 "la Caixa" Foundation  
Junior Leader  
Fellowship  
LCF/BQ/PI23/11970034

# Stefano Gariazzo

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Madrid (ES)*



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## New neutrino physics with early universe probes

(Posthumous) FELLINI Seminar, 19/10/2023

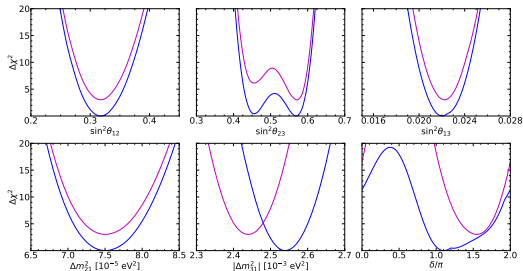
## A

## Active neutrinos

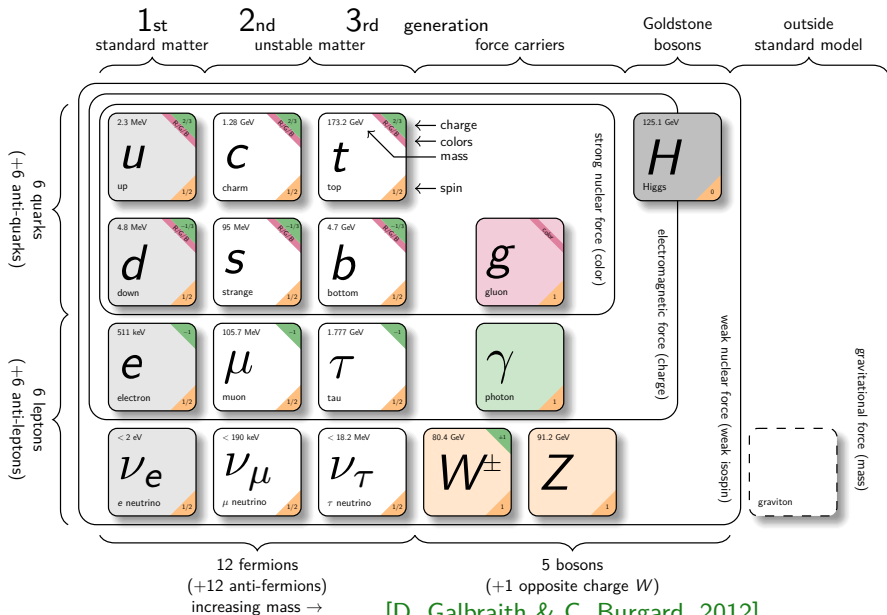
Spoiler: “Sterile” will come later

Based on:

- JHEP 02 (2021) 071 and update
- Planck 2018
- JCAP 04 (2021) 073

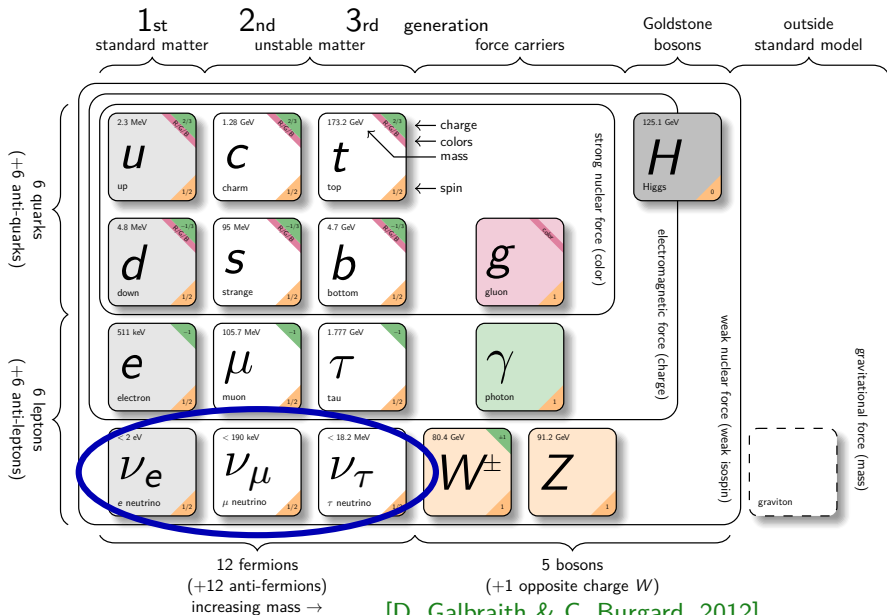


# The Standard Model of Particle Physics



[D. Galbraith & C. Burgard, 2012]

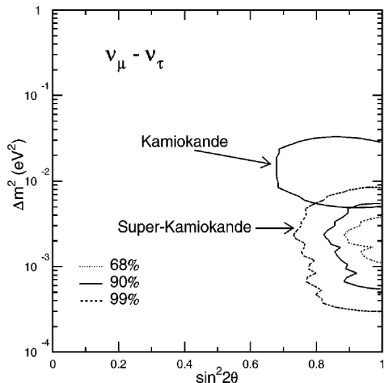
# The Standard Model of Particle Physics



# Neutrino oscillations

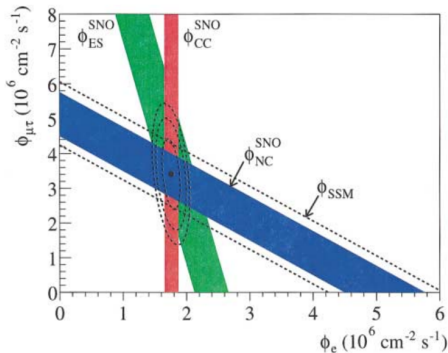
Major discoveries:

[SuperKamiokande, 1998]



first discovery of  $\nu_\mu \rightarrow \nu_\tau$   
oscillations from atmospheric  $\nu$

[SNO, 2001-2002]



first discovery of  $\nu_e \rightarrow \nu_\mu, \nu_\tau$   
oscillations from solar  $\nu$

Nobel prize in 2015

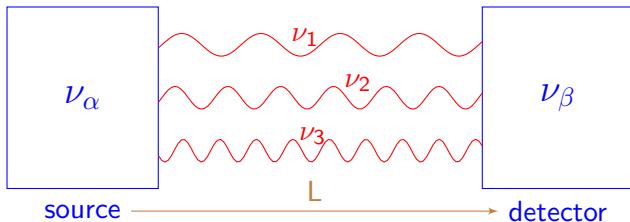
# Two neutrino bases

flavor neutrinos  $\nu_\alpha$

$$|\nu_\alpha\rangle = \sum_k U_{\alpha k} |\nu_k\rangle$$

massive neutrinos  $\nu_k$

$$|\nu(t=0)\rangle = |\nu_\alpha\rangle = U_{\alpha 1} |\nu_1\rangle + U_{\alpha 2} |\nu_2\rangle + U_{\alpha 3} |\nu_3\rangle$$



$$|\nu(t > 0)\rangle = |\nu_\beta\rangle = U_{\alpha 1} e^{-iE_1 t} |\nu_1\rangle + U_{\alpha 2} e^{-iE_2 t} |\nu_2\rangle + U_{\alpha 3} e^{-iE_3 t} |\nu_3\rangle \neq |\nu_\alpha\rangle$$

$$E_k^2 = p^2 + m_k^2 \longleftarrow \text{define} \longrightarrow t = L$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = |\langle \nu_\beta | \nu(L) \rangle|^2 = \sum_{k,j} U_{\beta k} U_{\alpha k}^* U_{\beta j}^* U_{\alpha j} \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

# The mixing matrix

$U$  can be parameterized using 3 angles ( $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$ ) and max 3 (1 Dirac  $\delta$ , 2 Majorana [ $\exists$  only for Majorana  $\nu$ ]) phases

$$U = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\substack{\text{mainly atmospheric} \\ \text{and LBL} \\ \text{accelerator} \\ \text{disappearance}}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix}}_{\substack{\text{mainly LBL reactors and} \\ \text{LBL accelerator} \\ \text{appearance}}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\substack{\text{mainly solar and} \\ \text{VLBL reactors}}} M$$

Majorana phases irrelevant for oscillation experiments ←

Relevant for example in neutrinoless double-beta decay

$$s_{ij} \equiv \sin \theta_{ij}; \quad c_{ij} \equiv \cos \theta_{ij}$$

LBL = long baseline; VLBL = very long baseline;

# Three Neutrino Oscillations

$$\nu_\alpha = \sum_{k=1}^3 U_{\alpha k} \nu_k \quad (\alpha = e, \mu, \tau)$$

$U_{\alpha k}$  described by 3 mixing angles  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$  and one CP phase  $\delta$

Current knowledge of the 3 active  $\nu$  mixing: [JHEP 02 (2021) update]

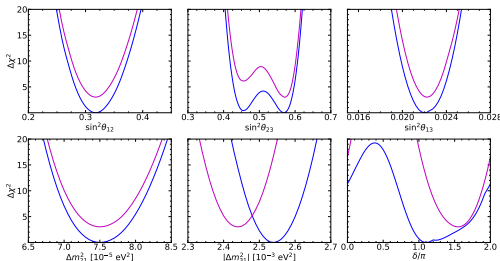
NO/NH: Normal Ordering/Hierarchy,  $m_1 < m_2 < m_3$

IO/IH: Inverted O/H,  $m_3 < m_1 < m_2$

$$\begin{aligned} \Delta m_{21}^2 &= (7.50^{+0.22}_{-0.20}) \cdot 10^{-5} \text{ eV}^2 \\ |\Delta m_{31}^2| &= (2.54 \pm 0.03) \cdot 10^{-3} \text{ eV}^2 \text{ (NO)} \\ &= (2.44 \pm 0.03) \cdot 10^{-3} \text{ eV}^2 \text{ (IO)} \end{aligned}$$

$$\begin{aligned} 10 \sin^2(\theta_{12}) &= 3.18 \pm 0.16 \\ 10^2 \sin^2(\theta_{13}) &= 2.200^{+0.069}_{-0.062} \text{ (NO)} \\ &= 2.225^{+0.064}_{-0.070} \text{ (IO)} \\ 10 \sin^2(\theta_{23}) &= 4.55 \pm 0.13 \text{ (NO)} \\ &= 5.71^{+0.14}_{-0.17} \text{ (IO)} \end{aligned}$$

$$\begin{aligned} \delta/\pi &= 1.10^{+0.27}_{-0.12} \text{ (NO)} \\ &= 1.54 \pm 0.14 \text{ (IO)} \end{aligned}$$



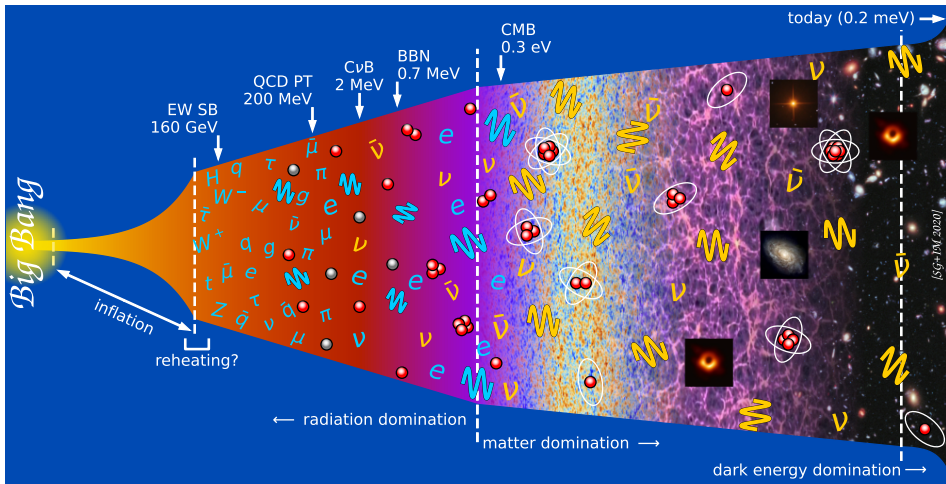
mass ordering  
still unknown

$\delta$  still unknown

see also: <http://globalfit.astroparticles.es>

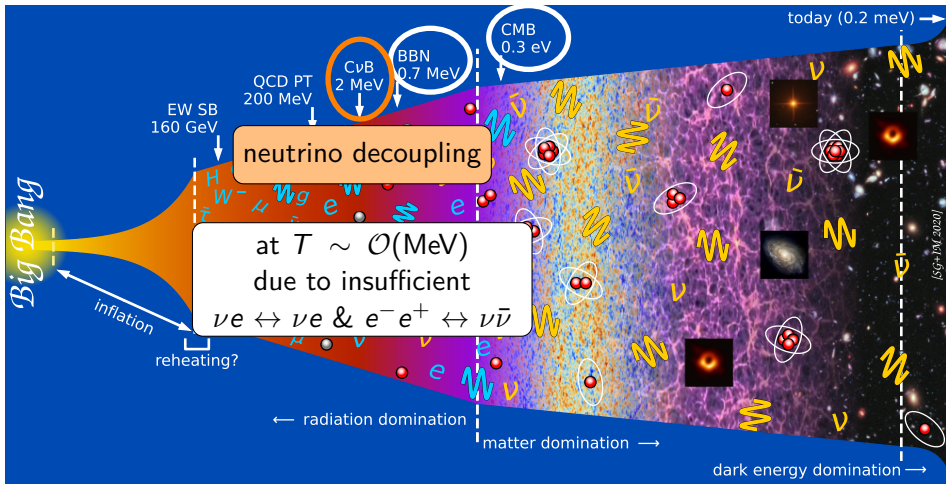


# History of the universe





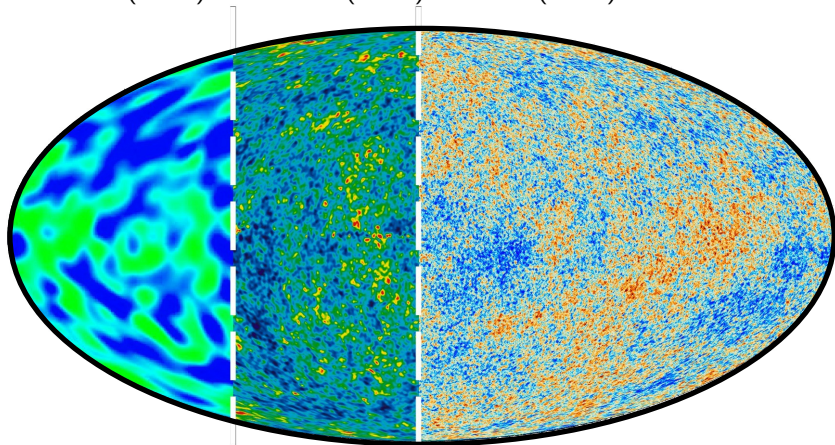
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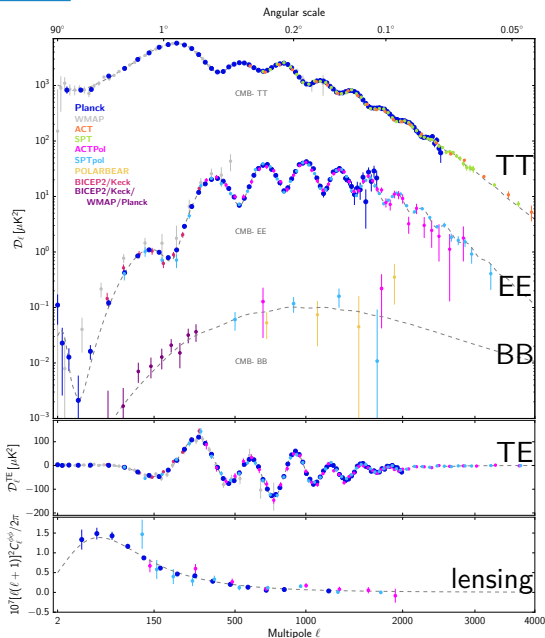
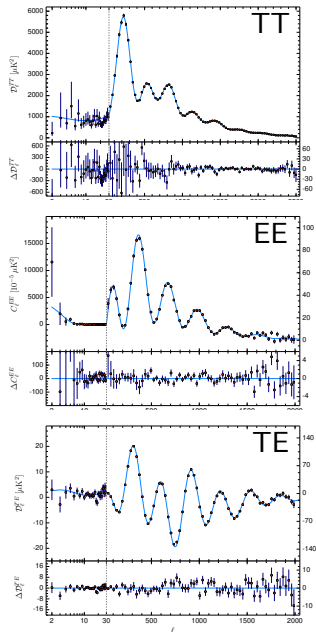


# The oldest picture of the Universe

The Cosmic Microwave Background, generated at  $t \simeq 4 \times 10^5$  years

COBE (1992)    WMAP (2003)    Planck (2013)





# Big Bang Nucleosynthesis (BBN)

BBN: production of light nuclei at  $t \sim 1\text{s}$  to  $t \sim \mathcal{O}(10^2)\text{s}$

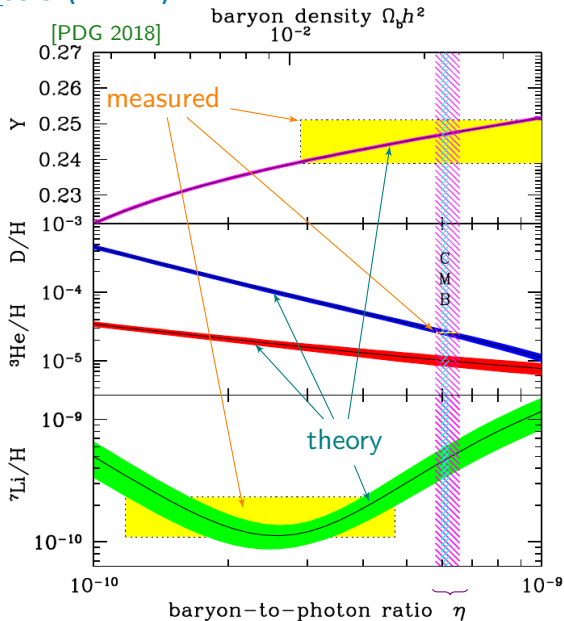
temperature  $T_{fr} \simeq 1\text{ MeV}$   
from nucleon freeze-out

much earlier than CMB!

strong probe for physics  
before the CMB

e.g. neutrinos!

$\nu$  affect  
universe expansion  
and  
reaction rates ( $\nu_e/\bar{\nu}_e$ )  
at BBN time...



BBN concordance

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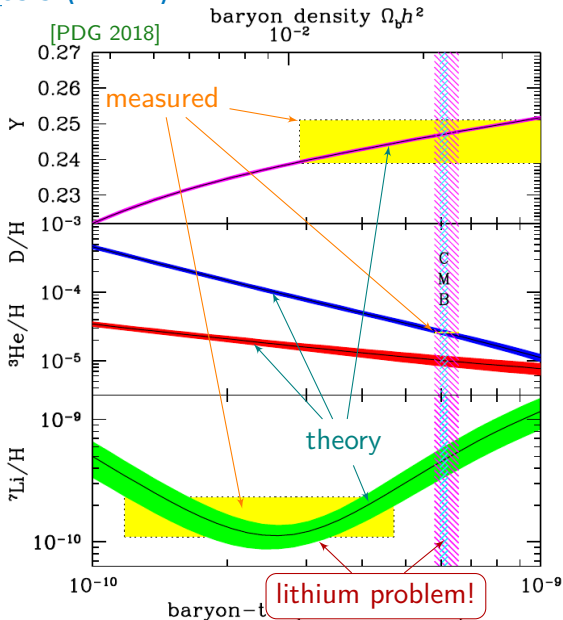
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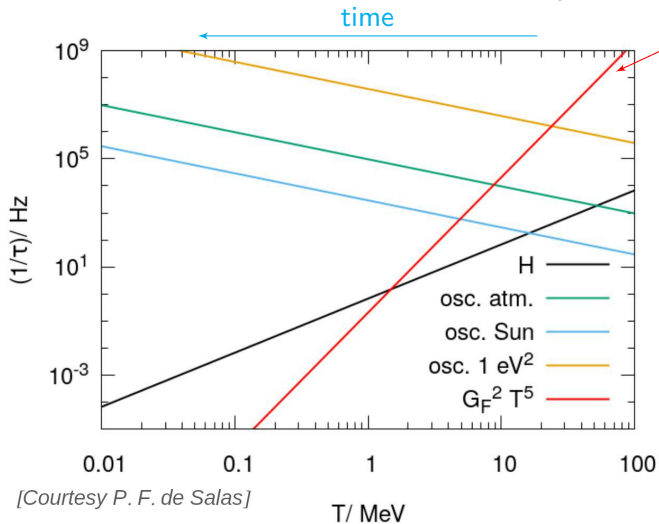
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# Neutrinos in the early Universe

before BBN: neutrinos coupled to plasma ( $\nu_\alpha \bar{\nu}_\alpha \leftrightarrow e^+ e^-$ ,  $\nu e \leftrightarrow \nu e$ )

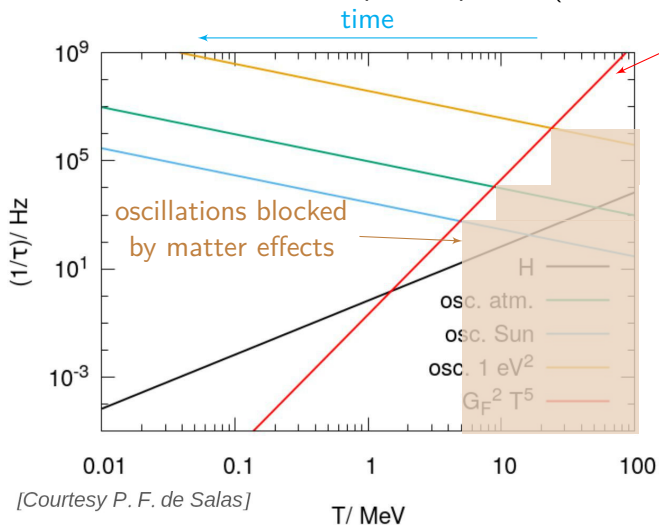


[Courtesy P. F. de Salas]



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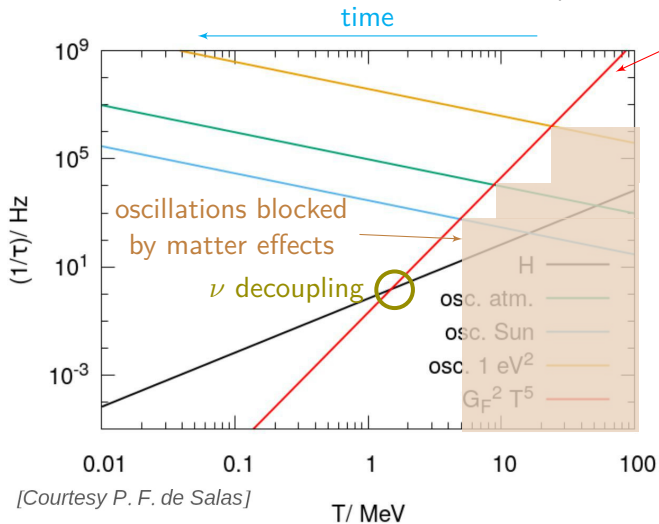
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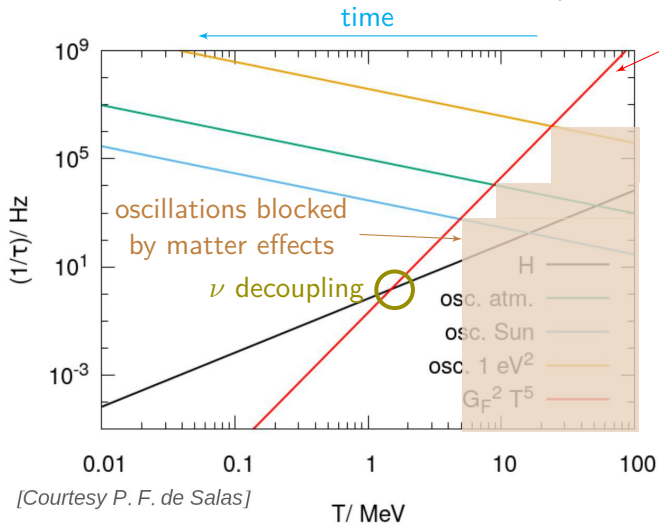
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$\nu$  decouple mostly before  $e^+ e^- \rightarrow \gamma\gamma$  annihilation!

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$$T_\nu \simeq (4/11)^{1/3} T_\gamma$$

after  $e^+ e^- \rightarrow \gamma\gamma$

$f_\nu$ : frozen Fermi-Dirac distribution

Today:

$$T_{\nu,0} = 1.945 \text{ K} \simeq 1.676 \times 10^{-4} \text{ eV}$$

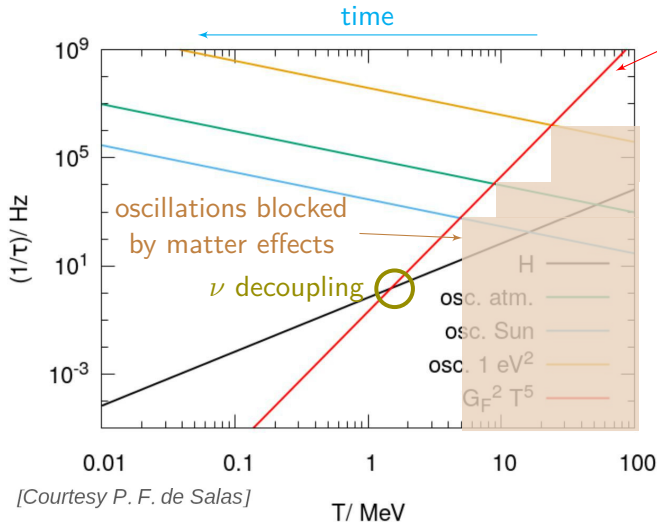
$$\langle E_\nu \rangle \simeq 3.1 T_{\nu,0} \simeq 5 \times 10^{-4} \text{ eV}$$

$$n_0 = n_{\nu,0} = n_{\bar{\nu},0} \simeq 56 \text{ cm}^{-3} \text{ per family}$$

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$\nu$  decouple mostly before  $e^+ e^- \rightarrow \gamma\gamma$  annihilation!  
 actually, the decoupling  $T$  is momentum dependent!

distortions to equilibrium  $f_\nu$ !

# $\nu$ oscillations in the early universe

[Bennett, SG+, JCAP 2021]

[Sigl, Raffelt, 1993]

comoving coordinates:  $a = 1/T$   $x \equiv m_e a$   $y \equiv p a$   $z \equiv T_\gamma a$   $w \equiv T_\nu a$

$$\text{density matrix: } \rho(x, y) = \begin{pmatrix} \rho_{ee} \equiv f_{\nu_e} & \rho_{e\mu} & \rho_{e\tau} \\ \rho_{\mu e} & \rho_{\mu\mu} \equiv f_{\nu_\mu} & \rho_{\mu\tau} \\ \rho_{\tau e} & \rho_{\tau\mu} & \rho_{\tau\tau} \equiv f_{\nu_\tau} \end{pmatrix}$$

$$\propto \langle a_j^\dagger(p, t) a_i(p, t) \rangle$$

off-diagonals to take into account coherency in the neutrino system

$$\rho \text{ evolution from } x \text{ to } y: \quad x H \frac{d\rho(y, x)}{dx} = -ia[\mathcal{H}_{\text{eff}}, \rho] + b\mathcal{I}$$

$H$  Hubble factor  $\rightarrow$  expansion (depends on universe content)

$$\text{effective Hamiltonian } \mathcal{H}_{\text{eff}} = \frac{M_F}{2y} - \frac{2\sqrt{2}G_F y m_e^6}{x^6} \left( \frac{E_\ell + P_\ell}{m_W^2} + \frac{4}{3} \frac{E_\nu}{m_Z^2} \right)$$

vacuum oscillations  $\leftarrow$

$\rightarrow$  matter effects

$\mathcal{I}$  collision integrals

take into account  $\nu$ -e scattering and pair annihilation,  $\nu$ - $\nu$  interactions

2D integrals over momentum, take most of the computation time

$$\text{solve together with } z \text{ evolution, from } x \frac{d\rho(x)}{dx} = \rho - 3P$$

$\rho$ ,  $P$  total energy density and pressure, also take into account FTQED corrections

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[Sigl, Raffelt, 1993]

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 $\propto \langle a_j^\dagger(p, t) a_i(p, t) \rangle$   
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$$\varrho \text{ evolution from } x \text{ to } y: \frac{d\varrho(y, x)}{dx} = -ia[\mathcal{H}_{\text{eff}}, \varrho] + b\mathcal{I}$$

FORTRAN-Evolved Primordial Neutrino Oscillations  
(FortEPiano)

[https://bitbucket.org/ahep\\_cosmo/fortepiano\\_public](https://bitbucket.org/ahep_cosmo/fortepiano_public)

vacuum oscillations

matter effects

$\mathcal{I}$  collision integrals

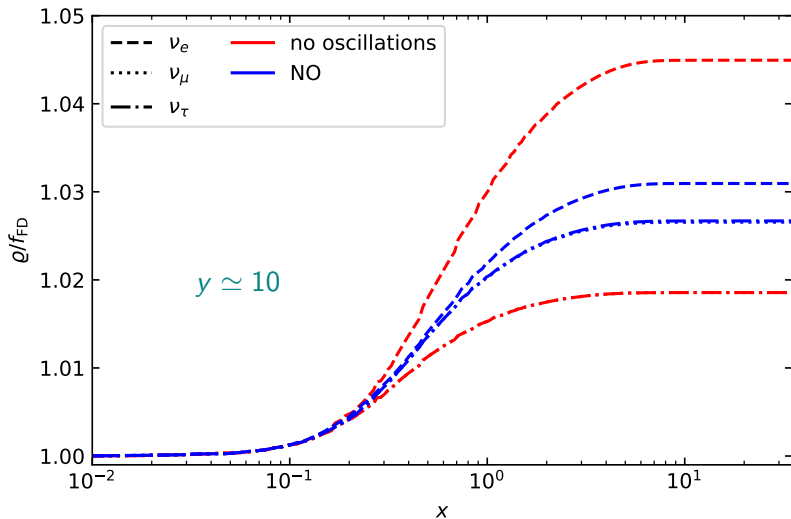
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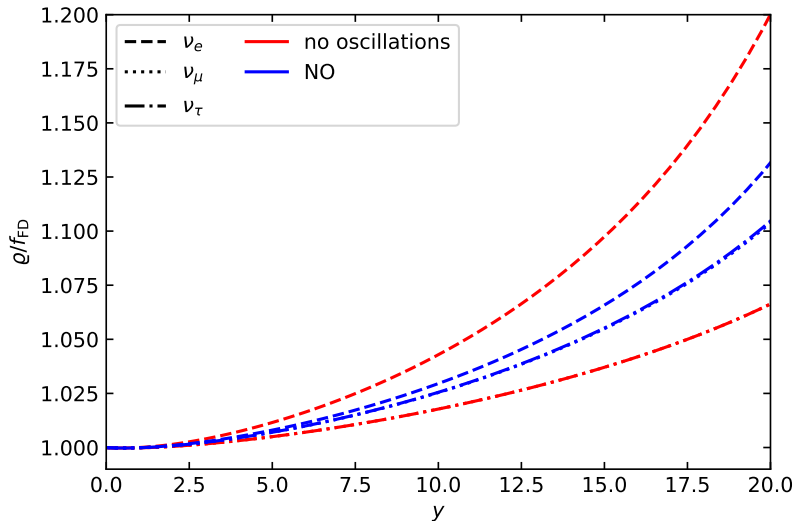
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$\rho$ ,  $P$  total energy density and pressure, also take into account FTQED corrections

Distortion of the momentum distribution ( $f_{\text{FD}}$ : Fermi-Dirac at equilibrium)



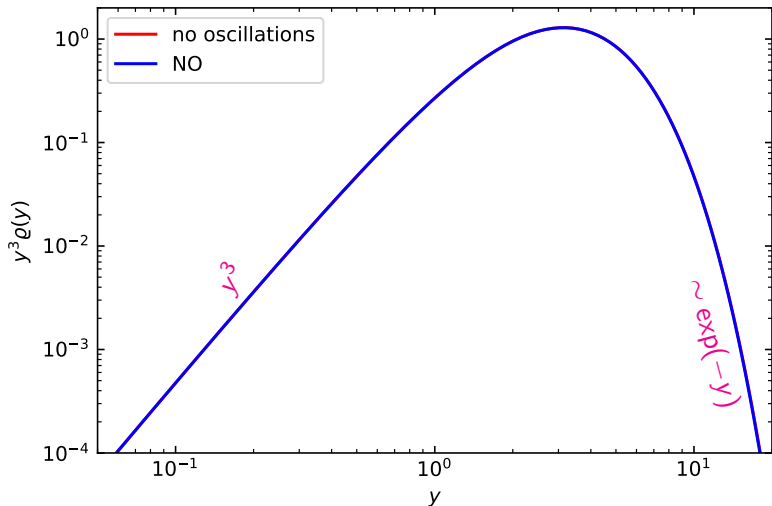
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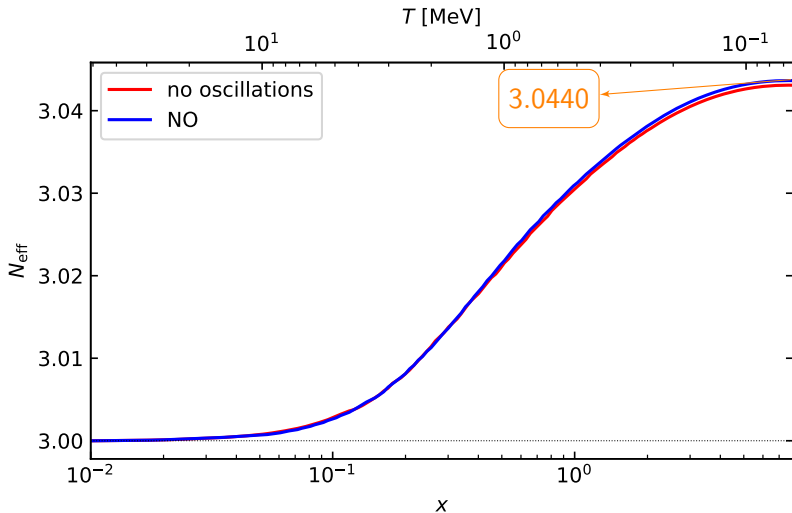


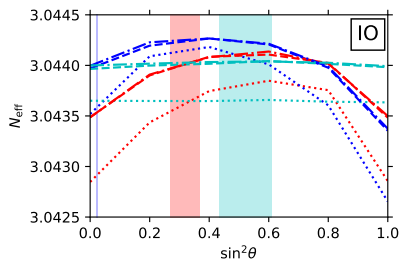
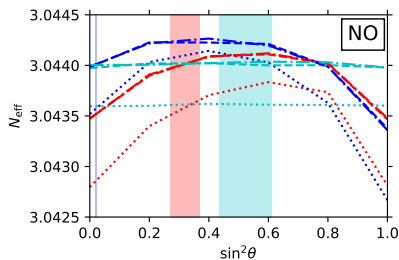
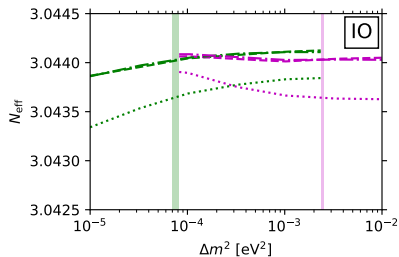
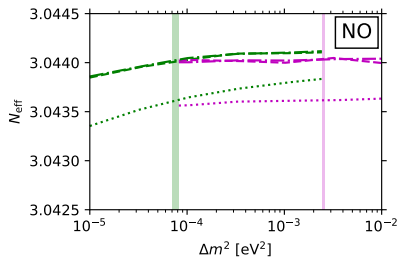
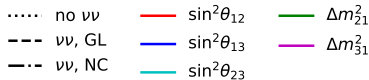
$$N_{\text{eff}}^{\text{final}} = \frac{8}{7} \left(\frac{11}{4}\right)^{4/3} \frac{\rho_\nu}{\rho_\gamma} = \frac{8}{7} \left(\frac{11}{4}\right)^{4/3} \frac{1}{\rho_\gamma} \sum_i g_i \int \frac{d^3 p}{(2\pi)^3} E(p) f_{\nu,i}(p)$$

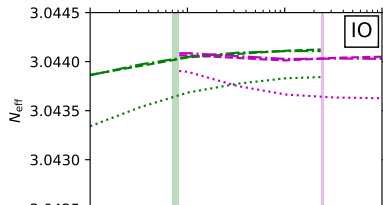
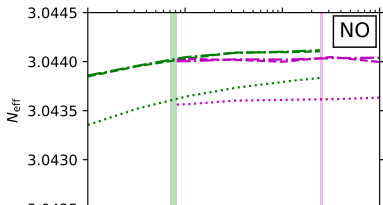
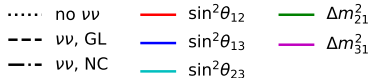
$(11/4)^{1/3} = (T_\gamma/T_\nu)^{\text{fin}}$ 
 $\hookrightarrow \propto y^3 g_{ii}(y)$



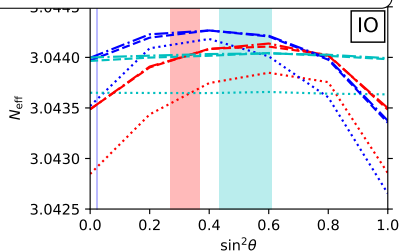
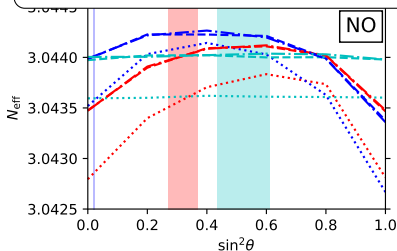
$$N_{\text{eff}}^{\text{any time}} = \frac{8}{7} \left( \frac{T_\gamma}{T_\nu} \right)^4 \frac{\rho_\nu}{\rho_\gamma} = \frac{8}{7} \left( \frac{T_\gamma}{T_\nu} \right)^4 \frac{1}{\rho_\gamma} \sum_i g_i \int \frac{d^3 p}{(2\pi)^3} E(p) f_{\nu,i}(p)$$

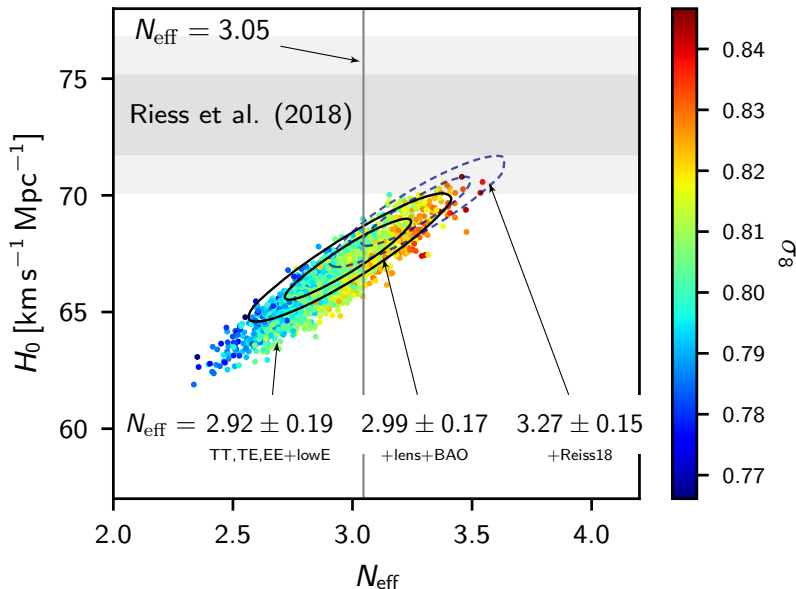






within  $3\sigma$  ranges allowed by global fits [deSalas, SG+, JHEP 2021]  
 only  $\theta_{12}$  affects  $N_{\text{eff}}$ , at most by  $\delta N_{\text{eff}} \approx 10^{-4}$





# $N_{\text{eff}}$ and BBN

BBN: production of light nuclei  
at  $t \sim 1\text{s}$  to  $t \sim \mathcal{O}(10^2)\text{s}$

temperature  $T_{\text{fr}} \simeq 1\text{ MeV}$   
from nucleon freeze-out:

$$\Gamma_{n \leftrightarrow p} \sim G_F^2 T^5 = H \sim \sqrt{g_* G_N T^2}$$

$$T_{\text{fr}} \simeq (g_* G_N / G_F^4)^{1/6}$$

enters

$$n/p = \exp(-Q/T_{\text{fr}})$$

which controls element abundances

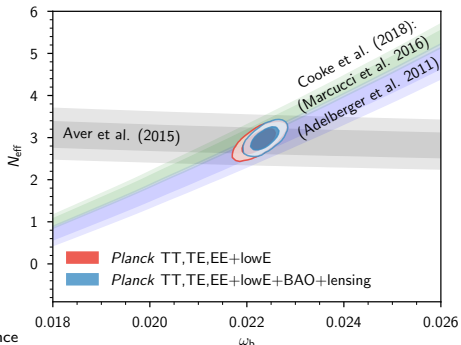
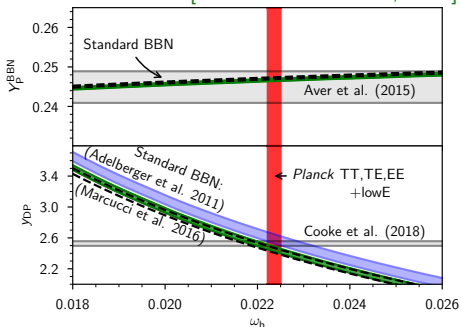
$$g_* \text{ depends on } N_{\text{eff}}$$

abundances depend on  $N_{\text{eff}}$

$G_F$  Fermi constant     $n, p$ : neutron, proton density number  
 $G_N$  Newton constant     $Q = 1.293\text{ MeV}$  neutron-proton mass difference

S. Gariazzo    "New neutrino physics with early universe probes"

[Planck Collaboration, 2018]



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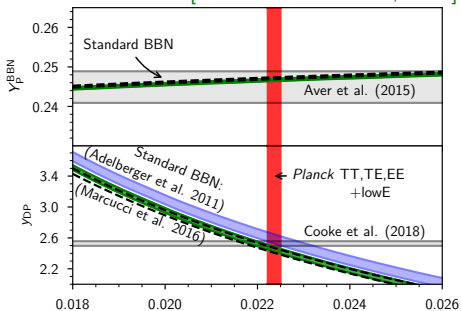
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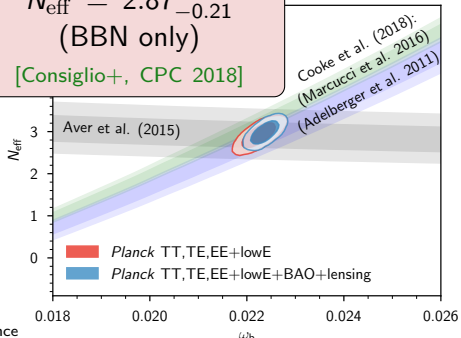
[Planck Collaboration, 2018]



$$N_{\text{eff}} = 2.87^{+0.24}_{-0.21}$$

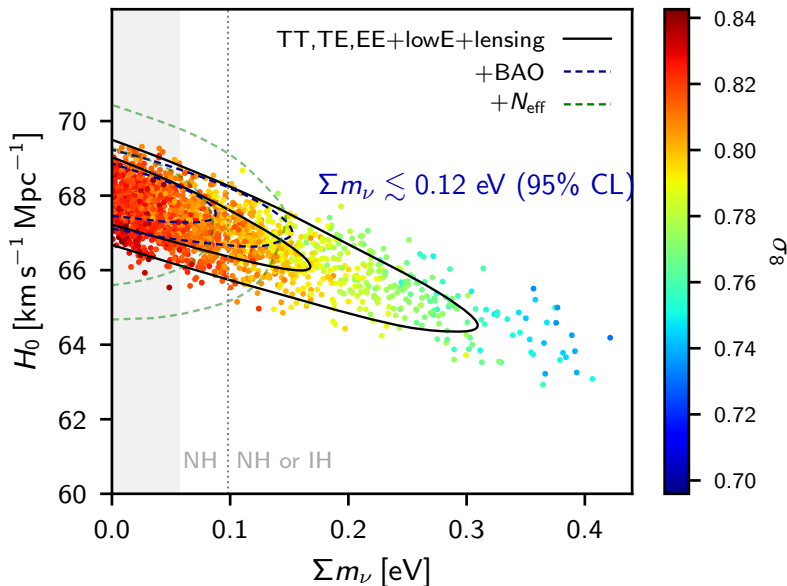
(BBN only)

[Consiglio+, CPC 2018]



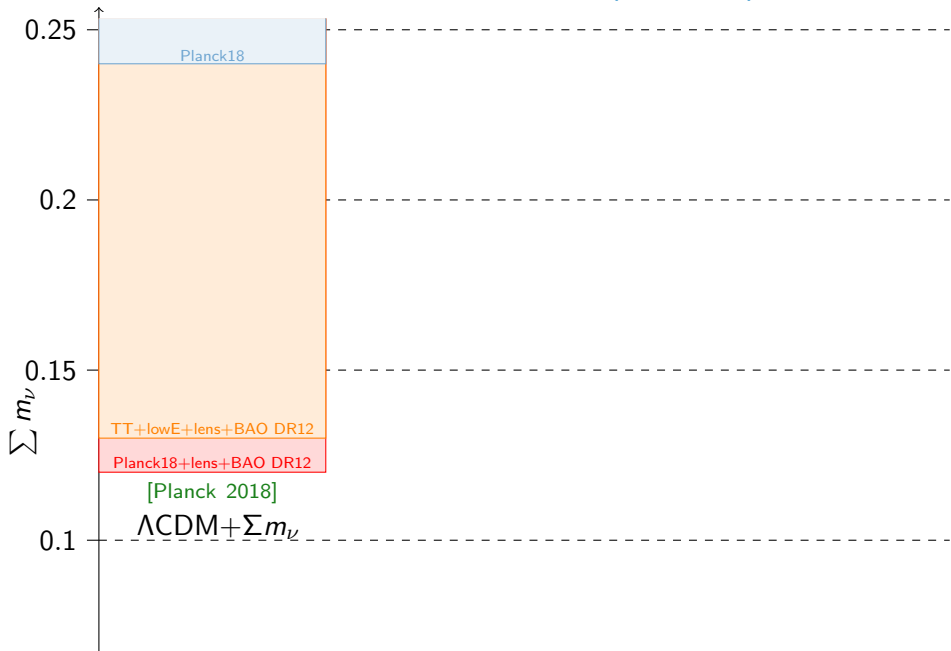
FELLINI Seminar, 19/10/2023

15/40

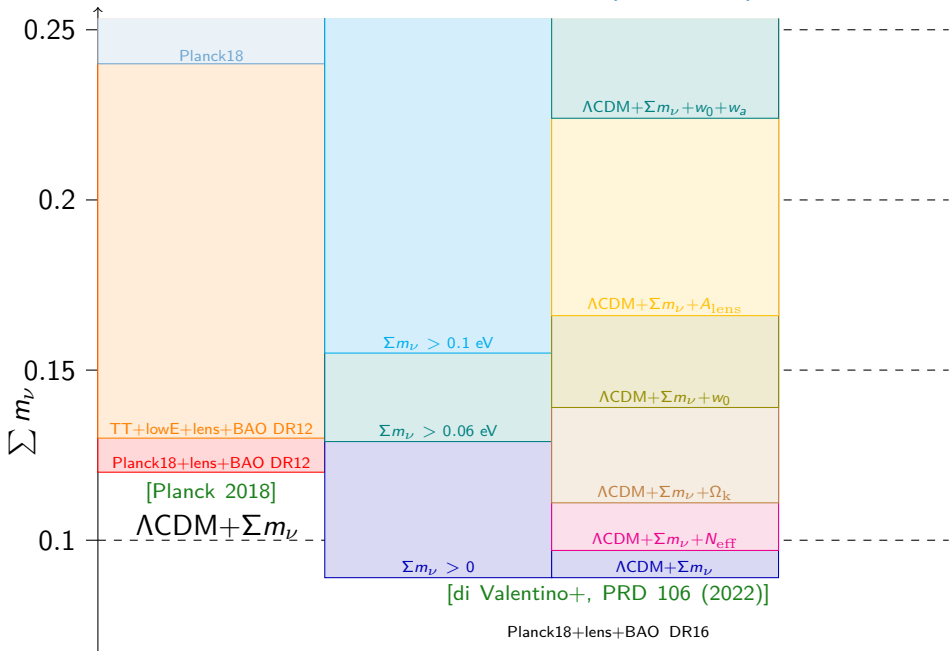




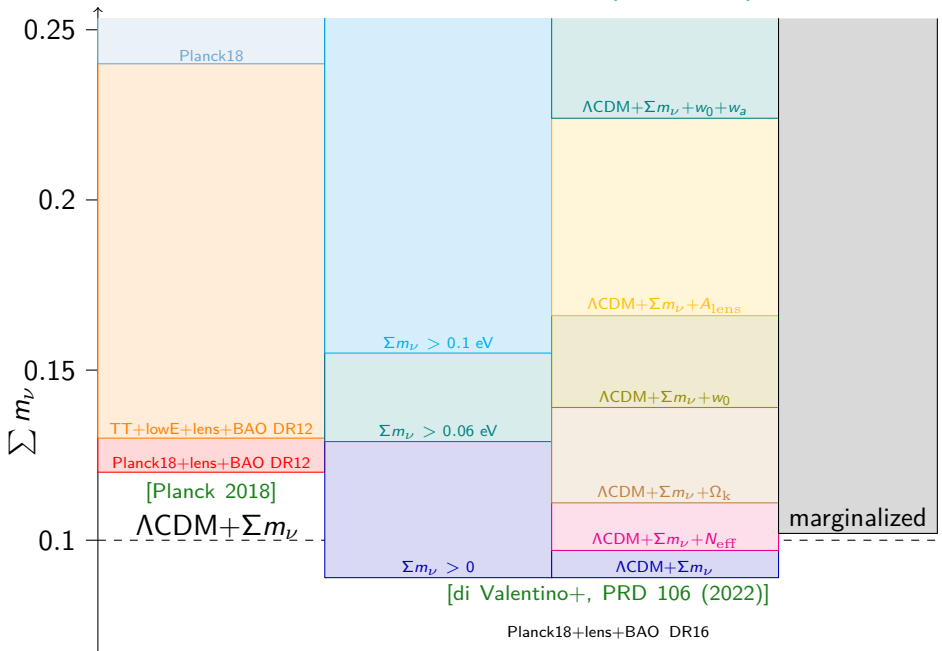
# Cosmological neutrino mass bounds (95% CL)



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# Cosmological neutrino mass bounds (95% CL)



# Can a cosmological limit on $\Sigma m_\nu$ disfavor IO?

[PDU 40 (2023)]

standard factor

Cosmology measures  $\omega_\nu = \Omega_\nu h^2 = \Sigma m_\nu / (94.12 \text{ eV})$

NO:  $\Sigma m_\nu \gtrsim 0.06 \text{ eV}$

Current:  $\Sigma m_\nu \lesssim 0.1 \text{ eV}$  (95%)

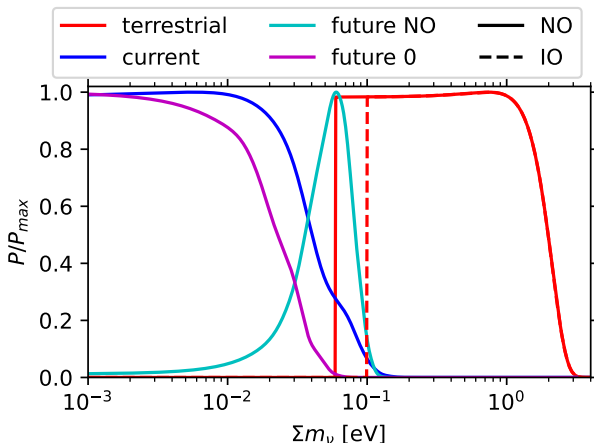
IO:  $\Sigma m_\nu \gtrsim 0.1 \text{ eV}$

Future sensitivity:  $\sigma(\Sigma m_\nu) \simeq 0.02 \text{ eV}$

Still preferring  $\Sigma m_\nu = 0$ ?

Will measure e.g.  $\Sigma m_\nu = 0.06 \text{ eV}$ ?

tension ever  
with NO!



confirm NO,  
disfavor IO

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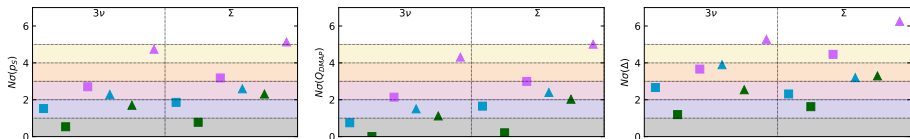
Is there a tension between cosmology and oscillations?

or will there be a tension?

several possible tests can be considered, similar results

$\Sigma m_\nu \lesssim 0.1 \text{ eV}$  (95%)  
 $\Sigma m_\nu = 0.06 \pm 0.02 \text{ eV}$  ( $1\sigma$ )  
 $\Sigma m_\nu = 0.00 \pm 0.02 \text{ eV}$  ( $1\sigma$ )

● current      ■ NO  
● future NO    ▲ IO  
● future 0



currently only mild tension between cosmology and oscillations

future NO can be at  $\sim 2\sigma$  tension with IO

future 0 can be at  $\sim 2 - 3\sigma$  tension with NO,  $\gtrsim 4\sigma$  with IO

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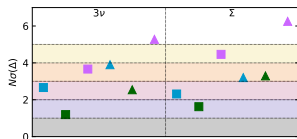
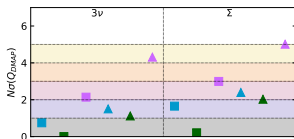
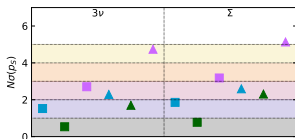
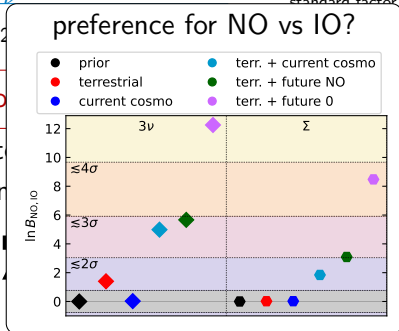
Cosmology measures  $\omega_\nu = \Omega_\nu h^2$

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several possible tests can be con

- $\Sigma m_\nu \lesssim 0.1$  eV (95%) ● current
- $\Sigma m_\nu = 0.06 \pm 0.02$  eV ( $1\sigma$ ) ● future NO
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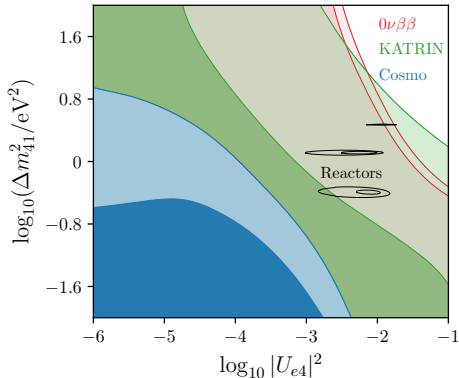
future 0 can be at  $\sim 2 - 3\sigma$  tension with NO,  $\gtrsim 4\sigma$  with IO

# B Sterile neutrinos

let's pretend they exist

Based on:

- JPG 43 (2016) 033001
- JHEP 06 (2017) 135
- PLB 782 (2018) 13-21
- JCAP 07 (2019) 014
- PRD 104 (2021) 123524
- JCAP 03 (2023) 046

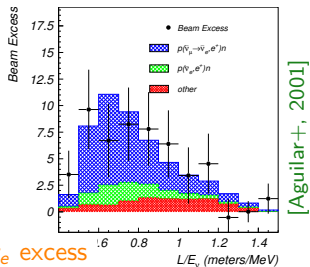


Do three-neutrino oscillations explain all experimental results?



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LSND

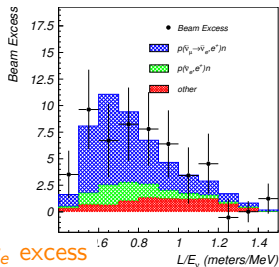


[Aguilar+, 2001]

 $3.8\sigma$  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  excess

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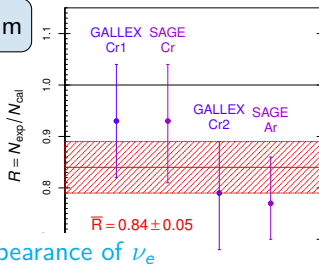


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Gallium

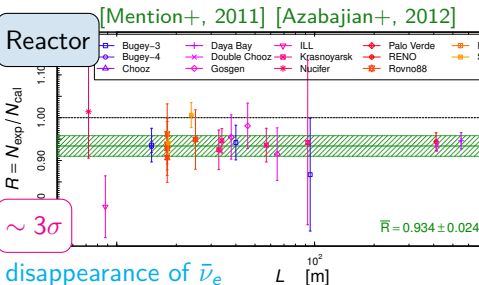


[Giunti, Laveder, 2011]

2.7σ

disappearance of  $\nu_e$

Reactor



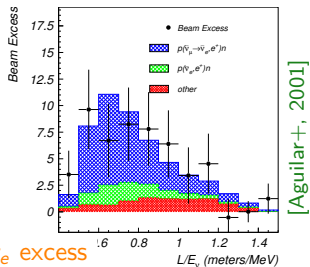
[Mention+, 2011] [Azabajian+, 2012]

~ 3σ

disappearance of  $\bar{\nu}_e$

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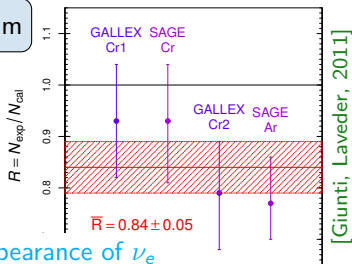
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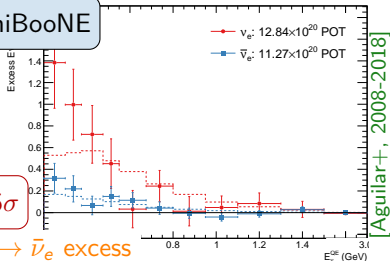
Gallium



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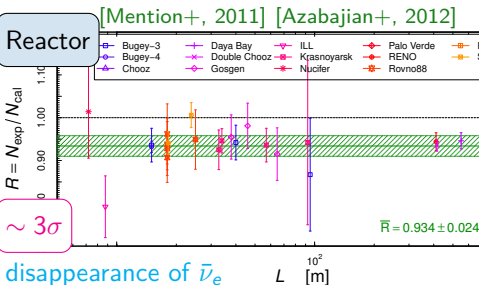
MiniBooNE



$\sim 5\sigma$

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  excess

Reactor

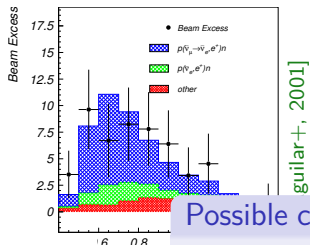


$\sim 3\sigma$

disappearance of  $\bar{\nu}_e$

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LSND

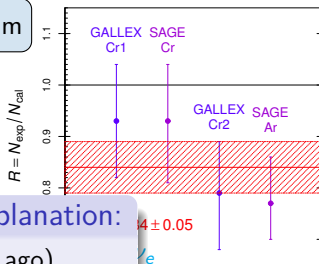


guilard+, 2001]

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[Giunti, Laveder, 2011]

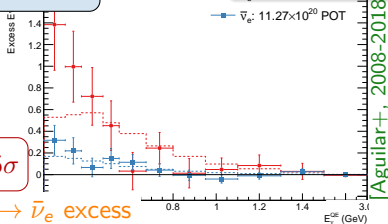
Possible common explanation:

(until a few years ago)

Additional squared mass difference

$$\Delta m_{\text{SBL}}^2 \simeq 1 \text{ eV}^2$$

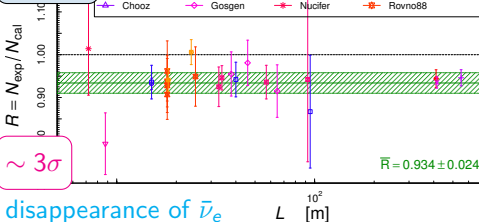
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Aguilar+, 2008-2018]

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disappearance of  $\bar{\nu}_e$

## Short BaseLine (SBL)

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = |\langle \nu_\alpha | \nu(L) \rangle|^2 = \sum_{k,j} U_{\beta k} U_{\alpha k}^* U_{\beta j}^* U_{\alpha j} \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

If  $m_4 \gg m_\ell$ , faster oscillations

$\nu_4$  oscillations are averaged in most neutrino oscillation experiments

Effect of 4th neutrino only visible as global normalization

Short BaseLine (SBL) oscillations:  $\frac{\Delta m_{41}^2 L}{E} \simeq 1$

At SBL, oscillations due to  $\Delta m_{21}^2$  and  $|\Delta m_{31}^2|$  do not develop

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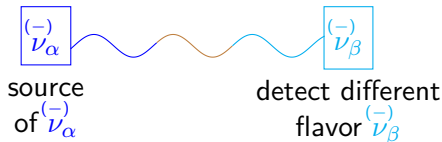
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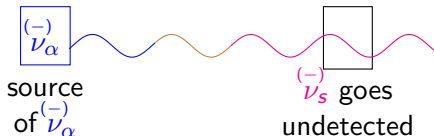
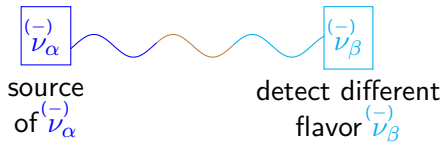
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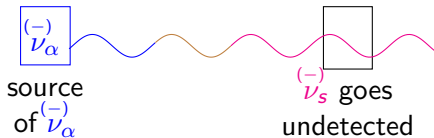
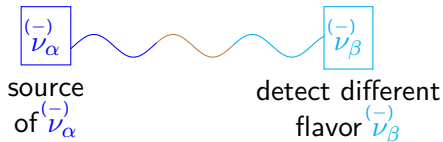
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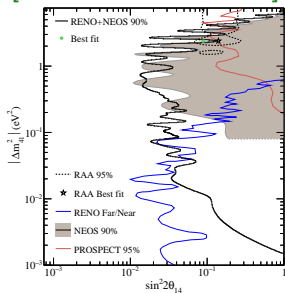


CP violation cannot be observed in SBL experiments!

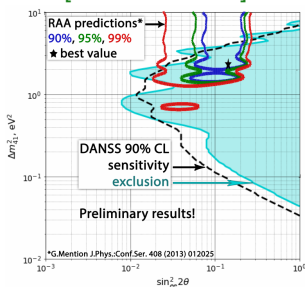


# $\nu_s$ at reactors in 2020

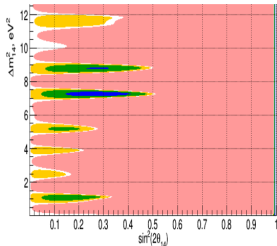
[RENO+NEOS, 2020]



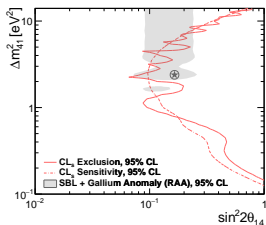
[DANSS, 2020]



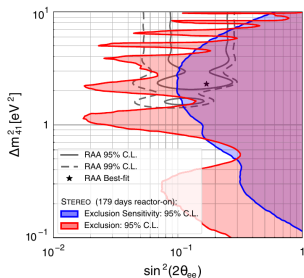
[Neutrino-4, PZETF 2020]



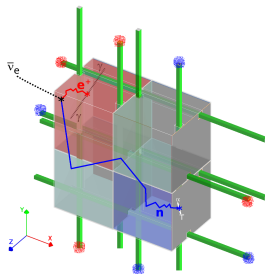
[PROSPECT, PRD 2020]

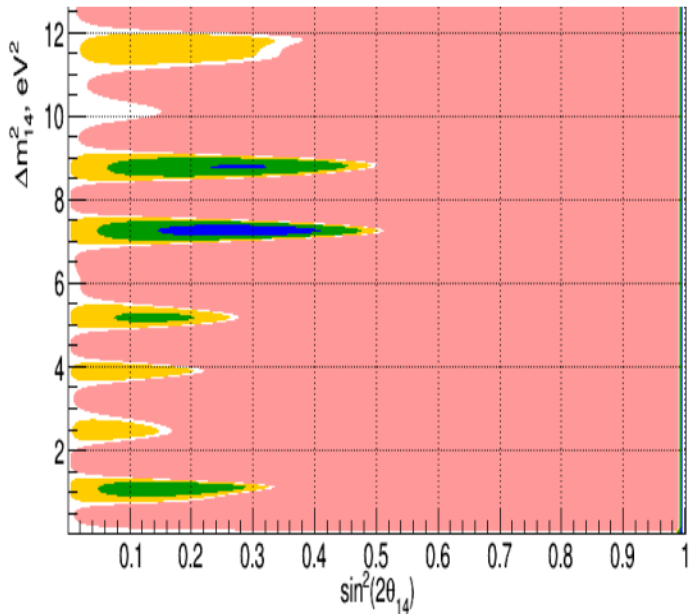


[STEREO, PRD 2020]



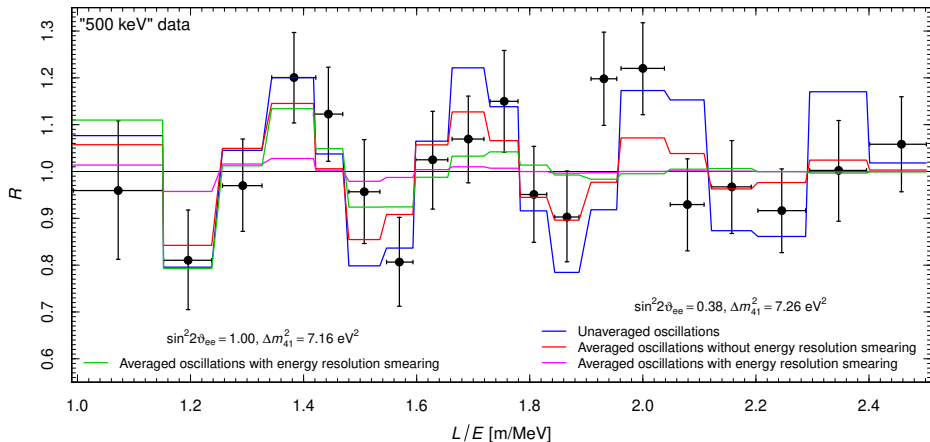
[SoLiD, JINST 2021]



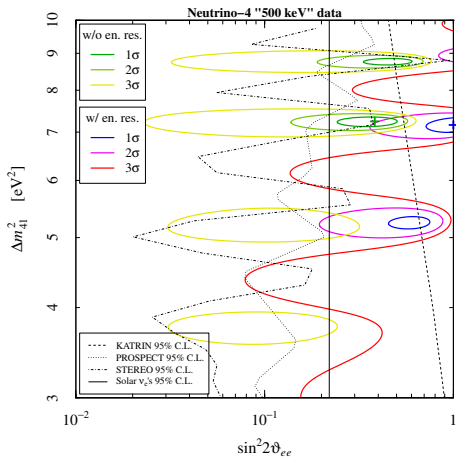


claimed  $> 3\sigma$   
preference for  
 $3+1$  over  $3\nu$  case

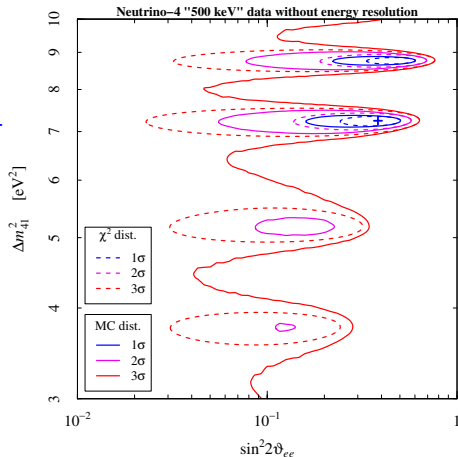
best fit  
incompatible  
with other  
reactor  
experiments



energy resolution smearing not properly taken into account?



proper energy resolution treatment  
moves best-fit  $\rightarrow \sin^2 2\vartheta \simeq 1$



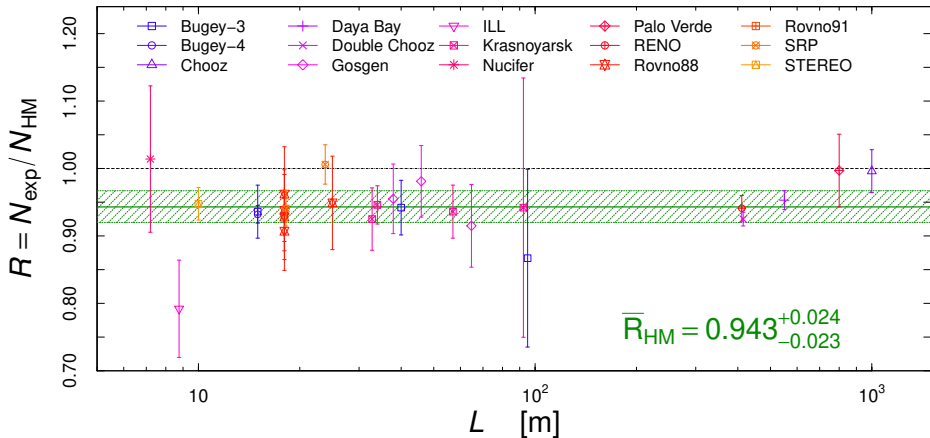
need to take into account  
violation of Wilk's theorem

↓  
relaxed constraints

When the RAA was discovered:

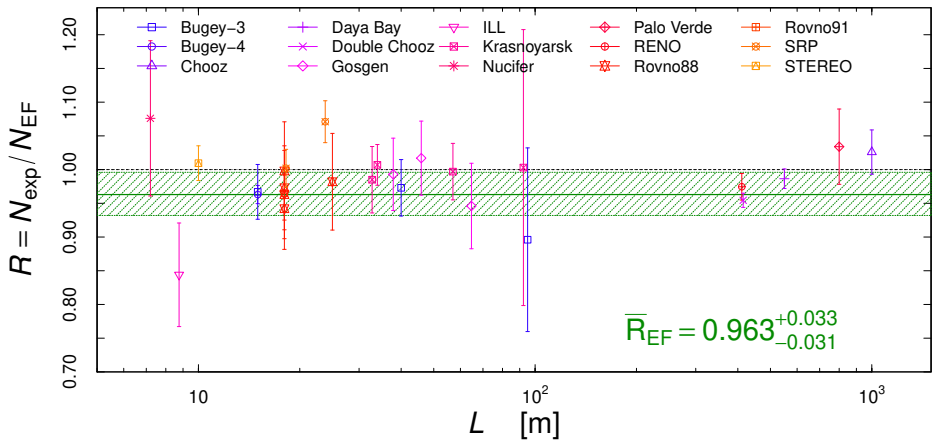
conversion method (ILL data) and *ab initio* calculations in agreement

[Huber, 2011], [Mueller+, 2011] spectra



$\sim 2.4\sigma$  deficit  $\implies$  anomaly!

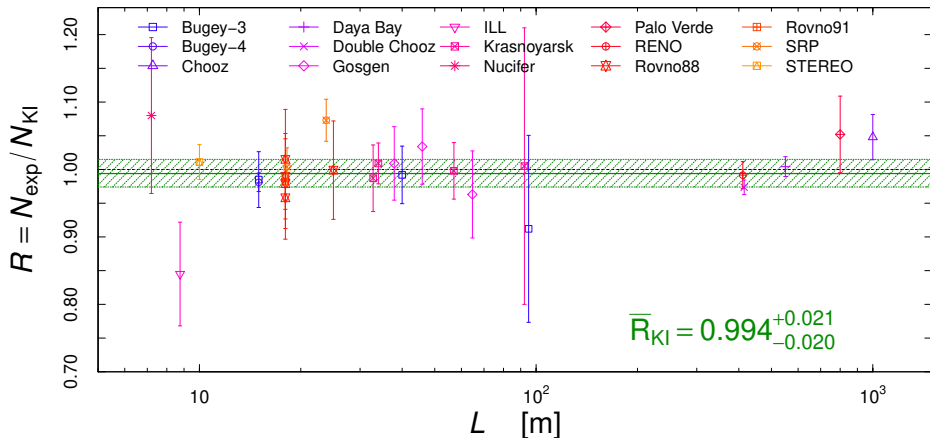
Revised *ab initio* calculation:  
 [Estienne, Fallot+, PRL 123 (2019)]



$\sim 1.2\sigma$  deficit  $\implies$  no anomaly!

Conversion method on new measurements of electron spectrum at Kurchatov Institute (KI) (updates ILL measurements from the 80's):

[Kopeikin+, PRD 2021]

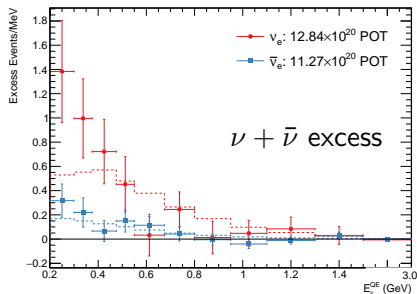
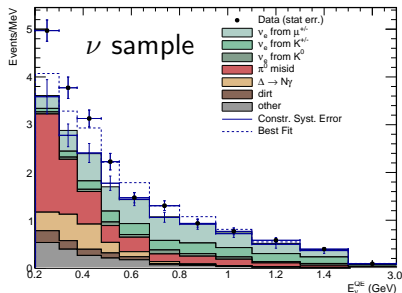
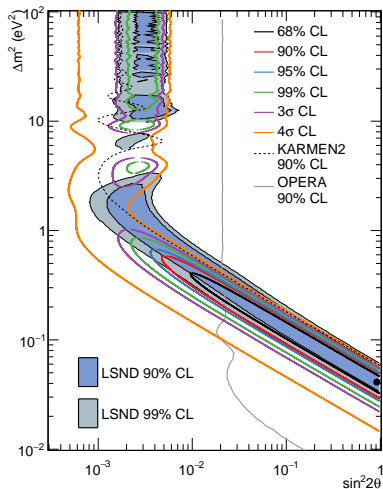


approximate agreement with EF fluxes, no anomaly!

purpose: check LSND signal

$L \simeq 541$  m,  $200 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$

no money, no near detector

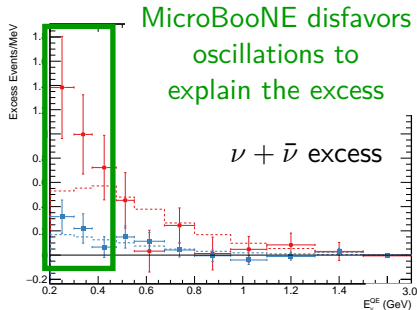
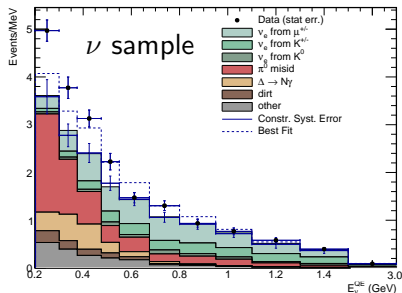
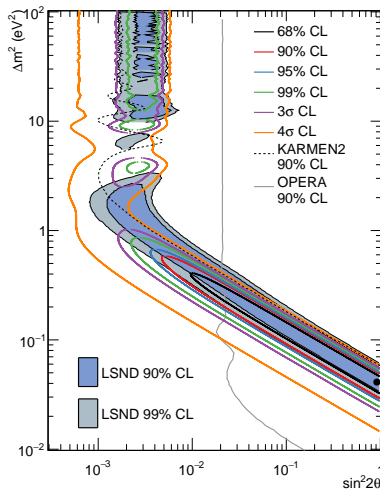


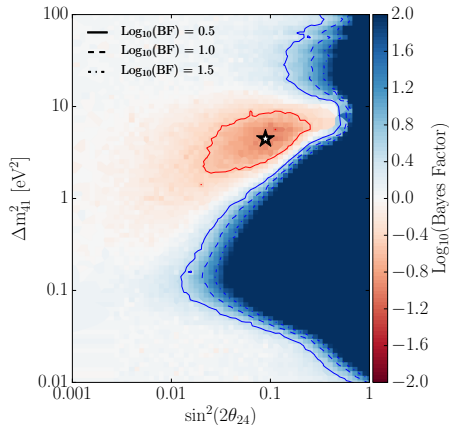
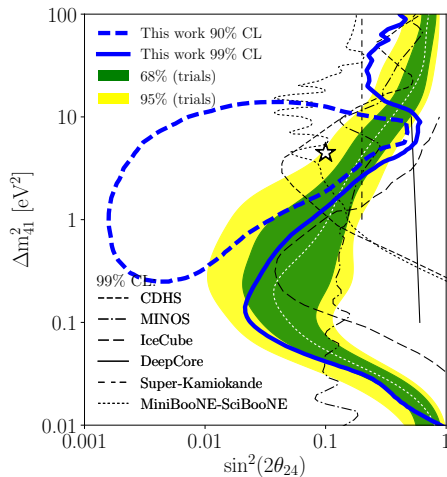


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first indication in favor of sterile from  $\nu_\mu$  DIS!

although rather weak:  $\log_{10} BF \simeq 1$  (weak preference)  
 or compatible with no oscillations at  $p$ -value of 8%

Four neutrinos  $\rightarrow$  new oscillations in the early Universe

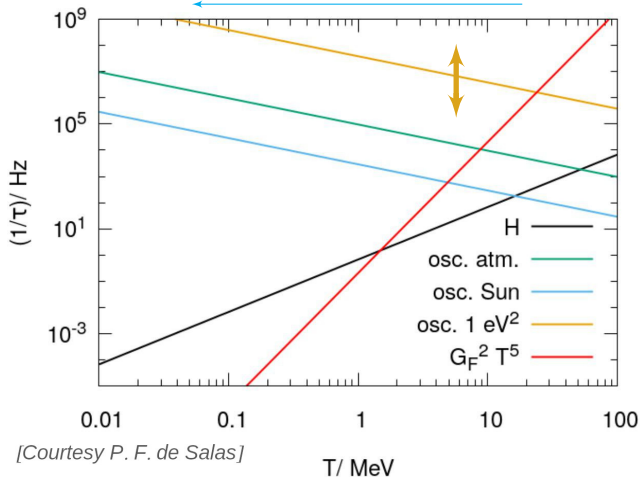
sterile  $\implies$  no weak/em interactions in the thermal plasma

# Sterile neutrino in the early universe

Four neutrinos  $\rightarrow$  new oscillations in the early Universe

sterile  $\implies$  no weak/em interactions in the thermal plasma

need to produce it through oscillations, but matter effects may block them  
time



beginning of  
oscillations  
depends on  $\Delta m_{41}^2$

later oscillations  
 $\Downarrow$   
less time before  
 $\nu$  decoupling!

## Sterile neutrino in the early universe

Four neutrinos  $\rightarrow$  new oscillations in the early Universe

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need to produce it through oscillations, but matter effects may block them

when are they enough to allow full equilibrium of active-sterile states?

$$0 \longleftarrow \Delta N_{\text{eff}} = N_{\text{eff}}^{4\nu} - N_{\text{eff}}^{3\nu} \longrightarrow \simeq 1$$

no sterile production active&sterile in equilibrium

$$\frac{\Delta m_{as}^2}{\text{eV}^2} \sin^4(2\vartheta_{as}) \simeq 10^{-5} \ln^2(1 - \Delta N_{\text{eff}}) \quad (1+1 \text{ approx.})$$

[Dolgov&Villante, 2004]

$$\text{e.g.: } \Delta m_{as}^2 = 1 \text{ eV}^2, \sin^2(2\vartheta_{as}) \simeq 10^{-3} \implies \Delta N_{\text{eff}} \simeq 1$$

$$N_{\text{eff}}^{3\nu} = 3.044 \quad [\text{JCAP 2021}]$$

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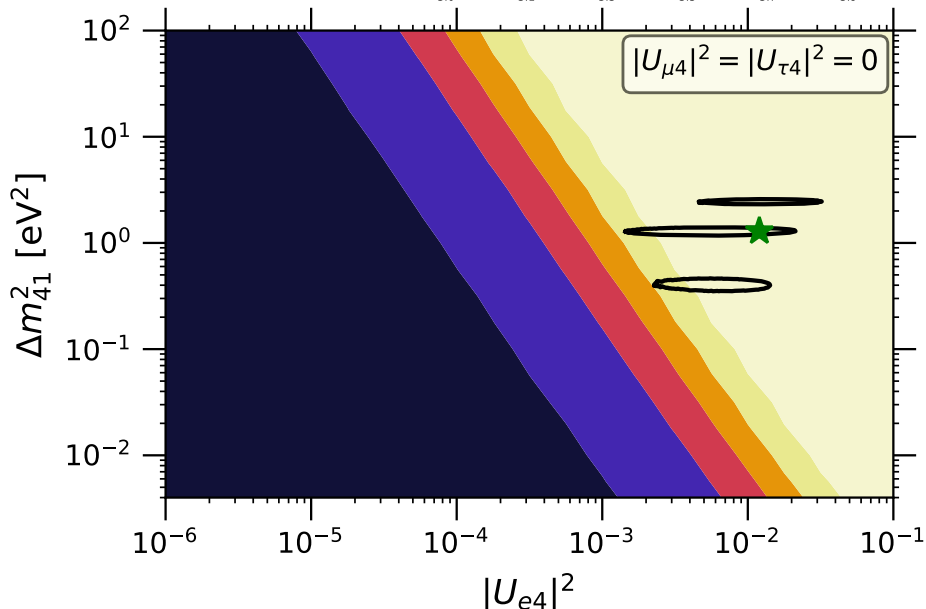
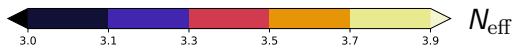
Full calculation: use numerical code!

FORTran-Evolved Primordial Neutrino Oscillations  
(FortEPiano)

[https://bitbucket.org/ahep\\_cosmo/fortepiano\\_public](https://bitbucket.org/ahep_cosmo/fortepiano_public)

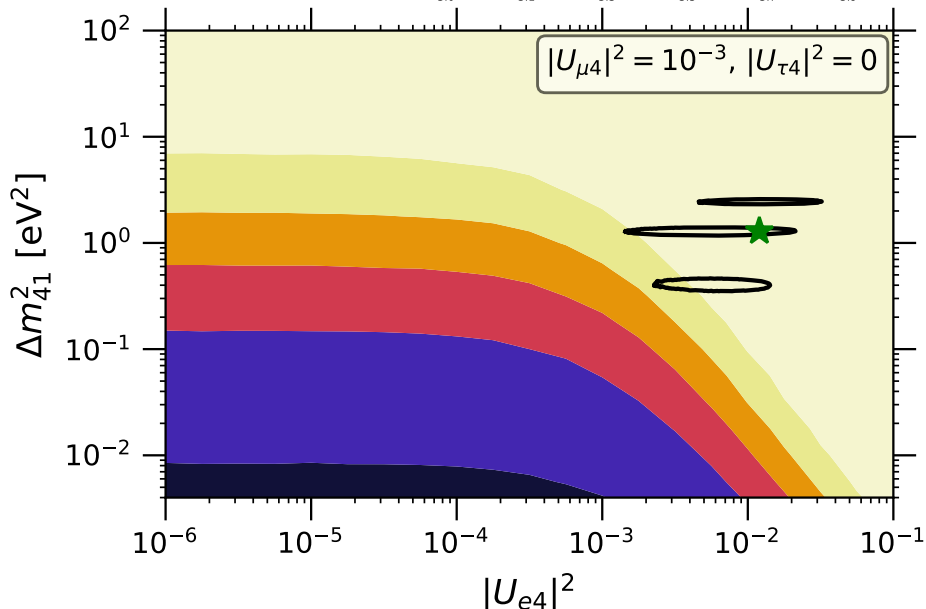
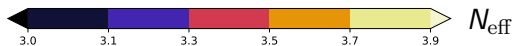
$N_{\text{eff}}$  and the new mixing parameters

We can vary more than one angle:



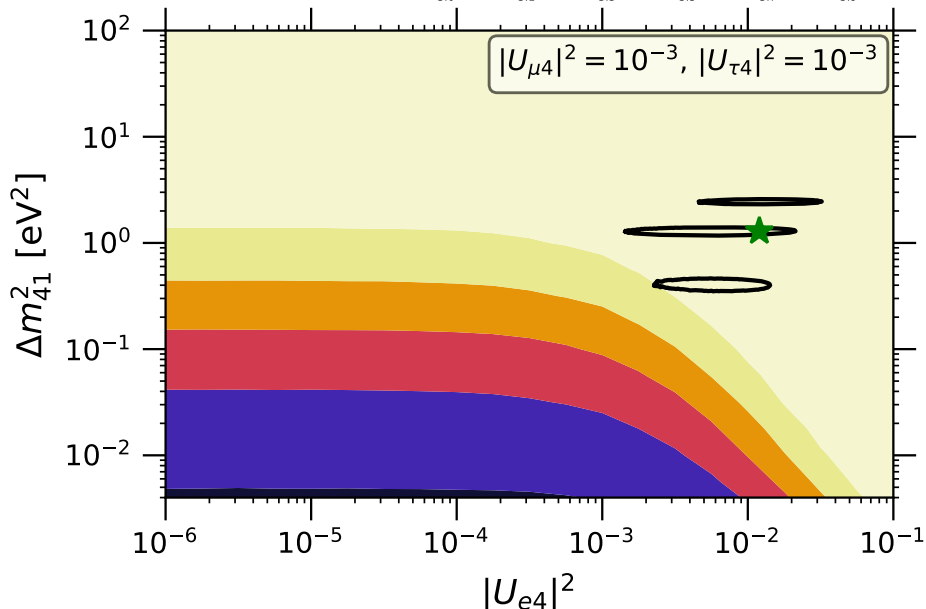
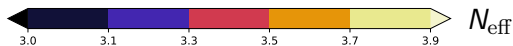
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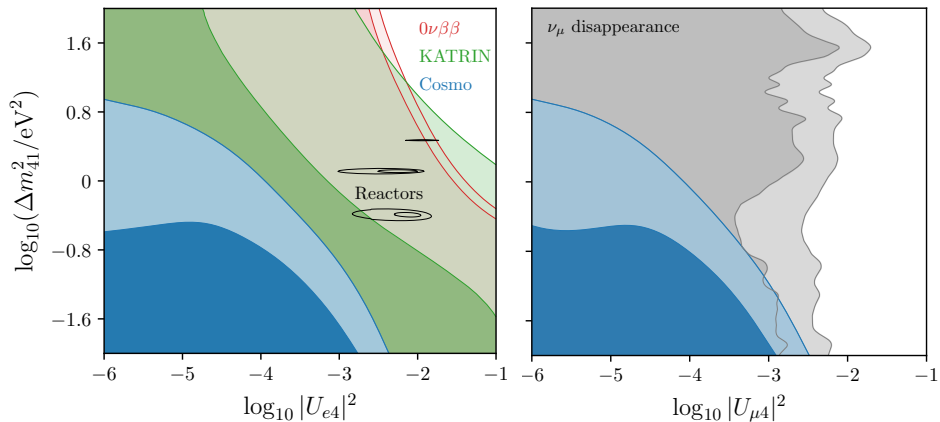
We can vary more than one angle:



# Comparing constraints

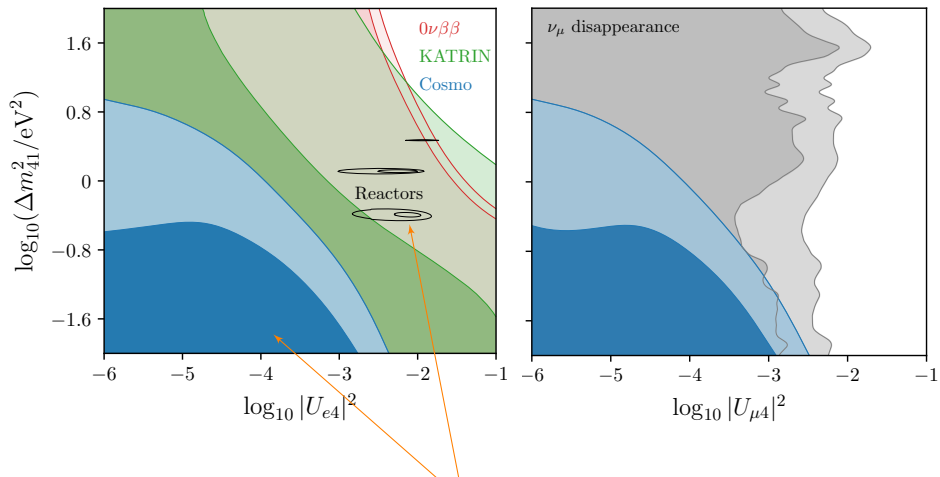
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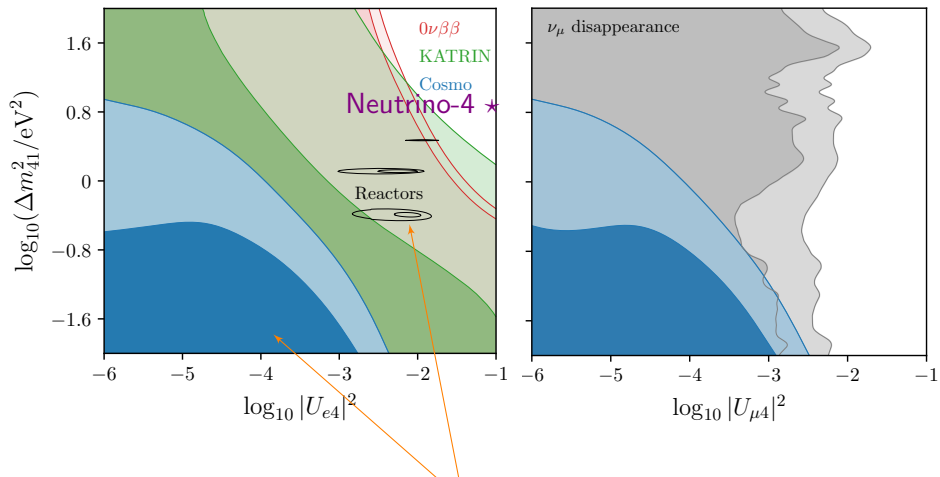


Warning: tension between reactor experiments and CMB bounds!

# Comparing constraints

Cosmological constraints are stronger than most other probes

But much more model dependent (as all the cosmological constraints)!



Warning: tension between reactor experiments and CMB bounds!

Consider we have  $N_\nu$  neutrino states

Unitary  $N_\nu \times N_\nu$  mixing matrix:  $V = \begin{pmatrix} V_{e1} & V_{e2} & V_{e3} & \dots \\ V_{\mu1} & V_{\mu2} & V_{\mu3} & \dots \\ V_{\tau1} & V_{\tau2} & V_{\tau3} & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}$

the  $3 \times 3$  sector ( $N$ )

describing mixing among lightest neutrinos  
is **non-unitary**

$$N = \begin{pmatrix} \alpha_{11} & 0 & 0 \\ \alpha_{21} & \alpha_{22} & 0 \\ \alpha_{31} & \alpha_{32} & \alpha_{33} \end{pmatrix} U$$

$\alpha_{ii}$  real,  $\alpha_{ij}$  ( $i \neq j$ ) complex  $\Rightarrow$  CP violation

$U = R^{23}R^{13}R^{12}$  is the standard unitary mixing matrix

# Non-unitarity of the $3 \times 3$ mixing matrix

Consider we have  $N_\nu$  neutrino states

Unitary  $N_\nu \times N_\nu$  mixing matrix:  $V = \begin{pmatrix} V_{e1} & V_{e2} & V_{e3} & \dots \\ V_{\mu1} & V_{\mu2} & V_{\mu3} & \dots \\ V_{\tau1} & V_{\tau2} & V_{\tau3} & \dots \\ \vdots & & & \ddots \end{pmatrix}$

the  $3 \times 3$  sector ( $N$ )

describing mixing among lightest neutrinos  
is **non-unitary**

Neutrino **interactions** depend only on **kinematically accessible states**

Oscillations depend on **all states**

Oscillations with states  $n > 3$  much heavier than  $n \leq 3$   
**are averaged out at experiments**

# Non-unitarity and neutrino decoupling

Neutrino density matrix evolution in mass basis:

$$\left. \frac{d\rho(y)}{dx} \right|_{\text{M}} = \sqrt{\frac{3m_{\text{Pl}}^2}{8\pi\rho}} \left\{ -i \frac{x^2}{m_e^3} \left[ \frac{\mathbb{M}_{\text{M}}}{2y} - \frac{2\sqrt{2}G_F y m_e^6}{x^6} \mathcal{E}_{\text{M}, \varrho} \right] + \frac{m_e^3}{x^4} \mathcal{I}(\varrho) \right\}$$

Unitary case

interactions:

$$(Y_L)_{ab} \equiv \tilde{g}_L \mathbb{I} + (U^\dagger)_{ea} U_{eb}$$

$$(Y_R)_{ab} \equiv g_R \mathbb{I}$$

matter effects:

$$\mathcal{E}_{\text{M}} = \frac{\rho_e + P_e}{m_W^2} U^\dagger \text{diag}(1, 0, 0) U$$

Fermi constant:

$$G_F^\mu = G_F$$

$$G_F^\mu = 1.1663787(6) \times 10^{-5} \text{ GeV}^{-2} \text{ [CODATA]}$$

$$\mathcal{I}(\varrho) \propto G_F^2$$

Non-unitary case

interactions:

$$(Y_L)_{ab} \equiv \tilde{g}_L (V^\dagger V)_{ab} + (V^\dagger)_{ea} V_{eb}$$

$$(Y_R)_{ab} \equiv g_R (V^\dagger V)_{ab}$$

matter effects:

$$\mathcal{E}_{\text{NU}} \equiv \frac{\rho_e + P_e}{m_W^2} (Y_L - Y_R)$$

Fermi constant:

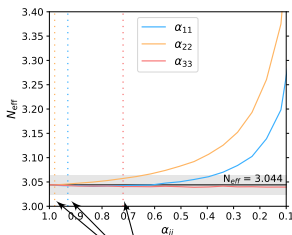
$$G_F^\mu = G_F \sqrt{\alpha_{11}^2 (\alpha_{22}^2 + |\alpha_{21}|^2)}$$

# Non-unitarity parameters and $N_{\text{eff}}$

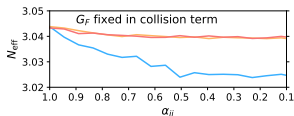
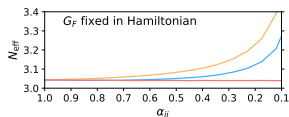
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[CODATA]



terrestrial bounds



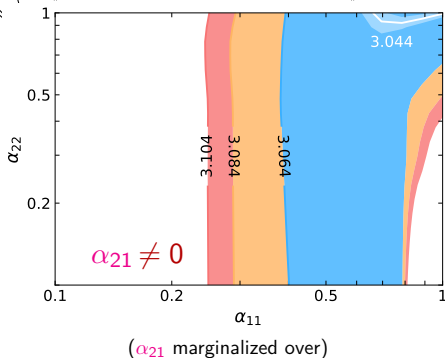
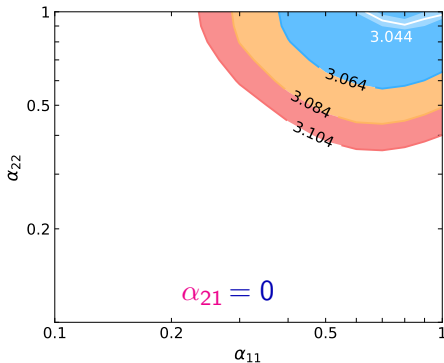
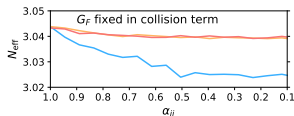
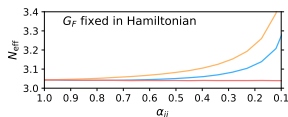
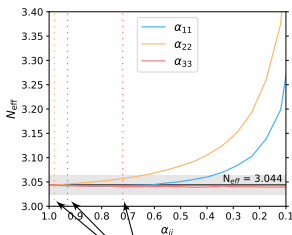


# Non-unitarity parameters and $N_{\text{eff}}$

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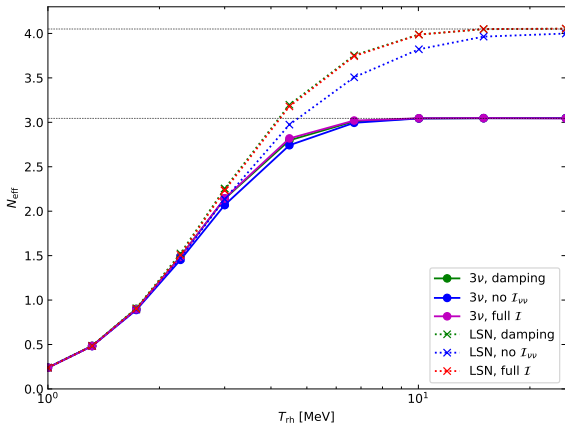
Confidence regions from future CMB measurements with  $\delta N_{\text{eff}} = 0.02$

## C

## Non-standard cosmology

beyond non-standard neutrino physics

Based on:

■ arxiv:2308.15531  
(1st secondment)■ in preparation  
(2nd secondment)

Sterile neutrinos are **coupled via oscillations** to the thermal plasma  
(photons, electrons, neutrinos, (muons), ...)

What if we add a decoupled particle?

let us assume a **non-standard evolution of the energy density**:  $\bar{\rho}_{\text{US}} \propto a^{n+4}$   
 $n = 0 \rightarrow$  radiation;  $n = -1 \rightarrow$  matter;  $n = -2 \rightarrow$  curvature, ...

effect on early universe phenomena is purely gravitational

total energy density:  $\rho = \rho_\gamma + \rho_e + \rho_\nu + \delta\rho_{\text{FTQED}} + \rho_{\text{US}}$

Hubble factor:  $H^2 = 8\pi\rho/(3M_{\text{Pl}}^2)$

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$$\text{neutrino decoupling: } \frac{d\varrho(y)}{dx} = \frac{1}{xH} \left\{ -i \frac{x^3}{m_e^3} [\mathcal{H}_{\text{eff}}, \varrho] + \frac{m_e^3}{x^3} \mathcal{I}(\varrho) \right\}$$

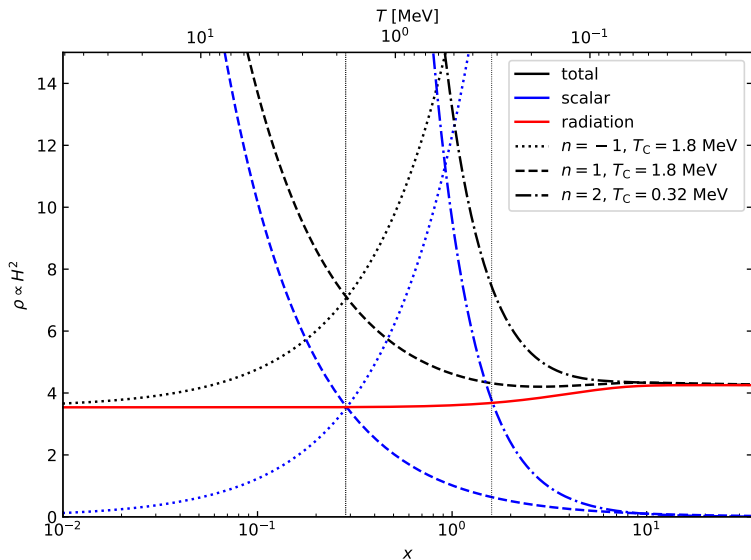
$$\text{BBN abundances: } \frac{dX_i}{dx} = \frac{\Gamma_i}{xH}$$

$X_i = n_i/N_B$  abundance relative to total baryons,  $\Gamma_i$  effective reaction rate for nuclide  $i$

Results from  $N_{\text{eff}}$ 

consider  $\rho_{\text{US}} = \rho_{\text{rad}}$  at  $x_C = m_e/T_C$  for the new particle

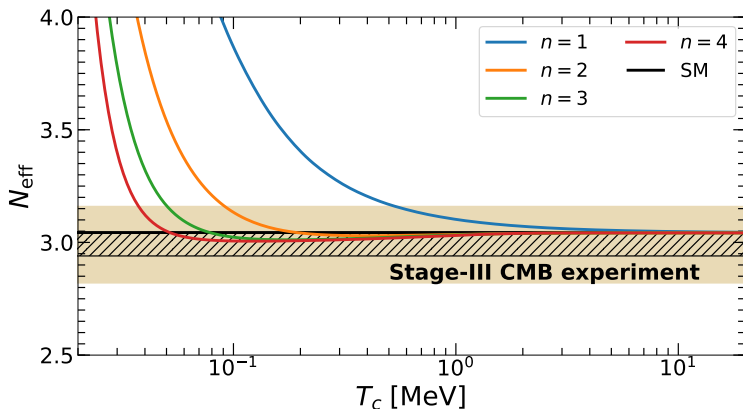
Evolution of the energy density:



# Results from $N_{\text{eff}}$

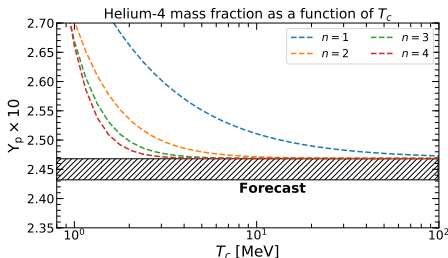
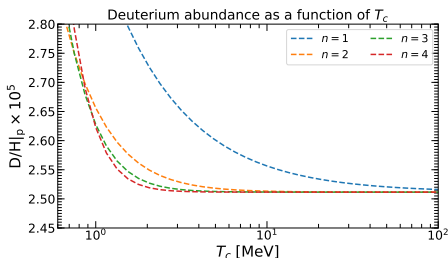
consider  $\rho_{\text{US}} = \rho_{\text{rad}}$  at  $x_{\text{C}} = m_e/T_{\text{C}}$  for the new particle

From neutrino decoupling we obtain:



consider  $\rho_{US} = \rho_{\text{rad}}$  at  $x_C = m_e/T_C$  for the new particle

Compare to current measurements (Deuterium, Helium):



error bands (gray) are current constraints on the abundances  
 even current precision can strongly constrain  $T_C$

calculations performed during and after the secondment,  
 with D. Aristizabal and A. Villanueva (UTFSM, Chile)

Reheating: phase ending inflation

during inflation, the inflaton (non-rel. scalar) dominates the energy density

during reheating: inflaton decays into standard model particles

⇒ photons, electrons, ... are populated directly

radiation domination begins after reheating



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**Low reheating temperature:** when reheating occurs at  $T_{\text{rh}} \lesssim 20$  MeV

notice: if  $T_{\text{rh}} \lesssim 3$  MeV, BBN is broken!

3 neutrino oscillations start to be affected when  $T_{\text{rh}} \lesssim 8$  MeV

what about sterile neutrinos?

# $N_{\text{eff}}$ with low reheating

Need to edit equations for **inflaton** energy density and its contribution:

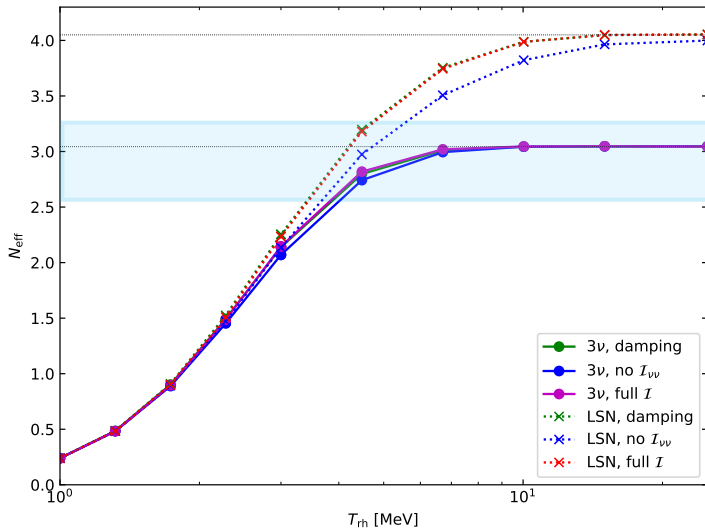
$$\frac{d\varrho(y)}{dx} = \text{unchanged}$$

$$\frac{d\rho_\phi}{dx} = -\frac{x\rho_\phi\Gamma_\phi}{m_e^2} \sqrt{\frac{3m_{\text{Pl}}^2}{8\pi\rho_{\text{tot}}}}$$

$$\frac{dz}{dx} = \frac{\sum_{\ell=e,\mu} \left[ \frac{r_\ell^2}{r} J_2(r_\ell) \right] + G_1(r) - \frac{1}{2z^3} \sum_{\alpha=e}^s \frac{d\rho_{\nu_\alpha}}{dx} - \frac{x}{2z^3} \frac{d\rho_\phi}{dx}}{\sum_{\ell=e,\mu} \left[ r_\ell^2 J_2(r_\ell) + J_4(r_\ell) \right] + G_2(r) + \frac{2\pi^2}{15}}$$

$$\rho_{\text{tot}} = \sum_{i=\gamma,\nu_j,e,\mu} \rho_i + \delta\rho(x,z) + x\rho_\phi$$

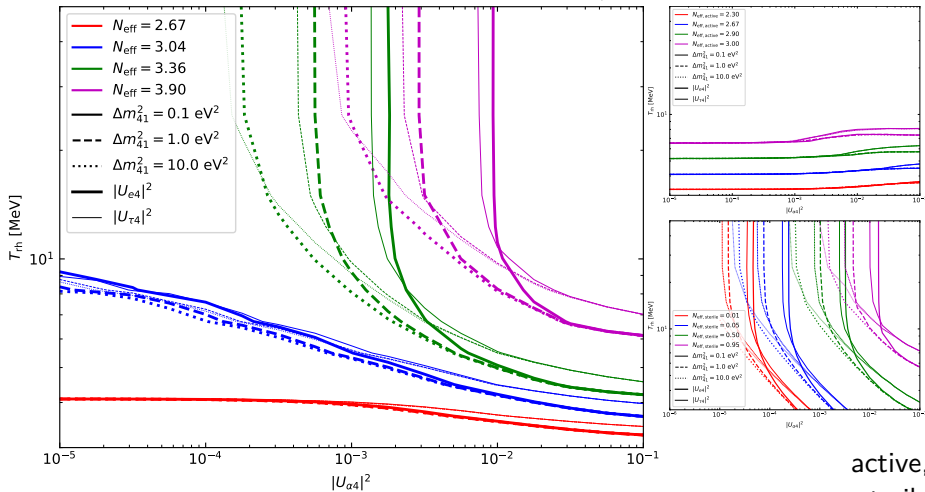
$$\Gamma_\phi \simeq \left( \frac{T_{\text{rh}}}{0.7\text{MeV}} \right)^2 \text{sec}^{-1}$$

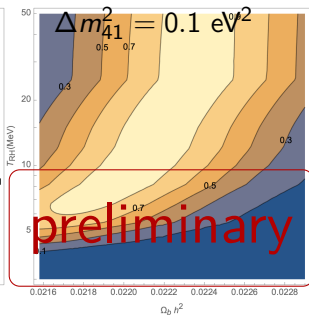
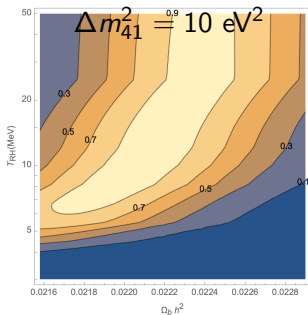
$N_{\text{eff}}$  with low reheating $N_{\text{eff}}$  as a function of  $T_{\text{rh}}$  (3 or 3+1 neutrinos):

Planck constraint:  $N_{\text{eff}} = 2.92^{+0.36}_{-0.37}$  (95%, TT, TE, EE+lowE)

$N_{\text{eff}}$  with low reheating

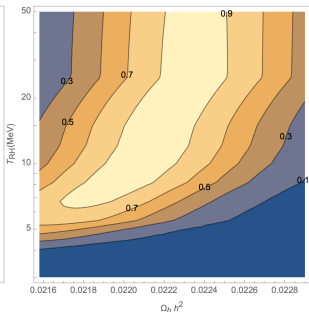
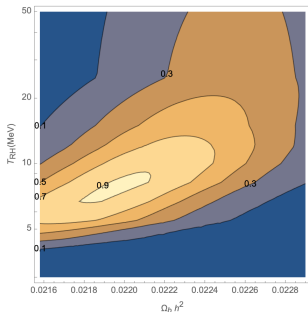
sterile case with varying mixing angle/mass splitting:

for low  $T_{\text{rh}}$ , mixing parameters are irrelevantfor higher  $\Delta m_{41}^2$ ,  $T_{\text{rh}}$  has more impactactive,  
sterile  
contribution  
to  $N_{\text{eff}}$

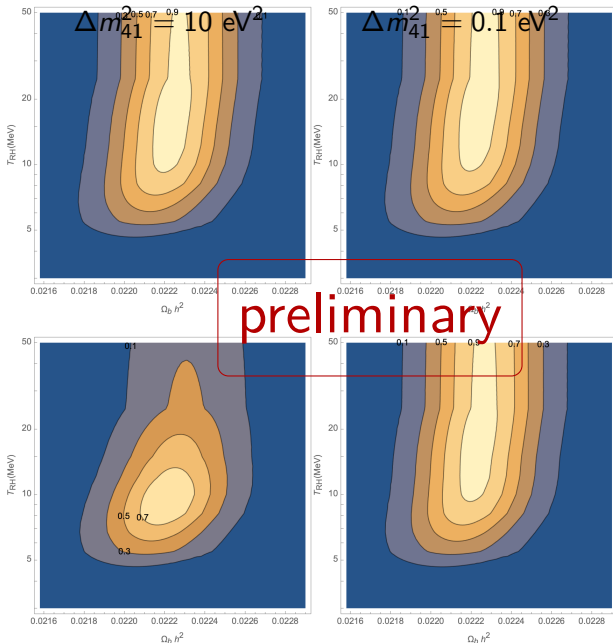


$$|U_{e4}|^2 = 10^{-5}$$

BBN (D+He) only



$$|U_{e4}|^2 = 10^{-4}$$



$$|U_{e4}|^2 = 10^{-5}$$

BBN + Planck18

$$|U_{e4}|^2 = 10^{-4}$$

F

## FELLINI

A few words on my FELLINI experience



# Scientific production

- Secondments
  - 6 months at UTFSM, Santiago de Chile with prof. Aristizabal-Sierra (arxiv:2308.15531)
  - 6 months at IFIC, Valencia (Spain) with dr. Sergio Pastor (work on low-reheating scenarios in progress)
- Publications
  - 1 book chapter (arxiv:2306.15067, for the book “Hubble Constant Tension”, Springer, expected in 2024)
  - 15 scientific papers on peer-reviewed journals (1 still under review)
  - 1 invited short review
- Dissemination
  - 12 talks at international workshops, conferences (including FELLINI general meetings)
  - 1 poster presentation at ICRC 2023
  - 3 lecture series at 3 international schools
  - 6+1 seminars at institutions in 5 different countries
- Public codes
  - FortEPiA<sub>NO</sub> (neutrino decoupling)
  - PArthENoPE v3 (BBN)

- Training
  - English course at UniTO
  - Machine learning hackathon at INFN
  - Machine learning course on Coursera
  - Course on project management at INFN
  - Course on HTCondor for system admins at INFN
  - Course “RESPECT” on inclusivity at INFN
- Outreach
  - Participation at European Researchers’ Nights
  - Participation with INFN Turin at Salone Internazionale del Libro (Turin)
- Funding applications based on my FELLINI project
  - ERC Starting Grant (scored B)
  - CIDEAGENT (Spain)
  - La Caixa Foundation “Junior Leader” COFUND Fellowship (Spain/Portugal) – started on 1/10 at IFT (CSIC-UAM, Madrid)
  - FIS (in preparation)



Z

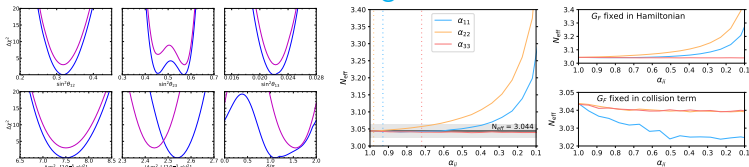
## Conclusions

almost there!

# What do we know about neutrinos?

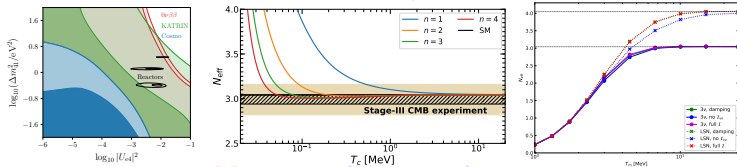
U

U: mixing matrix



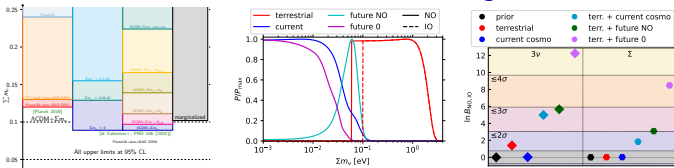
A

Additional particles/interactions



M

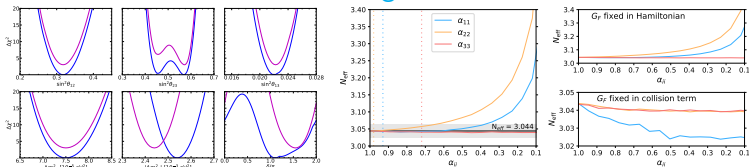
Masses and mass ordering



# What do we know about neutrinos?

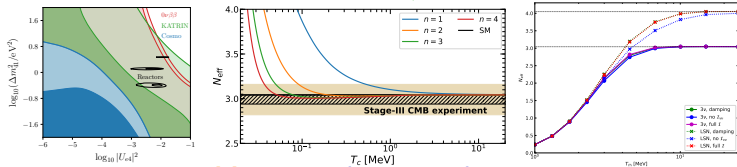
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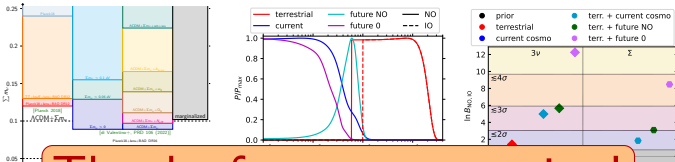
A

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Thanks for your attention!