

Neutrino Flavor States and the Quantum Theory of Neutrino Oscillations

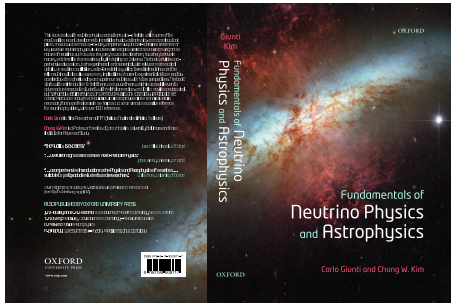
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Neutrino Unbound: <http://www.nu.to.infn.it>

IPN, Orsay, 25 November 2010

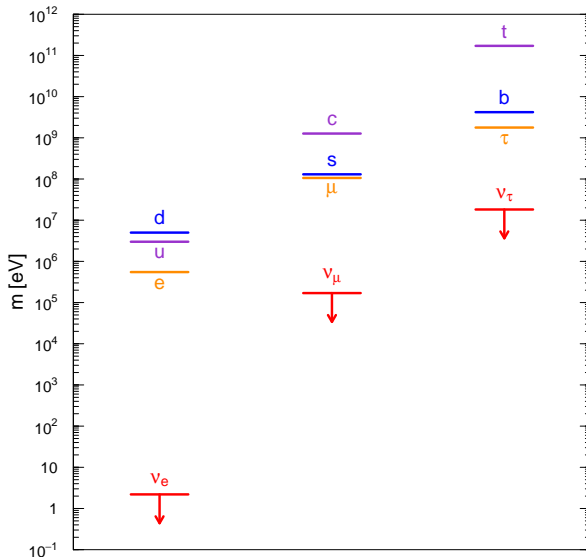


C. Giunti and C.W. Kim
Fundamentals of Neutrino Physics
and Astrophysics
Oxford University Press
15 March 2007 – 728 pages

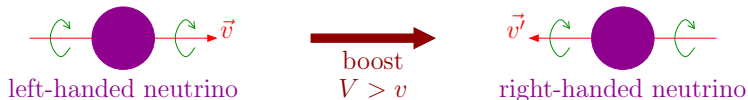
Outline

- Brief Introduction to Neutrino Masses and Mixin
- Standard Theory of Neutrino Oscillations
- Flavor Neutrino States
- Neutrino Production and Detection
- Covariant Plane-Wave Theory of NuOsc
- Wave-Packet Theory of NuOsc
- Common Question: Do Charged Leptons Oscillate?

Fermion Mass Spectrum



Standard Model: Massless Neutrinos



Standard Model: $\nu_L, \bar{\nu}_R \implies$ no Dirac mass term

$$\mathcal{L}^D \sim m^D (\nu_L \nu_R + \bar{\nu}_L \bar{\nu}_R) \quad (\text{no } \nu_R, \bar{\nu}_L)$$

Majorana Neutrino: $\bar{\nu} = \nu \implies \bar{\nu}_R = \nu_R \implies$ Majorana mass term

$$\mathcal{L}^M \sim m^M \nu_L \bar{\nu}_R$$

Standard Model: Majorana mass term **not** allowed by $SU(2)_L \times U(1)_Y$
(no Higgs triplet)

Extension of the SM: Massive Neutrinos

Standard Model can be extended with ν_R and corresponding $\bar{\nu}_L$

Dirac neutrino mass term $\mathcal{L}^D \sim m^D (\nu_L \nu_R + \bar{\nu}_L \bar{\nu}_R) \Rightarrow m^D \lesssim 100 \text{ GeV}$

surprise: Majorana neutrino mass for ν_R and $\bar{\nu}_L$ is allowed! $\mathcal{L}_R^M \sim m_R^M \bar{\nu}_L \nu_R$

total neutrino mass term $\mathcal{L}^{D+M} \sim (\nu_L \quad \bar{\nu}_L) \begin{pmatrix} 0 & m^D \\ m^D & m_R^M \end{pmatrix} \begin{pmatrix} \bar{\nu}_R \\ \nu_R \end{pmatrix}$

m_R^M can be arbitrarily large (not protected by SM symmetries)

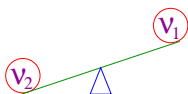
$m_R^M \sim$ scale of new physics beyond Standard Model $\Rightarrow m_R^M \gg m^D$

diagonalization of $\begin{pmatrix} 0 & m^D \\ m^D & m_R^M \end{pmatrix} \Rightarrow m_1 \simeq \frac{(m^D)^2}{m_R^M}, \quad m_2 \simeq m_R^M$

natural explanation of smallness
of light neutrino masses

massive neutrinos are Majorana!

3-GEN \Rightarrow effective low-energy 3- ν mixing



see-saw mechanism

[Minkowski, PLB 67 (1977) 42]

[Yanagida (1979); Gell-Mann, Ramond, Slansky (1979); Mohapatra, Senjanovic, PRL 44 (1980) 912]

Lepton Numbers

Standard Model:

Lepton numbers are conserved

	L_e	L_μ	L_τ		L_e	L_μ	L_τ
(ν_e, e^-)	+1	0	0	$(\bar{\nu}_e, e^+)$	-1	0	0
(ν_μ, μ^-)	0	+1	0	$(\bar{\nu}_\mu, \mu^+)$	0	-1	0
(ν_τ, τ^-)	0	0	+1	$(\bar{\nu}_\tau, \tau^+)$	0	0	-1

$$L = L_e + L_\mu + L_\tau$$

Dirac mass term $m^D \nu_L \nu_R \rightarrow (\nu_{eL} \quad \nu_{\mu L} \quad \nu_{\tau L}) \begin{pmatrix} m_{ee}^D & m_{e\mu}^D & m_{e\tau}^D \\ m_{\mu e}^D & m_{\mu\mu}^D & m_{\mu\tau}^D \\ m_{\tau e}^D & m_{\tau\mu}^D & m_{\tau\tau}^D \end{pmatrix} \begin{pmatrix} \nu_{eR} \\ \nu_{\mu R} \\ \nu_{\tau R} \end{pmatrix}$

L_e, L_μ, L_τ are not conserved, but L is conserved $L(\nu_{\alpha R}) = L(\nu_{\beta L}) \Rightarrow |\Delta L| = 0$

Majorana mass term $m^M \nu_L \bar{\nu}_R \rightarrow (\nu_{eL} \quad \nu_{\mu L} \quad \nu_{\tau L}) \begin{pmatrix} m_{ee}^M & m_{e\mu}^M & m_{e\tau}^M \\ m_{\mu e}^M & m_{\mu\mu}^M & m_{\mu\tau}^M \\ m_{\tau e}^M & m_{\tau\mu}^M & m_{\tau\tau}^M \end{pmatrix} \begin{pmatrix} \bar{\nu}_{eR} \\ \bar{\nu}_{\mu R} \\ \bar{\nu}_{\tau R} \end{pmatrix}$

L, L_e, L_μ, L_τ are not conserved $L(\bar{\nu}_\alpha) = -L(\nu_\beta) \Rightarrow |\Delta L| = 2$

Neutrino Mixing

$$\mathcal{L}_{\text{mass}} \sim \begin{pmatrix} \nu_e & \nu_\mu & \nu_\tau \end{pmatrix} \begin{pmatrix} m_{ee} & m_{e\mu} & m_{e\tau} \\ m_{\mu e} & m_{\mu\mu} & m_{\mu\tau} \\ m_{\tau e} & m_{\tau\mu} & m_{\tau\tau} \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

diagonalization of mass matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$\nu_\alpha = \sum_{k=1}^3 U_{\alpha k} \nu_k \quad (\alpha = e, \mu, \tau)$$

$$\mathcal{L}_{\text{mass}} \sim \begin{pmatrix} \nu_1 & \nu_2 & \nu_3 \end{pmatrix} \begin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} = \sum_{k=1}^3 m_k \nu_k \nu_k$$

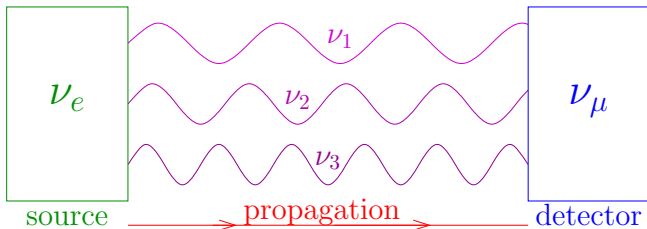
Neutrino Oscillations

- ▶ 1957: Bruno Pontecorvo proposed Neutrino Oscillations in analogy with $K^0 \leftrightarrow \bar{K}^0$ oscillations (Gell-Mann and Pais, 1955)
- ▶ Flavor Neutrinos: ν_e, ν_μ, ν_τ produced in Weak Interactions
- ▶ Massive Neutrinos: ν_1, ν_2, ν_3 propagate from Source to Detector
- ▶ A Flavor Neutrino is a superposition of Massive Neutrinos

$$\begin{aligned} |\nu_e\rangle &= U_{e1} |\nu_1\rangle + U_{e2} |\nu_2\rangle + U_{e3} |\nu_3\rangle \\ |\nu_\mu\rangle &= U_{\mu1} |\nu_1\rangle + U_{\mu2} |\nu_2\rangle + U_{\mu3} |\nu_3\rangle \\ |\nu_\tau\rangle &= U_{\tau1} |\nu_1\rangle + U_{\tau2} |\nu_2\rangle + U_{\tau3} |\nu_3\rangle \end{aligned}$$

- ▶ U is the 3×3 Neutrino Mixing Matrix

$$|\nu(t=0)\rangle = |\nu_e\rangle = U_{e1} |\nu_1\rangle + U_{e2} |\nu_2\rangle + U_{e3} |\nu_3\rangle$$



$$|\nu(t > 0)\rangle = U_{e1} e^{-iE_1 t} |\nu_1\rangle + U_{e2} e^{-iE_2 t} |\nu_2\rangle + U_{e3} e^{-iE_3 t} |\nu_3\rangle \neq |\nu_e\rangle$$

at the detector there is a **probability** > 0 to see the neutrino as a ν_μ

Neutrino Oscillations are Flavor Transitions

$$\nu_e \rightarrow \nu_\mu \quad \nu_e \rightarrow \nu_\tau \quad \nu_\mu \rightarrow \nu_e \quad \nu_\mu \rightarrow \nu_\tau$$

$$\bar{\nu}_e \rightarrow \bar{\nu}_\mu \quad \bar{\nu}_e \rightarrow \bar{\nu}_\tau \quad \bar{\nu}_\mu \rightarrow \bar{\nu}_e \quad \bar{\nu}_\mu \rightarrow \bar{\nu}_\tau$$

Ultrarelativistic Approximation

Laboratory and Astrophysical Limits \implies $m_\nu \lesssim 1 \text{ eV}$

Only neutrinos with energy $E \gtrsim 0.2 \text{ MeV}$ are detectable!

Charged-Current Processes: Threshold

$$\nu + A \rightarrow B + C + \dots$$

$$s = 2Em_A + m_A^2 \geq (m_B + m_C + \dots)^2$$

$$E \geq \frac{(m_B + m_C + \dots)^2}{2m_A} - \frac{m_A}{2} = E_{\text{th}}$$

$$\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^- \quad E_{\text{th}} = 0.233 \text{ MeV}$$

$$\nu_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^- \quad E_{\text{th}} = 0.81 \text{ MeV}$$

$$\bar{\nu}_e + p \rightarrow n + e^+ \quad E_{\text{th}} = 1.8 \text{ MeV}$$

$$\nu_\mu + n \rightarrow p + \mu^- \quad E_{\text{th}} = 110 \text{ MeV}$$

$$\nu_\mu + e^- \rightarrow \nu_e + \mu^- \quad E_{\text{th}} = 10.9 \text{ GeV}$$

Elastic Scattering Processes: Cross Section \propto Energy

$$\nu + e^- \rightarrow \nu + e^- \quad \sigma(E) \sim \sigma_0 E/m_e \quad \sigma_0 \sim 10^{-44} \text{ cm}^2$$

Background $\implies E_{\text{th}} \simeq 5 \text{ MeV}$ (SK, SNO), 0.25 MeV (Borexino)

Standard Derivation of Neutrino Oscillations

[Eliezer, Swift, NPB 105 (1976) 45] [Fritzsch, Minkowski, PLB 62 (1976) 72] [Bilenky, Pontecorvo, SJNP 24 (1976) 316]

[Bilenky, Pontecorvo, Nuovo Cim. Lett. 17 (1976) 569] [Bilenky, Pontecorvo, Phys. Rep. 41 (1978) 225]

Flavor Neutrino Production: $j_\rho^{\text{CC}} = 2 \sum_{\alpha=e,\mu,\tau} \bar{\nu}_{\alpha L} \gamma_\rho \ell_{\alpha L}$

$$\nu_{\alpha L} = \sum_k U_{\alpha k} \nu_{kL}$$

Fields $\bar{\nu}_{\alpha L} = \sum_k U_{\alpha k}^* \bar{\nu}_{kL} \implies |\nu_\alpha\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle$ States

$$\mathcal{H}|\nu_k\rangle = E_k|\nu_k\rangle \implies |\nu_k(t)\rangle = e^{-iE_k t} |\nu_k\rangle \implies |\nu_\alpha(t)\rangle = \sum_k U_{\alpha k}^* e^{-iE_k t} |\nu_k\rangle$$

$$|\nu_k\rangle = \sum_{\beta=e,\mu,\tau} U_{\beta k} |\nu_\beta\rangle \implies |\nu_\alpha(t)\rangle = \sum_{\beta=e,\mu,\tau} \underbrace{\left(\sum_k U_{\alpha k}^* e^{-iE_k t} U_{\beta k} \right)}_{\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(t)} |\nu_\beta\rangle$$

Transition Probability

$$P_{\nu_\alpha \rightarrow \nu_\beta}(t) = |\langle \nu_\beta | \nu_\alpha(t) \rangle|^2 = |\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(t)|^2 = \left| \sum_k U_{\alpha k}^* e^{-iE_k t} U_{\beta k} \right|^2$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(t) = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp[-i(E_k - E_j)t]$$

Ultrarelativistic Approximation + Assumption $p_k = p = E$
 (neutrinos with the same momentum propagate in the same direction)

$$E_k = \sqrt{p^2 + m_k^2} \simeq p + \frac{m_k^2}{2p} = E + \frac{m_k^2}{2E} \implies E_k - E_j \simeq \frac{\Delta m_{kj}^2}{2E}$$

$$\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$$

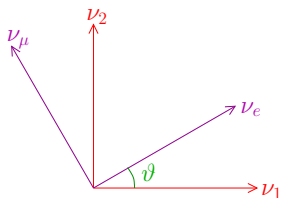
$$\underline{t \simeq L} \implies P_{\nu_\alpha \rightarrow \nu_\beta}(L/E) = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp\left[-i \frac{\Delta m_{kj}^2 L}{2E}\right]$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = \sum_k |U_{\alpha k}|^2 |U_{\beta k}|^2 \quad \leftarrow \text{constant term}$$

$$+ 2\text{Re} \sum_{k>j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right) \quad \leftarrow \text{oscillating term}$$

Two-Neutrino Mixing and Oscillations

$$|\nu_\alpha\rangle = \sum_{k=1}^2 U_{\alpha k} |\nu_k\rangle \quad (\alpha = e, \mu)$$



$$U = \begin{pmatrix} \cos\vartheta & \sin\vartheta \\ -\sin\vartheta & \cos\vartheta \end{pmatrix}$$

$$\begin{aligned} |\nu_e\rangle &= \cos\vartheta |\nu_1\rangle + \sin\vartheta |\nu_2\rangle \\ |\nu_\mu\rangle &= -\sin\vartheta |\nu_1\rangle + \cos\vartheta |\nu_2\rangle \end{aligned}$$

$$\Delta m^2 \equiv \Delta m_{21}^2 \equiv m_2^2 - m_1^2$$

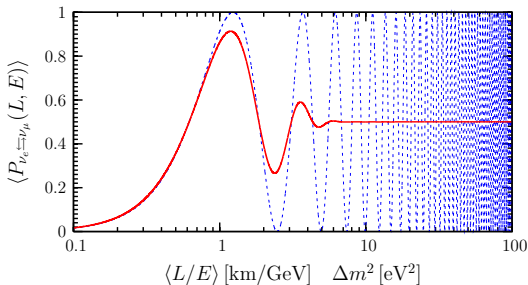
Transition Probability: $P_{\nu_e \rightarrow \nu_\mu} = P_{\nu_\mu \rightarrow \nu_e} = \sin^2 2\vartheta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$

Survival Probabilities: $P_{\nu_e \rightarrow \nu_e} = P_{\nu_\mu \rightarrow \nu_\mu} = 1 - P_{\nu_e \rightarrow \nu_\mu}$

Average over Energy Resolution

$$P_{\nu_e \leftrightarrow \nu_\mu}(L/E) = \sin^2 2\vartheta \sin^2\left(\frac{\Delta m^2 L}{4E}\right) = \sin^2 2\vartheta \frac{1}{2} \left[1 - \cos\left(\frac{\Delta m^2 L}{2E}\right) \right]$$

$$\langle P_{\nu_e \leftrightarrow \nu_\mu} \rangle = \int d\left(\frac{L}{E}\right) \phi\left(\frac{L}{E}\right) P_{\nu_e \leftrightarrow \nu_\mu}(L/E)$$

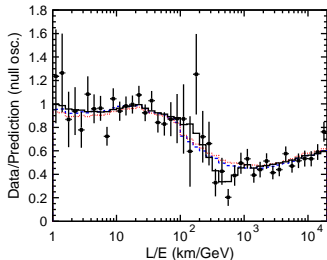


$$\phi(x) = \frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{(x - \langle x \rangle)^2}{2\sigma^2}\right)$$

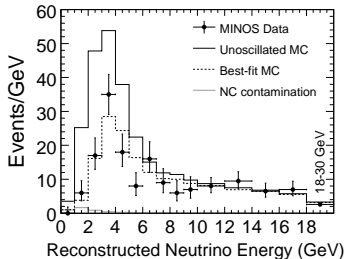
$$\sin^2 2\vartheta = 1$$

$$\sigma_{L/E} = 0.2 \langle L/E \rangle$$

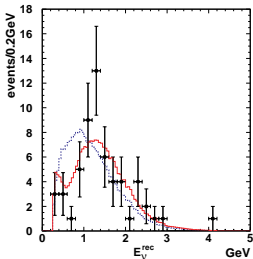
Observations of Neutrino Oscillations



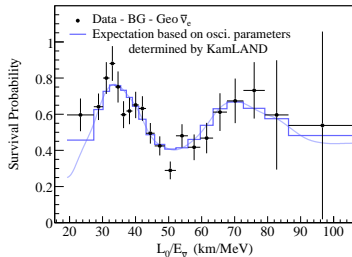
[Super-Kamiokande, PRL 93 (2004) 101801, hep-ex/0404034]



[MINOS, PRD 77 (2008) 072002, arXiv:0711.0769]



[K2K, PRD 74 (2006) 072003, hep-ex/0606032v3]



[KamLAND, PRL 100 (2008) 221803, arXiv:0801.4589]

Main Assumptions of Standard Theory

(A1) Flavor neutrinos produced by CC weak interactions are described by the flavor states $|\nu_\alpha\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle$
Approximation for ultrarelativistic ν 's [CG, Kim, Lee, PRD 45 (1992) 2414]

(A2) Massive neutrino states $|\nu_k\rangle$ have the same momentum $p_k = p = E$ ("Equal Momentum Assumption") and different energies $E_k \simeq E + \frac{m_k^2}{2E}$
Unrealistic assumption (energy-momentum conservation and special relativity), but standard oscillation probability is correct
[Winter, LNC 30 (1981) 101] [CG, Kim, FPL 14 (2001) 213]
[CG, MPLA 16 (2001) 2363, FPL 17 (2004) 103] [Burkhardt et al., PLB 566 (2003) 137]

(A3) Propagation Time $T = L$ Source-Detector Distance
OK! \iff Wave Packets
[Nussinov, PLB 63 (1976) 201] [Kayser, PRD 24 (1981) 110] [CG, Kim, Lee, PRD 44 (1991) 3635]
[Kiers, Nussinov, Weiss, PRD 53 (1996) 537] [Beuthe, Phys. Rep. 375 (2003) 105]
[CG, Kim, FPL 14 (2001) 213] [CG, FPL 17 (2004) 103, JPG 34 (2007) R93]

Flavor Neutrino States

Quantum Field Theory: $|f\rangle = S|i\rangle$

If different final channels: $|f\rangle = \sum_k \mathcal{A}_k |f_k\rangle$

Production amplitudes: $\mathcal{A}_k = \langle f_k|f\rangle = \langle f_k|S|i\rangle$

Pion decay: $\pi^+ \rightarrow \begin{cases} \mu^+ + \nu_\mu (\text{superposition of } \nu_1, \nu_2, \nu_3) & (99.988\%) \\ e^+ + \nu_e (\text{superposition of } \nu_1, \nu_2, \nu_3) & (0.012\%) \end{cases}$

$$|f\rangle = \sum_{k=1}^3 \mathcal{A}_{\mu k} |\mu^+, \nu_k\rangle + \sum_{k=1}^3 \mathcal{A}_{ek} |e^+, \nu_k\rangle = S|\pi^+\rangle$$

$$\mathcal{A}_{\mu k} = \langle \mu^+, \nu_k | f \rangle = \langle \mu^+, \nu_k | S | \pi^+ \rangle$$

$$\mathcal{A}_{ek} = \langle e^+, \nu_k | f \rangle = \langle e^+, \nu_k | S | \pi^+ \rangle$$

μ^+ and e^+ have different interactions with the environment



incoherent mixture of $|\mu^+ \nu_\mu\rangle$ and $|e^+ \nu_e\rangle$

$|\nu_\mu\rangle$ is the neutrino part of the final state associated with μ^+

$$|\nu_\mu\rangle \propto \langle \mu^+ | f \rangle = \sum_{k=1}^3 \mathcal{A}_{\mu k} |\nu_k\rangle$$

normalized state: $|\nu_\mu\rangle = \left(\sum_j |\mathcal{A}_{\mu j}|^2 \right)^{-1/2} \sum_k \mathcal{A}_{\mu k} |\nu_k\rangle$

is this state different from the standard state $|\nu_\mu\rangle_{\text{std}} = \sum_{k=1}^3 U_{\mu k}^* |\nu_k\rangle$?

in principle **yes**, but in practice **no**

S-matrix operator: $S \simeq 1 - i \int d^4x \mathcal{H}_{\text{CC}}(x)$

$$\mathcal{H}_{\text{CC}}(x) = \frac{G_F}{\sqrt{2}} j_\rho^\dagger(x) j^\rho(x)$$

$$j^\rho(x) = 2 \overline{\nu_{\mu L}}(x) \gamma^\rho \mu_L(x) + \dots = 2 \sum_k U_{\mu k}^* \overline{\nu_{kL}}(x) \gamma^\rho \mu_L(x) + \dots$$

$$\mathcal{A}_{\mu k} = \langle \mu^+, \nu_k | S | \pi^+ \rangle = U_{\mu k}^* \mathcal{M}_{\mu k} \quad \mathcal{M}_{\mu k} \text{ depends on } m_k!$$

$$\mathcal{M}_{\mu k} = -i\sqrt{2}G_F \int d^4x \langle \mu^+, \nu_k | \overline{\nu_{kL}}(x) \gamma^\rho \mu_L(x) J_\rho(x) | \pi^+ \rangle$$

$$\begin{aligned}
|\nu_\mu\rangle &= \left(\sum_j |\mathcal{A}_{\mu j}|^2 \right)^{-1/2} \sum_k \mathcal{A}_{\mu k} |\nu_k\rangle \\
&= \sum_k \frac{\mathcal{M}_{\mu k}}{\sqrt{\sum_j |U_{\mu j}|^2 |\mathcal{M}_{\mu j}|^2}} U_{\mu k}^* |\nu_k\rangle \neq \sum_k U_{\mu k}^* |\nu_k\rangle = |\nu_\mu\rangle_{\text{std}}
\end{aligned}$$

neutrino oscillation experiments are not sensitive to the dependence of $\mathcal{M}_{\mu k}$ on m_k

$$\left. \begin{array}{l} \mathcal{M}_{\mu k} \simeq \mathcal{M}_\mu \\ \sum_j |U_{\mu j}|^2 = 1 \end{array} \right\} \Rightarrow \boxed{|\nu_\mu\rangle \simeq \sum_k U_{\mu k}^* |\nu_k\rangle}$$

standard ν_μ state!

Neutrino Production and Detection

example: $\nu_\mu \rightarrow \nu_e$ oscillation experiment

production process: $\pi^+ \rightarrow \mu^+ + \nu_\mu$

event rate: $R(L, E) \propto \Gamma_{\pi^+ \rightarrow \mu^+ + \nu_\mu} P_{\nu_\mu \rightarrow \nu_e}(L/E) \sigma_{\nu_e}(E)$
production oscillations detection

$$|\nu_\mu\rangle = \left(\sum_j |\mathcal{A}_{\mu j}|^2 \right)^{-1/2} \sum_k \mathcal{A}_{\mu k} |\nu_k\rangle \quad \mathcal{A}_{\mu k} = \langle \mu^+, \nu_k | S | \pi^+ \rangle$$

$$\begin{aligned} \Gamma_{\pi^+ \rightarrow \mu^+ + \nu_\mu} &\sim |\langle \mu^+, \nu_\mu | S | \pi^+ \rangle|^2 \\ &= \left(\sum_j |\mathcal{A}_{\mu j}|^2 \right)^{-1} \left| \sum_k \mathcal{A}_{\mu k}^* \langle \mu^+, \nu_k | S | \pi^+ \rangle \right|^2 \\ &= \left(\sum_j |\mathcal{A}_{\mu j}|^2 \right)^{-1} \left| \sum_k |\mathcal{A}_{\mu k}|^2 \right|^2 = \sum_k |\mathcal{A}_{\mu k}|^2 \quad \text{OK!} \end{aligned}$$

coherent character of flavor state is irrelevant for decay probability!

Covariant Plane-Wave Theory of NuOsc

$p_k \neq p_j \iff$ space-time evolution of states

Neutrino Mixing:

$$|\nu_\alpha\rangle = \sum_k U_{\alpha k} |\nu_k\rangle$$

$\alpha = e, \mu, \tau$

$k = 1, 2, 3, \dots$

$$|\nu_k(x, t)\rangle = e^{-iE_k t + i p_k x} |\nu_k\rangle \implies |\nu_\alpha(x, t)\rangle = \sum_k U_{\alpha k} e^{-iE_k t + i p_k x} |\nu_k\rangle$$

$$|\nu_k\rangle = \sum_{\beta=e,\mu,\tau} U_{\beta k}^* |\nu_\beta\rangle$$

$$|\nu_\alpha(x, t)\rangle = \sum_{\beta=e,\mu,\tau} \underbrace{\left(\sum_k U_{\alpha k} e^{-iE_k t + i p_k x} U_{\beta k}^* \right)}_{\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(x, t)} |\nu_\beta\rangle$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(x, t) = \left| \mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(x, t) \right|^2 = \left| \sum_k U_{\alpha k} e^{-iE_k t + i p_k x} U_{\beta k}^* \right|^2$$

Lorentz-invariant transition probability

[Dolgov et al., NPB 502 (1997) 3] [CG, Kim, FPL 14 (2001) 213] [Bilenky, CG, IJMPA 16 (2001) 3931]

[Dolgov, Phys. Rep. 370 (2002) 333] [Beuthe, Phys. Rep. 375 (2003) 105] [CG, hep-ph/0402217, JPG 34 (2007) R93]

flavor is Lorentz invariant \implies oscillation probability is Lorentz invariant

ultrarelativistic neutrinos $\implies t \simeq x = L$ source-detector distance

$$E_k t - p_k x \simeq (E_k - p_k) L = \frac{E_k^2 - p_k^2}{E_k + p_k} L = \frac{m_k^2}{E_k + p_k} L \simeq \frac{m_k^2}{2E} L$$

$$\begin{aligned} P_{\nu_\alpha \rightarrow \nu_\beta}(L/E) &= \left| \sum_k U_{\alpha k} e^{-im_k^2 L/2E} U_{\beta k}^* \right|^2 \\ &= \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp \left[-i \frac{\Delta m_{kj}^2 L}{2E} \right] \end{aligned}$$

standard oscillation probability!

Wave-Packet Theory of NuOsc

$t \simeq x = L \iff$ Wave Packets

Space-Time
uncertainty



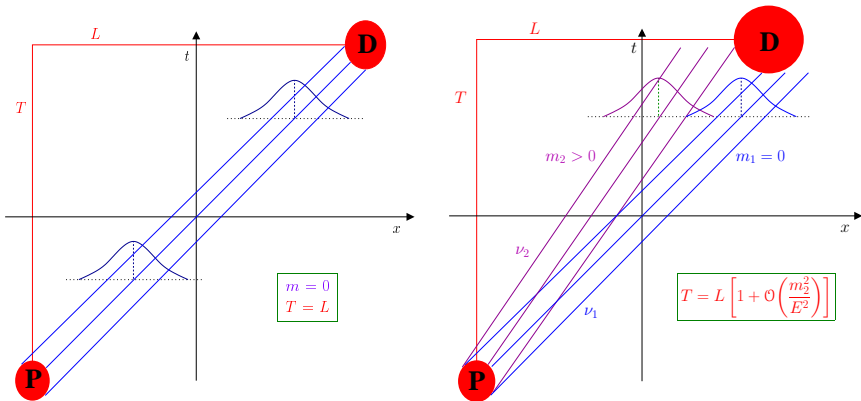
Localization of production and
detection processes

Energy-Momentum
uncertainty



Coherent creation and detection of
different massive neutrinos

[Kayser, PRD 24 (1981) 110] [CG, FPL 17 (2004) 103]



The size of the massive neutrino wave packets is determined by the coherence time δt_P of the Production Process

($\delta t_P \gtrsim \delta x_P$, because the coherence region must be causally connected)

velocity of neutrino wave packets:
$$v_k = \frac{p_k}{E_k} \simeq 1 - \frac{m_k^2}{2E^2}$$

Coherence Length

[Nussinov, PLB 63 (1976) 201] [Kiers, Nussinov, Weiss, PRD 53 (1996) 537]

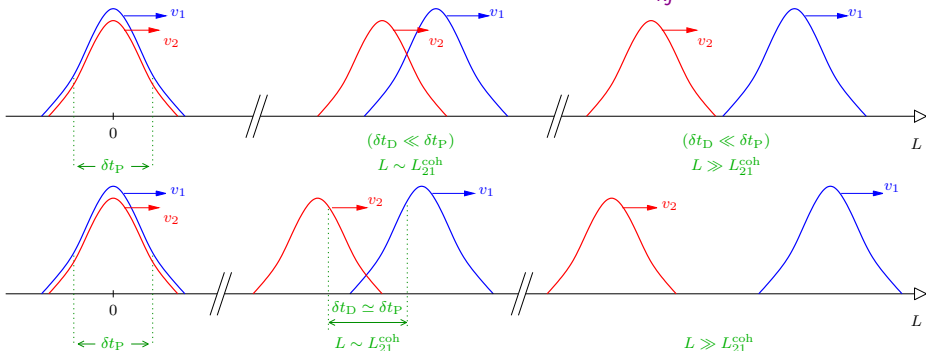
Wave Packets have different velocities and separate

different massive neutrinos can interfere **if and only if**

wave packets arrive with $\delta t_{kj} \lesssim \sqrt{(\delta t_P)^2 + (\delta t_D)^2}$

$$\Rightarrow L \lesssim L_{kj}^{\text{coh}}$$

$$|\delta t_{kj}| \simeq |v_k - v_j| T \simeq \frac{|\Delta m_{kj}^2|}{2E^2} L \Rightarrow L_{kj}^{\text{coh}} \sim \frac{2E^2}{|\Delta m_{kj}^2|} \sqrt{(\delta t_P)^2 + (\delta t_D)^2}$$



Quantum Mechanical Wave Packet Model

[CG, Kim, Lee, PRD 44 (1991) 3635] [CG, Kim, PRD 58 (1998) 017301]

neglecting mass effects in amplitudes of production and detection processes

$$|\nu_\alpha\rangle = \sum_k U_{\alpha k}^* \int dp \psi_k^P(p) |\nu_k(p)\rangle \quad |\nu_\beta\rangle = \sum_k U_{\beta k}^* \int dp \psi_k^D(p) |\nu_k(p)\rangle$$

$$\begin{aligned} A_{\alpha\beta}(x, t) &= \langle \nu_\beta | e^{-i\hat{E}t + i\hat{P}x} | \nu_\alpha \rangle \\ &= \sum_k U_{\alpha k}^* U_{\beta k} \int dp \psi_k^P(p) \psi_k^{D*}(p) e^{-iE_k(p)t + ipx} \end{aligned}$$

Gaussian Approximation of Wave Packets

$$\psi_k^P(p) = \left(2\pi\sigma_{pP}^2\right)^{-1/4} \exp\left[-\frac{(p-p_k)^2}{4\sigma_{pP}^2}\right]$$

$$\psi_k^D(p) = \left(2\pi\sigma_{pD}^2\right)^{-1/4} \exp\left[-\frac{(p-p_k)^2}{4\sigma_{pD}^2}\right]$$

the value of p_k is determined by the production process (causality)

$$\mathcal{A}_{\alpha\beta}(x, t) \propto \sum_k U_{\alpha k}^* U_{\beta k} \int dp \exp \left[-iE_k(p)t + ipx - \frac{(p - p_k)^2}{4\sigma_p^2} \right]$$

global energy-momentum uncertainty:

$$\frac{1}{\sigma_p^2} = \frac{1}{\sigma_{pP}^2} + \frac{1}{\sigma_{pD}^2}$$

sharply peaked wave packets

$$\sigma_p \ll E_k^2(p_k)/m_k \implies E_k(p) = \sqrt{p^2 + m_k^2} \simeq E_k + v_k(p - p_k)$$

$$E_k = E_k(p_k) = \sqrt{p_k^2 + m_k^2} \quad v_k = \left. \frac{\partial E_k(p)}{\partial p} \right|_{p=p_k} = \frac{p_k}{E_k} \quad \text{group velocity}$$

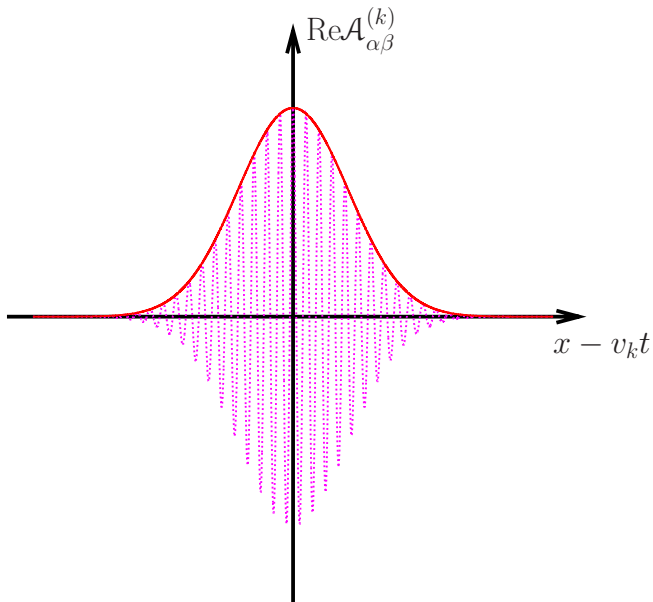
$$\mathcal{A}_{\alpha\beta}(x, t) \propto \sum_k U_{\alpha k}^* U_{\beta k} \exp \left[-iE_k t + ip_k x - \underbrace{\frac{(x - v_k t)^2}{4\sigma_x^2}} \right]$$

suppression factor
for $|x - v_k t| \gtrsim \sigma_x$

$$\sigma_x \sigma_p = \frac{1}{2}$$

global space-time uncertainty:

$$\sigma_x^2 = \sigma_{xP}^2 + \sigma_{xD}^2$$



$\nu_k - \nu_j$ interfere only if $\mathcal{A}_{\alpha\beta}^{(k)}$ and $\mathcal{A}_{\alpha\beta}^{(j)}$ overlap at detection

$$\begin{aligned}
 -E_k t + p_k x &= -(E_k - p_k) x + E_k (x - t) = -\frac{E_k^2 - p_k^2}{E_k + p_k} x + E_k (x - t) \\
 &= -\frac{m_k^2}{E_k + p_k} x + E_k (x - t) \simeq -\frac{m_k^2}{2E} x + E_k (x - t)
 \end{aligned}$$

$$\mathcal{A}_{\alpha\beta}(x, t) \propto \sum_k U_{\alpha k}^* U_{\beta k} \exp \left[\underbrace{-i \frac{m_k^2}{2E} x}_{\substack{\text{standard} \\ \text{phase} \\ \text{for } t = x}} + \underbrace{i E_k (x - t)}_{\substack{\text{additional} \\ \text{phase} \\ \text{for } t \neq x}} - \frac{(x - v_k t)^2}{4\sigma_x^2} \right]$$

Space-Time Flavor Transition Probability

$$P_{\alpha\beta}(x, t) \propto \sum_{kj} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp \left[\underbrace{-i \frac{\Delta m_{kj}^2 x}{2E}}_{\substack{\text{standard} \\ \text{phase} \\ \text{for } t = x}} + i \underbrace{(E_k - E_j)(x - t)}_{\substack{\text{additional} \\ \text{phase} \\ \text{for } t \neq x}} \right]$$

$$\times \exp \left[\underbrace{-\frac{(x - \bar{v}_{kj}t)^2}{4\sigma_x^2}}_{\substack{\text{suppression} \\ \text{factor for} \\ |x - \bar{v}_{kj}t| \gtrsim \sigma_x}} - \underbrace{\frac{(v_k - v_j)^2 t^2}{8\sigma_x^2}}_{\substack{\text{suppression} \\ \text{factor} \\ \text{due to} \\ \text{separation of} \\ \text{wave packets}}} \right]$$

$$v_k = \frac{p_k}{E_k} \simeq 1 - \frac{m_k^2}{2E^2} \quad \bar{v}_{kj} = \frac{v_k + v_j}{2} \simeq 1 - \frac{m_k^2 + m_j^2}{4E^2}$$

Oscillations in Space:

$$P_{\alpha\beta}(L) \propto \int dt P_{\alpha\beta}(L, t)$$

Gaussian integration over dt

$$\begin{aligned}
 P_{\alpha\beta}(L) \propto & \sum_{kj} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp \left[-i \frac{\Delta m_{kj}^2 L}{2E} \right] \\
 & \times \underbrace{\sqrt{\frac{2}{v_k^2 + v_j^2}}}_{\simeq 1} \exp \left[- \underbrace{\frac{(v_k - v_j)^2}{v_k^2 + v_j^2} \frac{L^2}{4\sigma_x^2}}_{\simeq (\Delta m_{kj}^2)^2 / 8E^4} - \underbrace{\frac{(E_k - E_j)^2}{v_k^2 + v_j^2} \sigma_x^2}_{\simeq \xi^2 (\Delta m_{kj}^2)^2 / 8E^2} \right] \\
 & \times \exp \left[\underbrace{i (E_k - E_j) \left(1 - \frac{2\bar{v}_{kj}^2}{v_k^2 + v_j^2} \right) L}_{\ll \Delta m_{kj}^2 / 2E} \right]
 \end{aligned}$$

Ultrarelativistic Neutrinos:

$$p_k \simeq E - (1 - \xi) \frac{m_k^2}{2E} \quad E_k \simeq E + \xi \frac{m_k^2}{2E}$$

$$P_{\alpha\beta}(L) = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp \left[-i \frac{\Delta m_{kj}^2 L}{2E} \right] \\ \times \exp \left[- \left(\frac{\Delta m_{kj}^2 L}{4\sqrt{2}E^2\sigma_x} \right)^2 - 2\xi^2 \left(\frac{\Delta m_{kj}^2 \sigma_x}{4E} \right)^2 \right]$$

Oscillation
Lengths

$$L_{kj}^{\text{osc}} = \frac{4\pi E}{\Delta m_{kj}^2}$$

Coherence
Lengths

$$L_{kj}^{\text{coh}} = \frac{4\sqrt{2}E^2}{|\Delta m_{kj}^2|} \sigma_x$$

$$P_{\alpha\beta}(L) = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp \left[-2\pi i \frac{L}{L_{kj}^{\text{osc}}} \right] \\ \times \exp \left[- \left(\frac{L}{L_{kj}^{\text{coh}}} \right)^2 - 2\pi^2 \xi^2 \left(\frac{\sigma_x}{L_{kj}^{\text{osc}}} \right)^2 \right]$$

new localization term: $\exp \left[-2\pi^2 \xi^2 \left(\frac{\sigma_x}{L_{kj}^{\text{osc}}} \right)^2 \right]$

interference is suppressed for $\sigma_x \gtrsim L_{kj}^{\text{osc}}$

equivalent to neutrino mass measurement

uncertainty of neutrino mass measurement:

$$m_k^2 = E_k^2 - p_k^2 \implies \delta m_k^2 \simeq \sqrt{(2 E_k \delta E_k)^2 + (2 p_k \delta p_k)^2} \sim 4 E \sigma_p$$

$$\sigma_p = \frac{1}{2 \sigma_x} \quad E = \frac{|\Delta m_{kj}^2| L_{kj}^{\text{osc}}}{4\pi} \implies \delta m_k^2 \sim \frac{|\Delta m_{kj}^2| L_{kj}^{\text{osc}}}{\sigma_x}$$

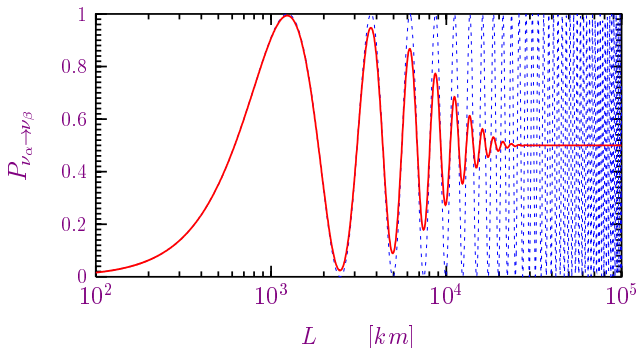
$$\sigma_x \gtrsim L_{kj}^{\text{osc}} \implies \delta m_k^2 \lesssim |\Delta m_{kj}^2| \implies \text{only one massive neutrino!}$$

Decoherence in Two-Neutrino Mixing

$$\Delta m^2 = 10^{-3} \text{ eV}^2 \quad \sin^2 2\vartheta = 1 \quad E = 1 \text{ GeV} \quad \sigma_p = 50 \text{ MeV}$$

$$L^{\text{osc}} = \frac{4\pi E}{\Delta m^2} = 2480 \text{ km}$$

$$L^{\text{coh}} = \frac{4\sqrt{2}E^2}{|\Delta m^2|} \sigma_x = 11163 \text{ km}$$



Decoherence for $L \gtrsim L^{\text{coh}} \sim 10^4 \text{ km}$

Achievements of the QM Wave Packet Model

- ▶ Confirmed Standard Oscillation Length: $L_{kj}^{\text{osc}} = 4\pi E / \Delta m_{kj}^2$
- ▶ Derived Coherence Length: $L_{kj}^{\text{coh}} = 4\sqrt{2}E^2\sigma_x / |\Delta m_{kj}^2|$
- ▶ The localization term quantifies the conditions for coherence

problem

flavor states in production and detection processes have to be assumed

$$|\nu_\alpha\rangle = \sum_k U_{\alpha k}^* \int dp \psi_k^P(p) |\nu_k(p)\rangle \quad |\nu_\beta\rangle = \sum_k U_{\beta k}^* \int dp \psi_k^D(p) |\nu_k(p)\rangle$$

calculation of neutrino production and detection?



Quantum Field Theoretical Wave Packet Model

[CG, Kim, Lee, Lee, PRD 48 (1993) 4310] [CG, Kim, Lee, PLB 421 (1998) 237] [Kiers, Weiss, PRD 57 (1998) 3091]
[Zralek, Acta Phys. Polon. B29 (1998) 3925] [Cardall, PRD 61 (2000) 07300]
[Beuthe, PRD 66 (2002) 013003] [Beuthe, Phys. Rep. 375 (2003) 105] [CG, JHEP 11 (2002) 017]

Estimates of Coherence Length

$$L^{\text{osc}} = \frac{4\pi E}{\Delta m^2} = 2.5 \frac{(E/\text{MeV})}{(\Delta m^2/\text{eV}^2)} \text{ m}$$

$$L^{\text{coh}} \sim \frac{4\sqrt{2}E^2}{|\Delta m^2|} \sigma_x = 10^{12} \frac{(E^2/\text{MeV}^2)}{(|\Delta m^2|/\text{eV}^2)} \left(\frac{\sigma_x}{\text{m}} \right) \text{ m}$$

Process	$ \Delta m^2 $	L^{osc}	σ_x	L^{coh}
$\pi \rightarrow \mu + \nu$ at rest in vacuum: $E \simeq 30 \text{ MeV}$ natural linewidth	$2.5 \times 10^{-3} \text{ eV}^2$	30 km	$\tau_\pi \sim 10 \text{ m}$	$\sim 10^{16} \text{ km}$
$\pi \rightarrow \mu + \nu$ at rest in matter: $E \simeq 30 \text{ MeV}$ collision broadening	$2.5 \times 10^{-3} \text{ eV}^2$	30 km	$\tau_{\text{col}} \sim 10^{-5} \text{ m}$	$\sim 10^{10} \text{ km}$
$\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$ at rest in matter: $E \leq 50 \text{ MeV}$ collision broadening	1 eV^2	$\leq 125 \text{ m}$	$\tau_{\text{col}} \sim 10^{-10} \text{ m}$	$\lesssim 10^2 \text{ km}$
${}^7\text{Be} + e^- \rightarrow {}^7\text{Li} + \nu_e$ in solar core: $E \simeq 0.86 \text{ MeV}$ collision broadening	$7 \times 10^{-5} \text{ eV}^2$	31 km	$\tau_{\text{col}} \sim 10^{-9} \text{ m}$	$\sim 10^4 \text{ km}$

Common Question: Do Charged Leptons Oscillate?

- ▶ Mass is the only property which distinguishes e , μ , τ .
- ▶ The flavor of a charged lepton is defined by its mass!
- ▶ By definition, the flavor of a charged lepton cannot change.

THE FLAVOR OF CHARGED LEPTONS DOES NOT OSCILLATE

[CG, Kim, FPL 14 (2001) 213] [CG, hep-ph/0409230] [Akhmedov, JHEP 09 (2007) 116]

a misleading argument

[Sassaroli, Srivastava, Widom, hep-ph/9509261, EPJC 2 (1998) 769] [Srivastava, Widom, hep-ph/9707268]

in $\pi^+ \rightarrow \mu^+ + \nu_\mu$ the final state of the antimuon and neutrino is entangled



if the probability to detect the neutrino oscillates as a function of distance, also the probability to detect the muon must oscillate

WRONG!

the probability to detect the neutrino (as ν_μ or ν_τ or ν_e) does not oscillate as a function of distance, because

$$\sum_{\beta=e,\mu,\tau} P_{\nu_\mu \rightarrow \nu_\beta} = 1 \quad \text{conservation of probability (unitarity)}$$

[Dolgov, Morozov, Okun, Shchepkin, NPB 502 (1997) 3] [CG, Kim, FPL 14 (2001) 213]

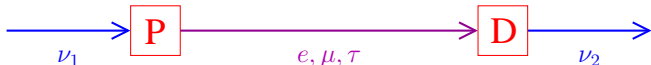
Λ oscillations from $\pi^- + p \rightarrow \Lambda + K^0$

[Widom, Srivastava, hep-ph/9605399] [Srivastava, Widom, Sassaroli, PLB 344 (1995) 436]

refuted in [Lowe et al., PLB 384 (1996) 288] [Burkhardt, Lowe, Stephenson, Goldman, PRD 59 (1999) 054018]

Correct definition of Charged Lepton Oscillations

[Pakvasa, Nuovo Cim. Lett. 31 (1981) 497]



Analogy

- ▶ **Neutrino Oscillations:** massive neutrinos propagate unchanged between production and detection, with a difference of mass (flavor) of the charged leptons involved in the production and detection processes.
- ▶ **Charged-Lepton Oscillations:** massive charged leptons propagate unchanged between production and detection, with a difference of mass of the neutrinos involved in the production and detection processes.

NO FLAVOR CONVERSION!

The propagating charged leptons must be ultrarelativistic, in order to be produced and detected coherently (if τ is not ultrarelativistic, only e and μ contribute to the phase).

Practical Problems

- ▶ The initial and final neutrinos must be massive neutrinos of known type: precise neutrino mass measurements.
- ▶ The energy of the propagating charged leptons must be extremely high, in order to have a measurable oscillation length

$$\frac{4\pi E}{(m_\mu^2 - m_e^2)} \simeq \frac{4\pi E}{m_\mu^2} \simeq 2 \times 10^{-11} \left(\frac{E}{\text{GeV}} \right) \text{ cm}$$

detailed discussion: [Akhmedov, JHEP 09 (2007) 116, arXiv:0706.1216]

Conclusions

- ▶ The standard expression of the **oscillation probability** of ultrarelativistic neutrinos is robust.
- ▶ The definition of the **flavor states** as appropriate superpositions of **massive states** gives a consistent framework for the description of neutrino oscillations and interactions in neutrino oscillation experiments.
- ▶ Taking into account the space-time evolution of neutrino states we obtain a **Lorentz-invariant oscillation probability** which reduces to the standard one for $t \simeq x$.
- ▶ $t \simeq x$ is justified by a **wave packet** description, which is connected with the localization of the production and detection processes.
- ▶ A **Quantum Mechanical Wave-Packet Model** confirms the standard oscillation length and allows to estimate the coherence length.
- ▶ A complete description of neutrino oscillations is achieved with a **Quantum Field Theoretical Wave Packet Model**, which includes the calculation of neutrino production and detection.

Mistake: Oscillation Phase Larger by a Factor of 2

[Field, hep-ph/0110064, hep-ph/0110066, EPJC 30 (2003) 305, EPJC 37 (2004) 359, Annals Phys. 321 (2006) 627]

$K^0 - \bar{K}^0$: [Srivastava, Widom, Sassaroli, ZPC 66 (1995) 601, PLB 344 (1995) 436] [Widom, Srivastava, hep-ph/9605399]

massive neutrinos: $v_k = \frac{p_k}{E_k} \implies t_k = \frac{L}{v_k} = \frac{E_k}{p_k} L$

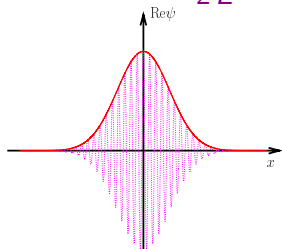
$$\tilde{\Phi}_k = p_k L - E_k t_k = p_k L - \frac{E_k^2}{p_k} L = \frac{p_k^2 - E_k^2}{p_k} L = \frac{m_k^2}{p_k} L \simeq \frac{m_k^2}{E} L$$

$$\Delta \tilde{\Phi}_{kj} = -\frac{\Delta m_{kj}^2 L}{E} \quad \text{twice the standard phase} \quad \Delta \Phi_{kj} = -\frac{\Delta m_{kj}^2 L}{2E}$$

WRONG!

group velocities are irrelevant for the phase!

the group velocity is the velocity of the factor which modulates the amplitude of the wave packet



in the plane wave approximation the interference
of different massive neutrino contribution must be calculated
at a definite space distance L and after a definite time interval T

[Nieto, hep-ph/9509370] [Kayser, Stodolsky, PLB 359 (1995) 343] [Lowe et al., PLB 384 (1996) 288] [Kayser, hep-ph/9702327]
[CG, Kim, FPL 14 (2001) 213] [CG, Physica Scripta 67 (2003) 29] [Burkhardt et al., PLB 566 (2003) 137]

$$\Delta\tilde{\Phi}_{kj} = (p_k - p_j) L - (E_k - E_j) t_k \quad \text{WRONG!}$$

$$\Delta\Phi_{kj} = (p_k - p_j) L - (E_k - E_j) T \quad \text{CORRECT!}$$

no factor of 2 ambiguity claimed in

[Lipkin, PLB 348 (1995) 604, hep-ph/9901399] [Grossman, Lipkin, PRD 55 (1997) 2760]

[De Leo, Ducati, Rotelli, MPLA 15 (2000) 2057]

[De Leo, Nishi, Rotelli, hep-ph/0208086, hep-ph/0303224, IJMPA 19 (2004) 677]