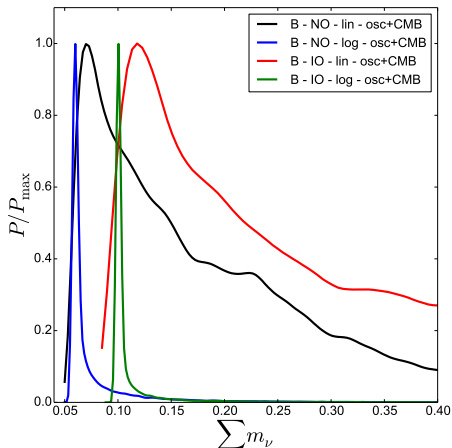
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posterior depends on prior!

You can artificially tighten the bounds on Σm_{ν} with different priors. . .

[SG+, 2018]
logarithmic
vs linear prior
on m_{lightest}



Playing with priors

Bayes theorem:

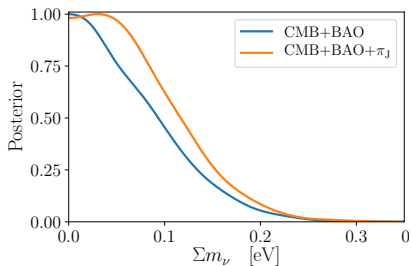
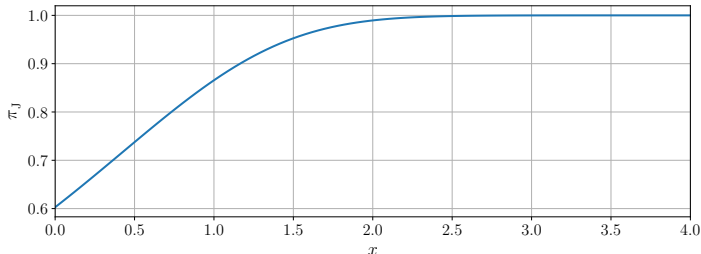
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posterior depends on prior!

[Hannestad+, 2017]

Jeffreys prior (π_J) for Σm_ν

π_J makes the posterior maximally sensitive to data for constrained parameter, compensate border effect



Playing with the baseline model

what if we release the assumption of the Λ CDM model?

CMB TT + lens
CMB TT,TE,EE

$$\begin{aligned}\Sigma m_\nu &< 0.68 \text{ eV} \\ \Sigma m_\nu &< 0.49 \text{ eV}\end{aligned}$$

[Planck 2015]

Λ CDM

CMB TT + lens + BAO
CMB TT,TE,EE + BAO

$$\begin{aligned}\Sigma m_\nu &< 0.25 \text{ eV} \\ \Sigma m_\nu &< 0.17 \text{ eV}\end{aligned}$$

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w CDM

free dark energy equation of state $w \neq -1$

$$\begin{aligned}\Sigma m_\nu &< 0.37 \text{ eV} \text{ [Planck 2015]} \\ \Sigma m_\nu &< 0.27 \text{ eV} \text{ [Wang+, 2016]}\end{aligned}$$

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[Planck 2015]
 Λ CDM + A_{lens}

free phenomenological lensing amplitude $A_{\text{lens}} \neq -1$

$$\Sigma m_\nu < 0.41 \text{ eV}$$

Playing with the baseline model

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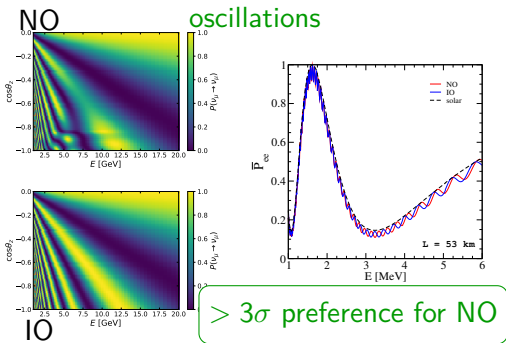
[Di Valentino+, 2015]

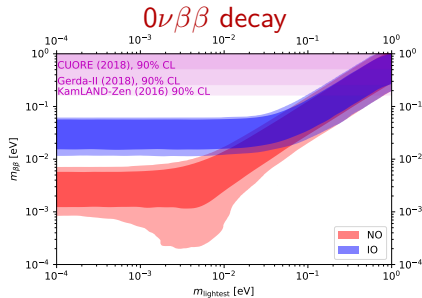
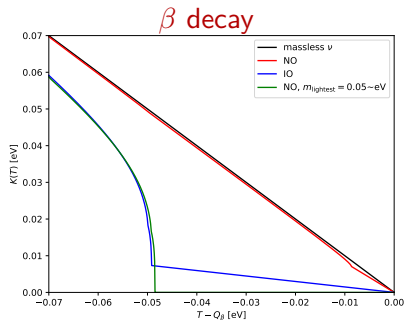
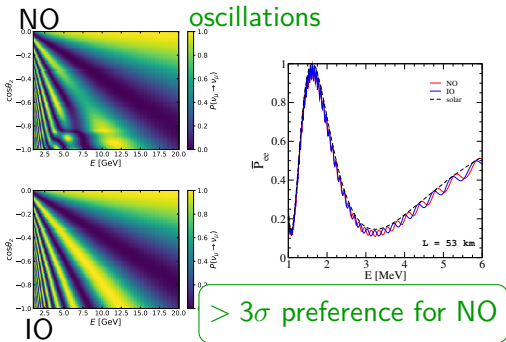
$$\Sigma m_\nu < 0.96 \text{ eV}$$

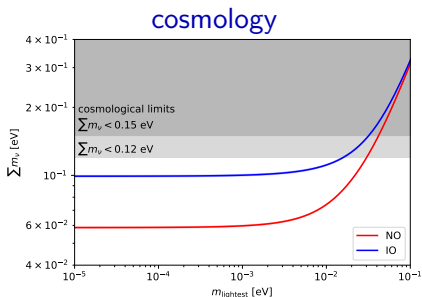
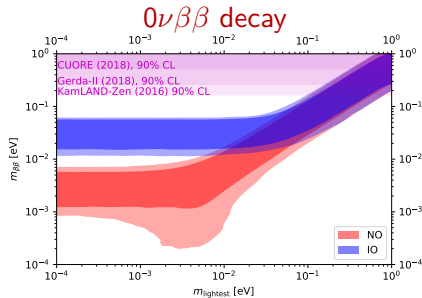
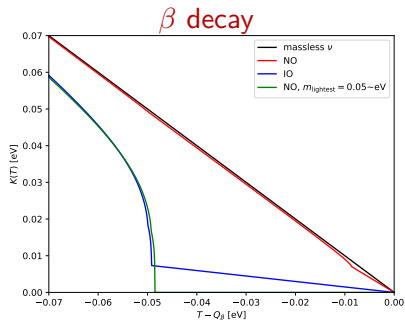
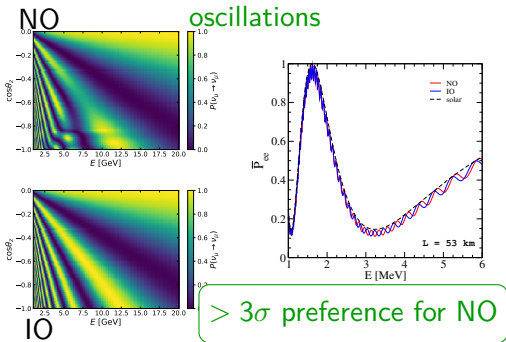
e CDM

12-parameters cosmological model, Λ CDM based

$$\Sigma m_\nu < 0.53 \text{ eV}$$







(Bayesian) results

Bayes theorem for models:

$$p(\mathcal{M}|d) \propto Z_{\mathcal{M}}\pi(\mathcal{M})$$

Bayesian evidence:

$$Z_{\mathcal{M}} = \int_{\Omega_{\mathcal{M}}} \mathcal{L}(\theta) \pi(\theta) d\theta$$

Bayes factor NO vs IO:

$$B_{\text{NO,IO}} = Z_{\text{NO}}/Z_{\text{IO}}$$

Posterior probability:

$$\begin{aligned} P_{\text{NO}} &= B_{\text{NO,IO}}/(B_{\text{NO,IO}} + 1) \\ P_{\text{IO}} &= 1/(B_{\text{NO,IO}} + 1) \end{aligned}$$

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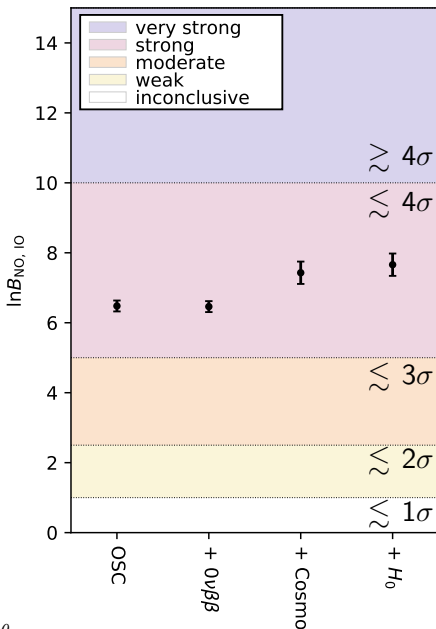
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1 *Introduction*

- Neutrinos and early Universe
- Relativistic neutrinos in the early Universe
- Massive neutrinos in the late Universe

2 *Current constraints*

- Cosmological observables
- Current status
- Extending the cosmological model
- Mass ordering

3 *Direct detection of relic neutrinos*

4 *Conclusions*

A viable detection method

How to directly detect non-relativistic neutrinos?

Remember that
 $\langle E_\nu \rangle \simeq \mathcal{O}(10^{-4})$ eV today



a process without energy
 threshold is necessary

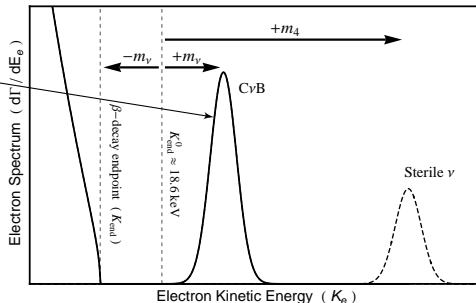
[Weinberg, 1962]: neutrino capture in β -decaying nuclei $\nu + n \rightarrow p + e^-$

Main background: β decay $n \rightarrow p + e^- + \bar{\nu}$!

signal is a peak at $2m_\nu$
 above β -decay endpoint

only with a lot of material

need a very good energy resolution



PonTecorvo Observatory for Light, Early-universe, Massive-neutrino Yield (PTOLEMY)

expected resolution $\Delta \simeq 0.1 \text{ eV?}$
 0.05 eV?

can probe $m_\nu \simeq 1.4\Delta \simeq 0.1 \text{ eV}$

built mainly for CNB

$M_T = 100 \text{ g of atomic } ^3\text{H}$

$$\Gamma_{\text{CNB}} = \sum_{i=1}^3 |U_{ei}|^2 [n_i(\nu_{h_R}) + n_i(\nu_{h_L})] N_T \bar{\sigma} \sim \mathcal{O}(10) \text{ yr}^{-1}$$

N_T number of ^3H nuclei in a sample of mass M_T $\bar{\sigma} \simeq 3.834 \times 10^{-45} \text{ cm}^2$ n_i number density of neutrino i

(without clustering)

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enhancement from ν clustering in the galaxy?

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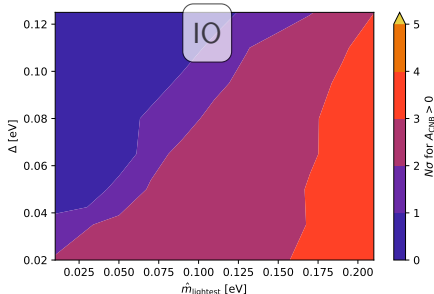
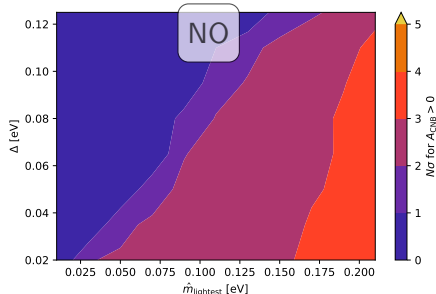
using the definition:

$$N_{\text{th}}^i(\theta) = A_\beta N_\beta^i(\hat{E}_{\text{end}} + \Delta E_{\text{end}}, m_i, U) + \mathbf{A}_{\text{CNB}} N_{\text{CNB}}^i(\hat{E}_{\text{end}} + \Delta E_{\text{end}}, m_i, U) + N_b$$

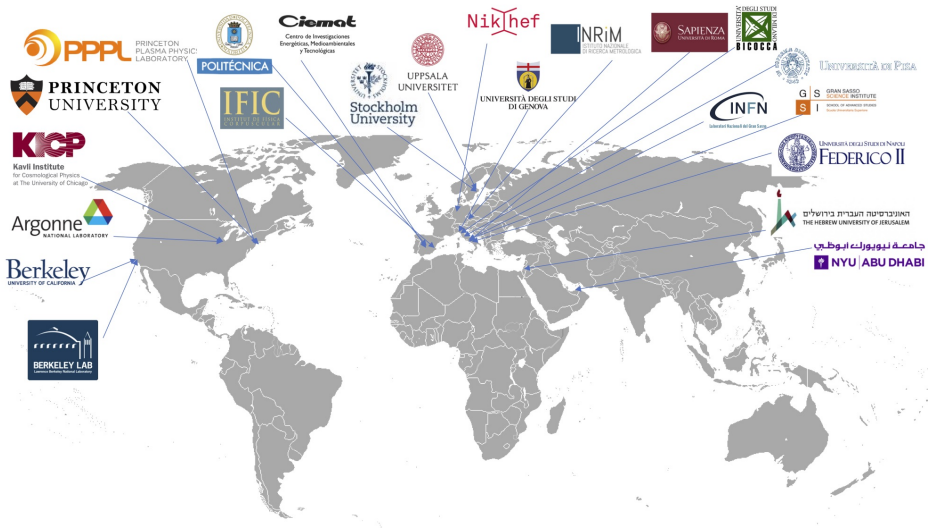
if $\mathbf{A}_{\text{CNB}} > 0$ at $N\sigma$, direct detection of CNB accomplished at $N\sigma$

statistical only!

significance on $\mathbf{A}_{\text{CNB}} > 0$
as a function of $\hat{m}_{\text{lightest}}$, Δ



PTOLEMY collaboration



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Conclusions

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Cosmology is an **excellent tool**
for studying neutrino properties!

In particular, **masses** and **effective number**

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But beware of **systematics/model dependency!**
Situation less clear than what usually stated?

In particular: **priors, model extensions**

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We need more data in order to
break degeneracies
between different parameters!

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Bonus

For a not-so-near future:
direct detection of relic neutrinos???

A long way to go. . .

Conclusions

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Thank you for the attention!

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$$\frac{d\Gamma_\beta}{dE_e} = \frac{\bar{\sigma}}{\pi^2} N_T \sum_{i=1}^{N_\nu} |U_{ei}|^2 H(E_e, m_i)$$

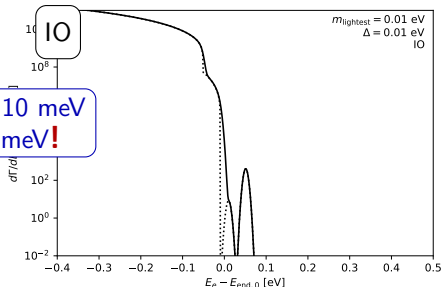
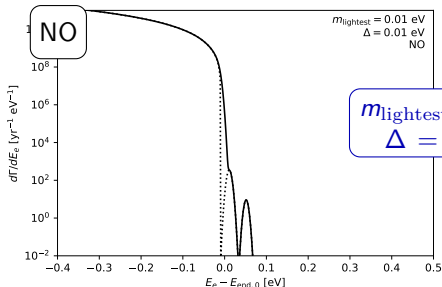
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$\bar{\sigma}$ cross section, N_T number of tritium atoms in $M_T = 100$ g, E_{end} endpoint, $\sigma = \Delta/\sqrt{8 \ln 2}$ standard deviation

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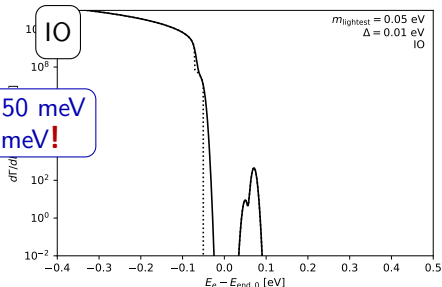
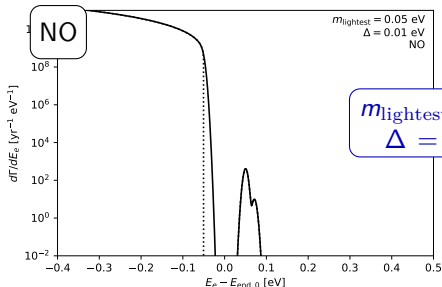
$m_{\text{lightest}} = 10 \text{ meV}$
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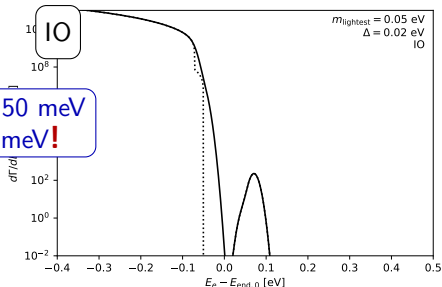
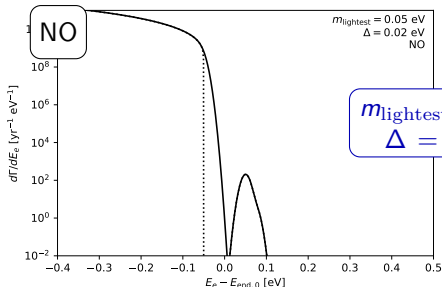
$m_{\text{lightest}} = 50 \text{ meV}$
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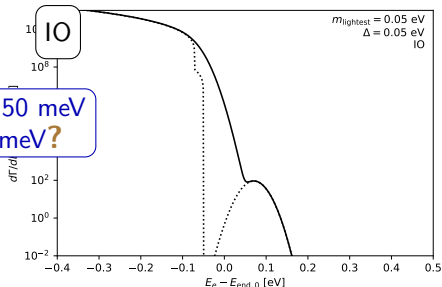
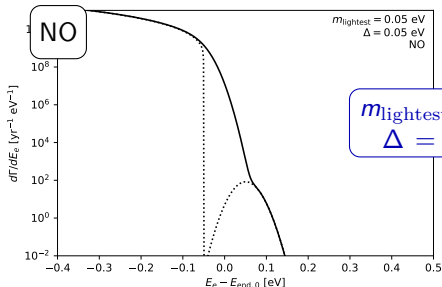
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