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Sterile neutrinos with the PTOLEMY project

PTOLEMY theory meeting, 21/09/2022









[masses from PDG 2020]



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[SG+, JPG 43 (2016) 033001]

Do three-neutrino oscillations explain all experimental results?

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Heavier neutrino states at oscillation/mass experiments

Oscillation probability:

$$P_{\nu_{\alpha} \to \nu_{\beta}}(L) = |\langle \nu_{\alpha} | \nu(L) \rangle|^{2} = \sum_{k,j} U_{\beta k} U_{\alpha k}^{*} U_{\beta j}^{*} U_{\alpha j} \exp\left(-i\frac{\Delta m_{k j}^{2} L}{2E}\right)$$

oscillation length decreases with increasing Δm_{ki}^2 !

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2 . \

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oscillation length decreases with increasing Δm_{ki}^2 !

Concerning the mixing matrix (3+1 scenario):

$$U = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{c_{14}}{c_{34}} & \frac{s_{44}}{c_{34}} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ c_{24} & 0 & \frac{s_{24}}{c_{24}} \\ 0 & 0 & -\frac{s_{14}}{c_{34}} & \frac{s_{24}}{c_{34}} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{c_{24}}{c_{34}} & 0 & \frac{s_{24}}{c_{34}} \\ 0 & -\frac{s_{24}}{c_{34}} & 0 & \frac{c_{24}}{c_{24}} \end{pmatrix} \begin{pmatrix} c_{14} & 0 & 0 & s_{14} \\ 0 & 1 & 0 & 0 \\ 0 & -\frac{s_{14}}{c_{34}} & 0 & 0 & \frac{c_{14}}{c_{13}} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & 0 & s_{13}e^{i\delta} & 0 \\ 0 & -\frac{s_{23}}{c_{33}} & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} & 0 \\ 0 & -\frac{s_{12}}{c_{13}} & c_{12} & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & c_{12} & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \end{pmatrix}$$
$$\Rightarrow |U|^{2} = \begin{pmatrix} c_{14}^{2}c_{13}^{2}c_{12}^{2} & c_{14}^{2}c_{13}^{2}s_{12}^{2} & c_{14}^{2}s_{13}^{2} & s_{14}^{2} \\ \cdots & \cdots & c_{14}^{2}c_{24}^{2}s_{34}^{2} \\ \cdots & \cdots & c_{14}^{2}c_{24}^{2}c_{34}^{2} \end{pmatrix}, s_{i4} \simeq 0, c_{i4} \simeq 1$$

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Heavier neutrino states at oscillation/mass experiments

Oscillation probability:

$$P_{\nu_{\alpha} \to \nu_{\beta}}(L) = |\langle \nu_{\alpha} | \nu(L) \rangle|^{2} = \sum_{k,j} U_{\beta k} U_{\alpha k}^{*} U_{\beta j}^{*} U_{\alpha j} \exp\left(-i\frac{\Delta m_{kj}^{*}L}{2E}\right)$$

oscillation length decreases with increasing Δm_{ki}^2 !

Concerning the mixing matrix (3+1 scenario):

$$U = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & c_{24} & s_{34} \\ 0 & 0 & -s_{34} & c_{34} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 & s_{14} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -s_{24} & 0 & c_{24} \end{pmatrix} \begin{pmatrix} c_{14} & 0 & 0 & s_{14} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -s_{34} & 0 & 0 & c_{14} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & c_{23} & s_{23} & 0 \\ 0 & -s_{23} & c_{23} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & c_{12} & 0 & 0 \\ -s_{13}e^{i\delta} & 0 & 0 & c_{13} & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & c_{12} & 0 & 0 \\ -s_{13}e^{i\delta} & 0 & 0 & c_{13} & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ \end{pmatrix}$$
$$\Rightarrow |U|^2 = \begin{pmatrix} c_{14}^2 c_{13}^2 c_{12}^2 & c_{14}^2 c_{13}^2 s_{12}^2 & c_{14}^2 s_{13}^2 & s_{14}^2 \\ \cdots & \cdots & c_{14}^2 s_{24}^2 s_{14}^2 \\ \cdots & \cdots & c_{14}^2 c_{24}^2 s_{24}^2 \\ \cdots & \cdots & c_{14}^2 c_{24}^2 c_{34}^2 \end{pmatrix}, s_{i4} \simeq 0, c_{i4} \simeq 1$$

Effect of neutrino masses in β and $0\nu\beta\beta$ decays: $\kappa(\tau) = \left[(Q_{\beta} - \tau) \sum_{i=1}^{N_{\nu}} |U_{ei}|^2 \sqrt{(Q_{\beta} - \tau)^2 - m_i^2} \right]^{1/2} \text{ and } m_{\beta\beta} = \left| \sum_k e^{i\alpha_k} \mu_k \right|, \text{ with } \mu_k \equiv U_{ek}^2 m_k$ S. Gariazzo "Sterile neutrinos with the PTOLEMY project" PTOLEMY theory meeting, 21/09/2022 3/10



$$eta$$
 decay: $\mathcal{N}(A, Z) \longrightarrow \mathcal{N}(A, Z+1) + e^- + \bar{\nu}_e$

 $Q_{\beta} = M_i - M_f - m_e$ total available energy $E_{\nu} = Q_{\beta} - T = Q_{\beta} - (E_e - m_e)$ neutrino energy

notice that max electron energy is:

$$T_{
m max} = Q_{eta} - m_{ar{
u}_e}$$

Kurie function: (degenerate ν masses) $K(T) = \left[(Q_{\beta} - T) \sqrt{(Q_{\beta} - T)^2 - m_{\tilde{\nu}_e}^2} \right]^{1/2}$

Useful to describe the e^- spectrum near the endpoint



$$\beta$$
 decay: $\mathcal{N}(A, Z) \longrightarrow \mathcal{N}(A, Z+1) + e^- + \bar{\nu}_e$

 $Q_{\beta} = M_i - M_f - m_e$ $E_{\nu} = Q_{\beta} - T = Q_{\beta} - (E_{\rho} - m_{\rho})$ total available energy neutrino energy

notice that max electron energy is:

 $T_{\rm max} = Q_{\beta} - m_{\bar{\nu}_{a}}$

Kurie function: (degenerate ν masses) $K(T) = \left[(Q_{\beta} - T) \sqrt{(Q_{\beta} - T)^2 - m_{\tilde{\nu}_s}^2} \right]^{1/2}$

Useful to describe the e spectrum near the endpoint

notice: flavor neutrinos have no definite mass! $|m_{\bar{\nu}_a}^2 = \sum |U_{ei}|^2 m_i^2$



$$\beta$$
 decay: $\mathcal{N}(A, Z) \longrightarrow \mathcal{N}(A, Z+1) + e^{-} + \bar{\nu}_{e}$

 $Q_{\beta} = M_i - M_f - m_e$ total available energy $E_{\nu} = Q_{\beta} - T = Q_{\beta} - (E_e - m_e)$

$$T_{\max} = Q_{\beta} - m_{\overline{\nu}_e}$$

Kurie function: (degenerate
$$\nu$$
 masses)

$$K(T) = \left[(Q_{\beta} - T) \sqrt{(Q_{\beta} - T)^2 - m_{\overline{\nu}_e}^2} \right]^{1/2}$$

Useful to describe the e^- spectrum near the endpoint

notice: flavor neutrinos have no definite mass! $m_{\tilde{
u}_d}^2$

$$V_{e} = \sum |U_{ei}|^2 m_i^2$$

$$\mathcal{K}(\mathcal{T}) = \begin{bmatrix} \mathsf{V}_{\mu} - \mathcal{T} \\ (\mathcal{Q}_{\beta} - \mathcal{T}) \sum_{i=1}^{N_{\nu}} |\mathcal{U}_{ei}|^2 \sqrt{(\mathcal{Q}_{\beta} - \mathcal{T})^2 - m_i^2} \end{bmatrix}^{1/2} \\ \overset{N_{\nu} \text{ neutrinos}}{\underset{\text{masses } m_i}{\underset{\text{enter } (|\mathcal{U}_{ei}|^2)}{\underset{\text{masses } n_i}{\underset{\text{enter } (|\mathcal{U}_{ei}|^2)}{\underset{\text{masses } n_i}{\underset{\text{masses } n_i}{\underset{\text{mass } n_i}{\underset{\text{mass } n_i}{\underset{\text{mass } n_i}{\underset{n_i}}}}}}}}}}}}}$$

 β decay

$$K(T) = \left[(Q_{\beta} - T) \sum_{i=1}^{N_{\nu}} |U_{ei}|^2 \sqrt{(Q_{\beta} - T)^2 - m_i^2} \right]^{1/2}$$



endpoint shifted + one kink for each mass eigenstate

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 β decay

$$K(T) = \left[(Q_{\beta} - T) \sum_{i=1}^{N_{\nu}} |U_{ei}|^2 \sqrt{(Q_{\beta} - T)^2 - m_i^2} \right]^{1/2}$$



Much harder to see the endpoint shift and kinks!

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Sterile neutrino in β decay



Sterile neutrino in β decay



[KATRIN, PRD 105 (2022)]

Sterile neutrino in β decay



[KATRIN, PRD 105 (2022)]

Sterile neutrino in β decay



final sensitivity will test several oscillation results!

search for keV states needs to measure the spectrum much further from the endpoint...

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KATRIN at keV scale

Observe β spectrum to detect heavier sterile neutrinos? Consider a two neutrinos scenario, mixing $\theta \rightarrow 0$ Active: $\cos^2 \theta$ Sterile: $\sin^2 \theta$



KATRIN at keV scale

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Observe β spectrum to detect heavier sterile neutrinos? Consider a two neutrinos scenario, mixing $\theta \rightarrow 0$ Active: $\cos^2 \theta$ Sterile: $\sin^2 \theta$



β and Neutrino Capture spectra

[PTOLEMY, JCAP 07 (2019) 047]

$$\left\{\frac{d\widetilde{\Gamma}_{\text{CNB}}}{dE_{\text{e}}}(E_{\text{e}}) = \frac{1}{\sqrt{2\pi}\sigma} \sum_{i=1}^{N_{\nu}} \bar{\sigma} N_{T} |U_{ei}|^2 n_0 f_c(m_i) \times e^{-\frac{[E_{\text{e}} - (E_{\text{end}} + m_i + m_{\text{lightest}})]^2}{2\sigma^2}}\right\}$$

$$\frac{d\Gamma_{\beta}}{dE_{e}} = \frac{\bar{\sigma}}{\pi^{2}} N_{T} \sum_{i=1}^{N_{\nu}} |U_{ei}|^{2} H(E_{e}, m_{i})$$

$$\left[\frac{d\widetilde{\Gamma}_{\beta}}{dE_{e}}(E_{e}) = \frac{1}{\sqrt{2\pi\sigma}} \int_{-\infty}^{+\infty} dx \, \frac{d\Gamma_{\beta}}{dE_{e}}(x) \, \exp\left[-\frac{(E_{e}-x)^{2}}{2\sigma^{2}}\right]\right]$$

and Neutrino Capture spectra

[PTOLEMY, JCAP 07 (2019) 047]



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PTOLEMY and the ν_4

 $\Gamma_{\rm C\nu B} = \mathcal{O}(10)/{
m yr} | \Gamma_4 \simeq \Delta N_{\rm eff} | U_{e4} |^2 f_c(m_4) \Gamma_{\rm CNB}$

 $\Delta N_{\rm eff} = ??$

$$[{
m SG}_{+}, {
m PLB} \ 2018] \ m_4 \ \simeq \ 1.15 \ {
m eV} \ |U_{e4}|^2 \ \simeq \ 0.01$$

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 Γ_4 depends probably on new physics!

[de Salas+, 2017]

 $f_c(m_4) = \mathcal{O}(10^2)$

PTOLEMY and the ν_4

[PTOLEMY, JCAP 07 (2019) 047]

1.15 eV \simeq 0.01

$$\Gamma_{C\nu B} = \mathcal{O}(10) / \text{yr} \qquad \left[\Gamma_4 \simeq \Delta N_{\text{eff}} |U_{e4}|^2 f_c(m_4) \Gamma_{\text{CNB}} \right] \qquad [SG+, \text{ PLB 2018}] \\ \Delta N_{\text{eff}} = ?? \qquad \begin{matrix} [\text{de Salas+, 2017}] \\ f_c(m_4) = \mathcal{O}(10^2) \end{matrix} \qquad \begin{matrix} |U_{e4}|^2 \simeq 0.01 \\ U_{e4}|^2 \simeq 0.01 \end{matrix} \right]$$

 Γ_4 depends probably on new physics!



PTOLEMY and the keV sterile neutrino

PTOLEMY can observe keV sterile even with RF

 $\Delta = 10 \text{ eV}, E - E_0 \in [-1000, 100] \text{ eV}$



 $\Delta m_{41}^2 > 1$ keV² should not be detectable: kink outside observed energy window, effect of $|U_{14}|^2$ degenerate with A_{β}

PTOLEMY and the keV sterile neutrino

PTOLEMY can observe keV sterile even with RF

 $\Delta = 10 \text{ eV}, E - E_0 \in [-1000, 100] \text{ eV}$



What is left to do?

understand problems when $m_4 \gtrsim |E - E_0|$

study more configurations (Δ , $E - E_0$ range of observation, target mass)

convert plots from $(\sin^2 \theta - \Delta m_{41}^2)$ to $(m_4 - \sin^2 \theta)$