# Neutrino Physics Carlo Giunti

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Fundamentals of **Neutrino Physics** and Astrophysics THE PLACE PROFILE

C. Giunti and C.W. Kim Fundamentals of Neutrino Physics and Astrophysics Oxford University Press 15 March 2007 – 728 pages

## Part I: Theory of Neutrino Masses and Mixing

- Dirac Neutrino Masses and Mixing
- Majorana Neutrino Masses and Mixing
- Dirac-Majorana Mass Term
- Number of Flavor and Massive Neutrinos?
- Sterile Neutrinos

## Part II: Neutrino Oscillations

- Neutrino Oscillations in Vacuum
- CPT, CP and T Symmetries
- Two-Neutrino Oscillations
- Neutrino Oscillations in Matter

# Part III: Phenomenology

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- Atmospheric and LBL Oscillation Experiments
- Phenomenology of Three-Neutrino Mixing
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## Part II

## Neutrino Oscillations

- Neutrino Oscillations in Vacuum
- CPT, CP and T Symmetries
- Two-Neutrino Oscillations
- Neutrino Oscillations in Matter

## Neutrino Oscillations in Vacuum

#### • Neutrino Oscillations in Vacuum

- Ultrarelativistic Approximation
- Easy Example of Neutrino Production
- Neutrino Oscillations
- Neutrinos and Antineutrinos
- CPT, CP and T Symmetries
- Two-Neutrino Oscillations
- Neutrino Oscillations in Matter

#### **Ultrarelativistic Approximation**

Only neutrinos with energy  $\gtrsim 0.1 MeV$  are detectable!

Charged-Current Processes: Threshold

u + A  ightarrow B + C	$ u_e + {}^{71}Ga  o {}^{71}Ge + e^-$	$E_{\rm th}=0.233{ m MeV}$
↓	$ u_e + {}^{37} ext{Cl}  o {}^{37} ext{Ar} + e^-$	$E_{ m th}=0.81 m MeV$
$s=2Em_A+m_A^2\geq (m_B+m_C)^2$	$ar{ u}_e + p  ightarrow n + e^+$	$E_{ m th}=1.8{ m MeV}$
$\downarrow$	$ u_{\mu}+n ightarrow p+\mu^{-}$	$E_{\rm th} = 110  {\rm MeV}$
$E_{\rm th} = rac{(m_B + m_C)^2}{2m_A} - rac{m_A}{2}$	$ u_{\mu}+e^{-} ightarrow u_{e}+\mu^{-}$	$E_{ m th}\simeq rac{m_{\mu}^2}{2m_e}=10.9{ m GeV}$

Elastic Scattering Processes: Cross Section  $\propto$  Energy  $\nu + e^- \rightarrow \nu + e^- \qquad \sigma(E) \sim \sigma_0 E/m_e \qquad \sigma_0 \sim 10^{-44} \text{ cm}^2$ Background  $\implies E_{\text{th}} \simeq 5 \text{ MeV} (\text{SK, SNO}), 0.25 \text{ MeV} (\text{Borexino})$ 

Laboratory and Astrophysical Limits  $\implies m_{\nu} \lesssim 1\,{
m eV}$ 

### **Easy Example of Neutrino Production**

## Neutrino Oscillations

- ▶ 1957: Bruno Pontecorvo proposed Neutrino Oscillations in analogy with  $K^0 \leftrightarrows \bar{K}^0$  oscillations (Gell-Mann and Pais, 1955)
- Flavor Neutrinos:  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$  produced in Weak Interactions
- ▶ Massive Neutrinos:  $\nu_1$ ,  $\nu_2$ ,  $\nu_3$  propagate from Source to Detector
- A Flavor Neutrino is a superposition of Massive Neutrinos

$$egin{aligned} |
u_e
angle &= U_{e1} \left|
u_1
angle + U_{e2} \left|
u_2
angle + U_{e3} \left|
u_3
angle \ |
u_\mu
angle &= U_{\mu 1} \left|
u_1
angle + U_{\mu 2} \left|
u_2
angle + U_{\mu 3} \left|
u_3
angle \ |
u_ au
angle &= U_{ au 1} \left|
u_1
angle + U_{ au 2} \left|
u_2
angle + U_{ au 3} \left|
u_3
angle \end{aligned}$$

U is the 3 × 3 Neutrino Mixing Matrix

 $ert 
u(t=0)
angle = ert 
u_e
angle = U_{e1} ert 
u_1
angle + U_{e2} ert 
u_2
angle + U_{e3} ert 
u_3
angle$ 



$$|
u(t>0)
angle = U_{e1} \, e^{-iE_1 t} \, |
u_1
angle + U_{e2} \, e^{-iE_2 t} \, |
u_2
angle + U_{e3} \, e^{-iE_3 t} \, |
u_3
angle 
eq |
u_e
angle$$

at the detector there is a probability > 0 to see the neutrino as a  $u_{\mu}$ 

Neutrino Oscillations are Flavor Transitions

 $\begin{array}{cccc}
\nu_e o 
u_\mu & 
u_e o 
u_ au & 
u_\mu o 
u_e & 
u_\mu o 
u_ au \\
ar{
u}_e o 
au_\mu & 
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#### Neutrino Oscillations in Vacuum

[Eliezer, Swift, NPB 105 (1976) 45] [Fritzsch, Minkowski, PLB 62 (1976) 72] [Bilenky, Pontecorvo, SJNP 24 (1976) 316]

$$\mathcal{L}_{CC} \sim W_{\rho} \left( \overline{\nu_{eL}} \gamma^{\rho} e_{L} + \overline{\nu_{\mu L}} \gamma^{\rho} \mu_{L} + \overline{\nu_{\tau L}} \gamma^{\rho} \tau_{L} \right)$$

 $\mathsf{Fields} \qquad \nu_{\alpha} = \sum_{k} U_{\alpha k} \nu_{k} \qquad \Longrightarrow \qquad |\nu_{\alpha}\rangle = \sum_{k} U_{\alpha k}^{*} |\nu_{k}\rangle \qquad \mathsf{States}$ 

initial flavor: lpha = e or  $\mu$  or au

$$|
u_k(t,x)
angle = e^{-iE_kt+ip_kx} |
u_k
angle \implies |
u_{lpha}(t,x)
angle = \sum_k U^*_{lpha k} e^{-iE_kt+ip_kx} |
u_k
angle$$

$$|\nu_{k}\rangle = \sum_{\beta=e,\mu,\tau} U_{\beta k} |\nu_{\beta}\rangle \quad \Rightarrow \quad |\nu_{\alpha}(t,x)\rangle = \sum_{\beta=e,\mu,\tau} \underbrace{\left(\sum_{k} U_{\alpha k}^{*} e^{-iE_{k}t + ip_{k}x} U_{\beta k}\right)}_{\mathcal{A}_{\nu_{\alpha} \to \nu_{\beta}}(t,x)} |\nu_{\beta}\rangle$$

$$\mathcal{A}_{
u_{lpha}
ightarrow 
u_{eta}}(0,0) = \sum_{k} U^{*}_{lpha k} U_{eta k} = \delta_{lpha eta} \qquad \qquad \mathcal{A}_{
u_{lpha}
ightarrow 
u_{eta}}(t>0,x>0) 
eq \delta_{lpha eta}$$

$$P_{\nu_{\alpha} \to \nu_{\beta}}(t, x) = \left| \mathcal{A}_{\nu_{\alpha} \to \nu_{\beta}}(t, x) \right|^{2} = \left| \sum_{k} U_{\alpha k}^{*} e^{-iE_{k}t + ip_{k} \times} U_{\beta k} \right|^{2}$$

ultra-relativistic neutrinos  $\implies t \simeq x = L$  source-detector distance

$$E_k t - p_k x \simeq (E_k - p_k) L = rac{E_k^2 - p_k^2}{E_k + p_k} L = rac{m_k^2}{E_k + p_k} L \simeq rac{m_k^2}{2E} L$$

$$P_{\nu_{\alpha} \to \nu_{\beta}}(L, E) = \left| \sum_{k} U_{\alpha k}^{*} e^{-im_{k}^{2}L/2E} U_{\beta k} \right|^{2}$$
$$= \sum_{k,j} U_{\alpha k}^{*} U_{\beta k} U_{\alpha j} U_{\beta j}^{*} \exp\left(-i\frac{\Delta m_{k j}^{2}L}{2E}\right)$$
$$\Delta m_{k j}^{2} \equiv m_{k}^{2} - m_{j}^{2}$$

#### **Neutrinos and Antineutrinos**

Right-handed antineutrinos are described by CP-conjugated fields:

$$u^{\mathsf{CP}} = \gamma^0 \, \mathcal{C} \, \overline{
u}^{\, \mathcal{T}} = - \mathcal{C} \, 
u^*$$

- $C \implies Particle \leftrightarrows Antiparticle$
- $\mathsf{P} \quad \Longrightarrow \quad \mathsf{Left}\text{-}\mathsf{Handed} \leftrightarrows \mathsf{Right}\text{-}\mathsf{Handed}$

Fields: 
$$\nu_{\alpha L} = \sum_{k} U_{\alpha k} \nu_{kL} \xrightarrow{\text{CP}} \nu_{\alpha L}^{\text{CP}} = \sum_{k} U_{\alpha k}^{*} \nu_{kL}^{\text{CP}}$$
  
States:  $|\nu_{\alpha}\rangle = \sum_{k}^{k} U_{\alpha k}^{*} |\nu_{k}\rangle \xrightarrow{\text{CP}} |\bar{\nu}_{\alpha}\rangle = \sum_{k}^{k} U_{\alpha k} |\bar{\nu}_{k}\rangle$ 

<u>NEUTRINOS</u>  $U \hookrightarrow U^*$  <u>ANTINEUTRINOS</u>

$$P_{\nu_{\alpha} \to \nu_{\beta}}(L, E) = \sum_{k,j} U_{\alpha k}^{*} U_{\beta k} U_{\alpha j} U_{\beta j}^{*} \exp\left(-i\frac{\Delta m_{k j}^{2} L}{2E}\right)$$
$$P_{\bar{\nu}_{\alpha} \to \bar{\nu}_{\beta}}(L, E) = \sum_{k,j} U_{\alpha k} U_{\beta k}^{*} U_{\alpha j}^{*} U_{\beta j} \exp\left(-i\frac{\Delta m_{k j}^{2} L}{2E}\right)$$
$$C. \text{ Giunti - Neutrino Physics - May 2011 - 97}$$

## **CPT, CP and T Symmetries**

- Neutrino Oscillations in Vacuum
- CPT, CP and T Symmetries
  - CPT Symmetry
  - CP Symmetry
  - T Symmetry
- Two-Neutrino Oscillations
- Neutrino Oscillations in Matter

**CPT Symmetry** 

$$P_{\nu_{\alpha} \to \nu_{\beta}} \xrightarrow{\text{CPT}} P_{\bar{\nu}_{\beta} \to \bar{\nu}_{\alpha}}$$
CPT Asymmetries:  $A_{\alpha\beta}^{\text{CPT}} = P_{\nu_{\alpha} \to \nu_{\beta}} - P_{\bar{\nu}_{\beta} \to \bar{\nu}_{\alpha}}$ 
ocal Quantum Field Theory  $\implies A_{\alpha\beta}^{\text{CPT}} = 0$  CPT Symmetry
$$P_{\nu_{\alpha} \to \nu_{\beta}}(L, E) = \sum_{k,j} U_{\alpha k}^{*} U_{\beta k} U_{\alpha j} U_{\beta j}^{*} \exp\left(-i\frac{\Delta m_{k j}^{2} L}{2E}\right)$$
is invariant under CPT:  $U \iff U^{*} \quad \alpha \iff \beta$ 

$$P_{\nu_{\alpha} \to \nu_{\beta}} = P_{\bar{\nu}_{\beta} \to \bar{\nu}_{\alpha}}$$

 $P_{\nu_{\alpha} \to \nu_{\alpha}} = P_{\bar{\nu}_{\alpha} \to \bar{\nu}_{\alpha}}$ 

L

(solar  $\nu_e$ , reactor  $\bar{\nu}_e$ , accelerator  $\nu_{\mu}$ )

## **CP Symmetry**

$$\begin{array}{ccc} P_{\nu_{\alpha} \to \nu_{\beta}} & \xrightarrow{\mathbf{CP}} & P_{\overline{\nu}_{\alpha} \to \overline{\nu}_{\beta}} \end{array}$$

$$\begin{array}{ccc} \mathsf{CP} & \mathsf{Asymmetries:} & A_{\alpha\beta}^{\mathsf{CP}} = P_{\nu_{\alpha} \to \nu_{\beta}} - P_{\overline{\nu}_{\alpha} \to \overline{\nu}_{\beta}} \end{array} \quad \begin{array}{ccc} \mathsf{CPT} & \Rightarrow & A_{\alpha\beta}^{\mathsf{CP}} = -A_{\beta\alpha}^{\mathsf{CP}} \end{array}$$

$$A_{\alpha\beta}^{CP}(L,E) = 4 \sum_{k>j} \operatorname{Im}\left[U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^*\right] \sin\left(\frac{\Delta m_{kj}^2 L}{2E}\right)$$

Jarlskog rephasing invariant:  $\operatorname{Im}\left[U_{\alpha k}^{*} U_{\beta k} U_{\alpha j} U_{\beta j}^{*}\right] = \pm J$ 

$$J = c_{12}s_{12}c_{23}s_{23}c_{13}^2s_{13}\sin\delta_{13}$$

violation of CP in neutrino oscillations is proportional to

$$|U_{e3}| = \sin \vartheta_{13}$$
 and  $\sin \delta_{13}$ 

## **T** Symmetry

$$P_{\nu_{\alpha} \to \nu_{\beta}} \xrightarrow{\mathsf{T}} P_{\nu_{\beta} \to \nu_{\alpha}}$$

T Asymmetries:  $A_{\alpha\beta}^{\mathsf{T}} = P_{\nu_{\alpha} \rightarrow \nu_{\beta}} - P_{\nu_{\beta} \rightarrow \nu_{\alpha}}$ 

$$\begin{array}{ll} \mathsf{CPT} & \Longrightarrow & 0 = A_{\alpha\beta}^{\mathsf{CPT}} = P_{\nu_{\alpha} \to \nu_{\beta}} - P_{\bar{\nu}_{\beta} \to \bar{\nu}_{\alpha}} \\ & = P_{\nu_{\alpha} \to \nu_{\beta}} - P_{\nu_{\beta} \to \nu_{\alpha}} + P_{\nu_{\beta} \to \nu_{\alpha}} - P_{\bar{\nu}_{\beta} \to \bar{\nu}_{\alpha}} \\ & = A_{\alpha\beta}^{\mathsf{T}} + A_{\beta\alpha}^{\mathsf{CP}} = A_{\alpha\beta}^{\mathsf{T}} - A_{\alpha\beta}^{\mathsf{CP}} \Longrightarrow & A_{\alpha\beta}^{\mathsf{T}} = A_{\alpha\beta}^{\mathsf{CP}} \end{array}$$

$$A_{\alpha\beta}^{\mathsf{T}}(L,E) = 4\sum_{k>j} \operatorname{Im}\left[U_{\alpha k}^{*} U_{\beta k} U_{\alpha j} U_{\beta j}^{*}\right] \sin\left(\frac{\Delta m_{k j}^{2} L}{2E}\right)$$

Jarlskog rephasing invariant:  $\operatorname{Im} \left[ U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \right] = \pm J$ 

## **Two-Neutrino Oscillations**

- Neutrino Oscillations in Vacuum
- CPT, CP and T Symmetries
- Two-Neutrino Oscillations
  - Two-Neutrino Mixing and Oscillations
  - Types of Experiments
  - Average over Energy Resolution of the Detector
- Neutrino Oscillations in Matter

#### **Two-Neutrino Mixing and Oscillations**

$$|\nu_{\alpha}\rangle = \sum_{k=1}^{2} U_{\alpha k} |\nu_{k}\rangle \qquad (\alpha = e, \mu)$$

$$U = \begin{pmatrix} \cos\vartheta & \sin\vartheta \\ -\sin\vartheta & \cos\vartheta \end{pmatrix}$$

$$|\nu_{e}\rangle = \cos\vartheta |\nu_{1}\rangle + \sin\vartheta |\nu_{2}\rangle \\ |\nu_{\mu}\rangle = -\sin\vartheta |\nu_{1}\rangle + \cos\vartheta |\nu_{2}\rangle$$

$$\Delta m^2 \equiv \Delta m^2_{21} \equiv m^2_2 - m^2_1$$

Transition Probability:

$$P_{\nu_e \to \nu_{\mu}} = P_{\nu_{\mu} \to \nu_e} = \sin^2 2\vartheta \sin^2 \left(\frac{\Delta m^2 L}{4E}\right)$$

 $\nu_2$ 

Survival Probabilities:  $P_{\nu_e \rightarrow \nu_e} = P_{\nu_\mu \rightarrow \nu_\mu} = 1 - P_{\nu_e \rightarrow \nu_\mu}$ 

two-neutrino mixing transition probability

$$\alpha \neq \beta \qquad \alpha, \beta = e, \mu, \tau$$

$$P_{\nu_{\alpha} \to \nu_{\beta}}(L, E) = \sin^{2} 2\vartheta \sin^{2} \left(\frac{\Delta m^{2}L}{4E}\right)$$

$$= \sin^{2} 2\vartheta \sin^{2} \left(1.27 \frac{\Delta m^{2} [eV^{2}] L[m]}{E[MeV]}\right)$$

$$= \sin^{2} 2\vartheta \sin^{2} \left(1.27 \frac{\Delta m^{2} [eV^{2}] L[km]}{E[GeV]}\right)$$

#### oscillation length

$$L^{\rm osc} = \frac{4\pi E}{\Delta m^2} = 2.47 \frac{E \,[{\rm MeV}]}{\Delta m^2 \,[{\rm eV}^2]} \,\mathrm{m} = 2.47 \frac{E \,[{\rm GeV}]}{\Delta m^2 \,[{\rm eV}^2]} \,\mathrm{km}$$

**Types of Experiments** 

Two-Neutrino Mixing

$$P_{\nu_{lpha} o 
u_{eta}}(L, E) = \sin^2 2 \vartheta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$

 $egin{array}{c} {
m observable \ if} \ {\Delta m^2 L\over 4E}\gtrsim 1 \end{array}$ 

 $\label{eq:BL} \begin{array}{ll} {\rm SBL} & {\rm Reactor:} \ L \sim 10 \, {\rm m} \ , \ E \sim 1 \, {\rm MeV} \\ L/E \lesssim 10 \, {\rm eV}^{-2} {\Rightarrow} \Delta m^2 \gtrsim 0.1 \, {\rm eV}^2 & {\rm Accelerator:} \ L \sim 1 \, {\rm km} \ , \ E \gtrsim 0.1 \, {\rm GeV} \end{array}$ 

 $\begin{array}{ll} \mbox{ATM \& LBL} & \mbox{Reactor: } L \sim 1 \mbox{ km} \ , \ E \sim 1 \mbox{ MeV CHOOZ, PALO VERDE} \\ \hline L/E \lesssim 10^4 \mbox{ eV}^{-2} \ \mbox{Accelerator: } L \sim 10^3 \mbox{ km} \ , \ E \gtrsim 1 \mbox{ GeV K2K, MINOS, CNGS} \\ & \mbox{ Atmospheric: } L \sim 10^2 - 10^4 \mbox{ km} \ , \ E \sim 0.1 - 10^2 \mbox{ GeV} \\ \hline \Delta m^2 \gtrsim 10^{-4} \mbox{ eV}^2 \ \ \mbox{Kamiokande, IMB, Super-Kamiokande, Soudan, MACRO, MINOS} \end{array}$ 

 $\underbrace{SUN}_{L} \qquad L \sim 10^8 \text{ km}, \quad E \sim 0.1 - 10 \text{ MeV}$   $\underbrace{L}_{E} \sim 10^{11} \text{ eV}^{-2} \Rightarrow \Delta m^2 \gtrsim 10^{-11} \text{ eV}^2 \xrightarrow{\text{Homestake, Kamiokande, GALLEX, SAGE, Super-Kamiokande, GNO, SNO, Borexino}$ Matter Effect (MSW)  $\Rightarrow 10^{-4} \lesssim \sin^2 2\vartheta \lesssim 1, \ 10^{-8} \text{ eV}^2 \lesssim \Delta m^2 \lesssim 10^{-4} \text{ eV}^2$   $\underbrace{\text{VLBL}}_{L/E \lesssim 10^5 \text{ eV}^{-2} \Rightarrow \Delta m^2 \gtrsim 10^{-5} \text{ eV}^2 } \xrightarrow{\text{Reactor: } L \sim 10^2 \text{ km}, \ E \sim 1 \text{ MeV} }$   $\underbrace{\text{Reactor: } L \sim 10^2 \text{ km}, \ E \sim 1 \text{ MeV} }_{\text{C. Giunti - Neutrino Physics - May 2011 - 105}}$ 

### Average over Energy Resolution of the Detector





## **Exclusion Curves**

$$\langle P_{\nu_{\alpha} \to \nu_{\beta}}(L, E) \rangle = \frac{1}{2} \sin^2 2\vartheta \left[ 1 - \int \cos\left(\frac{\Delta m^2 L}{2E}\right) \phi(E) dE \right] \qquad (\alpha \neq \beta)$$

$$\langle P_{\nu_{\alpha} \to \nu_{\beta}}(L, E) \rangle \leq P_{\nu_{\alpha} \to \nu_{\beta}}^{\max} \implies \sin^{2} 2\vartheta \leq \frac{2 P_{\nu_{\alpha} \to \nu_{\beta}}^{\max}}{1 - \int \cos\left(\frac{\Delta m^{2}L}{2E}\right) \phi(E) dE}$$





#### **Anatomy of Exclusion Plots**



• 
$$\Delta m^2 \gg \langle L/E \rangle^{-1}$$
  
 $P_{\max} \simeq \frac{1}{2} \sin^2 2\vartheta \Rightarrow \sin^2 2\vartheta \simeq$   
 $2P_{\max}$   
• Min  $\left\langle \cos\left(\frac{\Delta m^2 L}{2E}\right) \right\rangle \ge -1$   
 $\sin^2 2\vartheta = \frac{2P_{\max}}{1 - \text{Min}\left\langle \cos\left(\frac{\Delta m^2 L}{2E}\right) \right\rangle} \ge P_{\max}$   
 $\Delta m^2 \simeq 2\pi \langle L/E \rangle^{-1}$   
•  $\Delta m^2 \ll 2\pi \langle L/E \rangle^{-1}$   
 $\cos\left(\frac{\Delta m^2 L}{2E}\right) \simeq 1 - \frac{1}{2} \left(\frac{\Delta m^2 L}{2E}\right)^2$   
 $\Delta m^2 \simeq 4 \left\langle \frac{L}{E} \right\rangle^{-1} \sqrt{\frac{P_{\max}}{\sin^2 2\vartheta}}$ 

## Neutrino Oscillations in Matter

- Neutrino Oscillations in Vacuum
- CPT, CP and T Symmetries
- Two-Neutrino Oscillations
- Neutrino Oscillations in Matter
  - Effective Potentials in Matter
  - Evolution of Neutrino Flavors in Matter
  - MSW Effect (Resonant Transitions in Matter)
  - Solar Neutrinos
  - In Neutrino Oscillations Dirac = Majorana

#### **Effective Potentials in Matter**





$V_e = V_{\rm CC} + V_{\rm NC}$	$V_{\mu}=V_{ au}=V_{NC}$
---------------------------------	--------------------------

only  $V_{\mathsf{CC}} = V_e - V_\mu = V_e - V_ au$  is important for flavor transitions

antineutrinos:  $\overline{V}_{CC} = -V_{CC}$   $\overline{V}_{NC} = -V_{NC}$ 

#### Matter Effects

a flavor neutrino  $u_{lpha}$  with momentum p is described by

$$|
u_{lpha}(p)
angle = \sum_{k} U^{*}_{lpha k} \ket{
u_{k}(p)}$$

 $\mathcal{H}_0\ket{
u_k(p)}= oldsymbol{E}_k\ket{
u_k(p)}$ 

in matter  $\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_I$   $\mathcal{H}_I |\nu_{\alpha}(p)\rangle = V_{\alpha} |\nu_{\alpha}(p)\rangle$ 

 $E_k = \sqrt{p^2 + m_k^2}$ 

 $V_{lpha}=$  effective potential due to coherent interactions with the medium

forward elastic CC and NC scattering

## **Evolution of Neutrino Flavors in Matter**

Schrödinger picture:  

$$i \frac{d}{dt} |\nu(p, t)\rangle = \mathcal{H} |\nu(p, t)\rangle, \qquad |\nu(p, 0)\rangle = |\nu_{\alpha}(p)\rangle$$
flavor transition amplitudes:  

$$\varphi_{\beta}(p, t) = \langle \nu_{\beta}(p) | \nu(p, t) \rangle, \qquad \varphi_{\beta}(p, 0) = \delta_{\alpha\beta}$$

$$i \frac{d}{dt} \varphi_{\beta}(p, t) = \langle \nu_{\beta}(p) | \mathcal{H} | \nu(p, t) \rangle = \langle \nu_{\beta}(p) | \mathcal{H}_{0} | \nu(p, t) \rangle + \langle \nu_{\beta}(p) | \mathcal{H}_{1} | \nu(p, t) \rangle$$

$$\langle \nu_{\beta}(p) | \mathcal{H}_{0} | \nu(p, t) \rangle = \sum_{\rho} \langle \nu_{\beta}(p) | \mathcal{H}_{0} | \nu_{\rho}(p) \rangle \underbrace{\langle \nu_{\rho}(p) | \nu(p, t) \rangle}_{\varphi_{\rho}(p, t)}$$

$$= \sum_{\rho} \sum_{k,j} U_{\beta k} \underbrace{\langle \nu_{k}(p) | \mathcal{H}_{0} | \nu_{j}(p) \rangle}_{\delta_{kj} E_{k}} \underbrace{\langle \nu_{\beta}(p) | \mathcal{H}_{0} | \nu_{\beta}(p, t) \rangle}_{\delta_{kj} E_{k}}$$

$$\langle 
u_eta(p) | \mathcal{H}_I | 
u(p,t) 
angle = \sum_
ho \underbrace{\langle 
u_eta(p) | \mathcal{H}_I | 
u_
ho(p) 
angle}_{\delta_{eta
ho} V_eta} arphi_
ho(p,t) = V_eta \, arphi_eta(p,t)$$

$$i \frac{\mathrm{d}}{\mathrm{d}t} \varphi_{\beta} = \sum_{
ho} \left( \sum_{k} U_{\beta k} E_{k} U_{\rho k}^{*} + \delta_{\beta \rho} V_{\beta} \right) \varphi_{\rho}$$

ultrarelativistic neutrinos:  $E_k = p + \frac{m_k^2}{2E}$  E = p t = x  $V_e = V_{CC} + V_{NC}$   $V_{\mu} = V_{\tau} = V_{NC}$  $i \frac{d}{dx} \varphi_{\beta}(p, x) = (p + V_{NC}) \varphi_{\beta}(p, x) + \sum_{\rho} \left( \sum_{k} U_{\beta k} \frac{m_k^2}{2E} U_{\rho k}^* + \delta_{\beta e} \delta_{\rho e} V_{CC} \right) \varphi_{\rho}(p, x)$ 

$$\psi_{\beta}(p, x) = \varphi_{\beta}(p, x) e^{ipx + i \int_{0}^{x} V_{NC}(x') dx'}$$
$$\downarrow$$
$$i \frac{d}{dx} \psi_{\beta} = e^{ipx + i \int_{0}^{x} V_{NC}(x') dx'} \left(-p - V_{NC} + i \frac{d}{dx}\right) \varphi_{\beta}$$

$$i \frac{\mathsf{d}}{\mathsf{d}x} \psi_{\beta} = \sum_{\rho} \left( \sum_{k} U_{\beta k} \frac{m_{k}^{2}}{2E} U_{\rho k}^{*} + \delta_{\beta e} \, \delta_{\rho e} \, V_{\mathsf{CC}} \right) \psi_{\rho}$$

$$P_{
u_lpha
ightarrow 
u_eta} = |arphi_eta|^2 = |\psi_eta|^2$$

#### evolution of flavor transition amplitudes in matrix form

$$i \frac{\mathrm{d}}{\mathrm{d}x} \Psi_{\alpha} = \frac{1}{2E} \left( U \mathbb{M}^2 U^{\dagger} + \mathbb{A} \right) \Psi_{\alpha}$$

$$\Psi_{\alpha} = \begin{pmatrix} \psi_{e} \\ \psi_{\mu} \\ \psi_{\tau} \end{pmatrix} \qquad \mathbb{M}^{2} = \begin{pmatrix} m_{1}^{2} & 0 & 0 \\ 0 & m_{2}^{2} & 0 \\ 0 & 0 & m_{3}^{2} \end{pmatrix} \qquad \mathbb{A} = \begin{pmatrix} A_{CC} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$A_{\rm CC} = 2EV_{\rm CC} = 2\sqrt{2}EG_{\rm F}N_{\rm e}$$

 $\underset{\substack{\text{matrix}\\\text{in vacuum}}}{\text{matrix}} \mathbb{M}_{\text{VAC}}^2 = U \mathbb{M}^2 U^{\dagger} \xrightarrow{\text{matter}} U \mathbb{M}^2 U^{\dagger} + 2 E \mathbb{V} = \mathbb{M}_{\text{MAT}}^2$   $\underset{\substack{\text{potential due to coherent}\\\text{forward elastic scattering}}}{\text{matrix}}$ 

### **Two-Neutrino Mixing**

 $u_e 
ightarrow 
u_\mu$  transitions with  $U = \begin{pmatrix} \cos artheta & \sin artheta \\ -\sin artheta & \cos artheta \end{pmatrix}$ 

$$U \mathbb{M}^{2} U^{\dagger} = \begin{pmatrix} \cos^{2}\vartheta m_{1}^{2} + \sin^{2}\vartheta m_{2}^{2} & \cos\vartheta \sin\vartheta (m_{2}^{2} - m_{1}^{2}) \\ \cos\vartheta \sin\vartheta (m_{2}^{2} - m_{1}^{2}) & \sin^{2}\vartheta m_{1}^{2} + \cos^{2}\vartheta m_{2}^{2} \end{pmatrix}$$
$$= \frac{1}{2}\Sigma m^{2} + \frac{1}{2} \begin{pmatrix} -\Delta m^{2} \cos 2\vartheta & \Delta m^{2} \sin 2\vartheta \\ \Delta m^{2} \sin 2\vartheta & \Delta m^{2} \cos 2\vartheta \end{pmatrix}$$

irrelevant common phase

$$\Sigma m^2 \equiv m_1^2 + m_2^2$$
  $\Delta m^2 \equiv m_2^2 - m_1^2$ 

$$i\frac{d}{dx}\begin{pmatrix}\psi_e\\\psi_\mu\end{pmatrix} = \frac{1}{4E}\begin{pmatrix}-\Delta m^2\cos 2\vartheta + 2A_{CC} & \Delta m^2\sin 2\vartheta\\\Delta m^2\sin 2\vartheta & \Delta m^2\cos 2\vartheta\end{pmatrix}\begin{pmatrix}\psi_e\\\psi_\mu\end{pmatrix}$$

initial 
$$u_e \implies \begin{pmatrix} \psi_e(0) \\ \psi_\mu(0) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$egin{aligned} & P_{
u_e o 
u_\mu}(x) = |\psi_\mu(x)|^2 \ & P_{
u_e o 
u_e}(x) = |\psi_e(x)|^2 = 1 - P_{
u_e o 
u_\mu}(x) \end{aligned}$$

## **Constant Matter Density**



#### Effective Mixing Angle in Matter

$$an 2artheta_{\mathsf{M}} = rac{ an 2artheta}{1 - rac{ extsf{A}_{\mathsf{CC}}}{\Delta m^2 \cos 2artheta}}$$

#### Effective Squared-Mass Difference

$$\Delta m_{\mathsf{M}}^2 = \sqrt{\left(\Delta m^2\cos 2artheta - \mathcal{A}_{\mathsf{CC}}
ight)^2 + \left(\Delta m^2\sin 2artheta
ight)^2}$$

Resonance 
$$(\vartheta_{\rm M} = \pi/4)$$
  
 $A_{\rm CC}^{\rm R} = \Delta m^2 \cos 2\vartheta \implies N_e^{\rm R} = \frac{\Delta m^2 \cos 2\vartheta}{2\sqrt{2}EG_{\rm F}}$ 

$$i\frac{d}{dx}\begin{pmatrix}\psi_{1}\\\psi_{2}\end{pmatrix} = \frac{1}{4E}\begin{pmatrix}-\Delta m_{M}^{2} & 0\\ 0 & \Delta m_{M}^{2}\end{pmatrix}\begin{pmatrix}\psi_{1}\\\psi_{2}\end{pmatrix}$$
$$\begin{pmatrix}\psi_{e}\\\psi_{\mu}\end{pmatrix} = \begin{pmatrix}\cos\vartheta_{M} & \sin\vartheta_{M}\\-\sin\vartheta_{M} & \cos\vartheta_{M}\end{pmatrix}\begin{pmatrix}\psi_{1}\\\psi_{2}\end{pmatrix} \Rightarrow \begin{pmatrix}\psi_{1}\\\psi_{2}\end{pmatrix} = \begin{pmatrix}\cos\vartheta_{M} & -\sin\vartheta_{M}\\\sin\vartheta_{M} & \cos\vartheta_{M}\end{pmatrix}\begin{pmatrix}\psi_{e}\\\psi_{\mu}\end{pmatrix}$$
$$\nu_{e} \rightarrow \nu_{\mu} \implies \begin{pmatrix}\psi_{e}(0)\\\psi_{\mu}(0)\end{pmatrix} = \begin{pmatrix}1\\0\end{pmatrix} \implies \begin{pmatrix}\psi_{1}(0)\\\psi_{2}(0)\end{pmatrix}\begin{pmatrix}\cos\vartheta_{M}\\\sin\vartheta_{M}\end{pmatrix}$$
$$\psi_{1}(x) = \cos\vartheta_{M}\exp\left(i\frac{\Delta m_{M}^{2}x}{4E}\right)$$
$$\psi_{2}(x) = \sin\vartheta_{M}\exp\left(-i\frac{\Delta m_{M}^{2}x}{4E}\right)$$

 $P_{
u_e 
ightarrow 
u_\mu}(x) = |\psi_\mu(x)|^2 = |-\sin \vartheta_{\mathsf{M}} \psi_1(x) + \cos \vartheta_{\mathsf{M}} \psi_2(x)|^2$ 

$$P_{\nu_e o 
u_\mu}(x) = \sin^2 2 \vartheta_{\mathsf{M}} \sin^2 \left( \frac{\Delta m_{\mathsf{M}}^2 x}{4E} \right)$$

## MSW Effect (Resonant Transitions in Matter)



$$\begin{pmatrix} \psi_{e} \\ \psi_{\mu} \end{pmatrix} = \begin{pmatrix} \cos\vartheta_{M} & \sin\vartheta_{M} \\ -\sin\vartheta_{M} & \cos\vartheta_{M} \end{pmatrix} \begin{pmatrix} \psi_{1} \\ \psi_{2} \end{pmatrix}$$

$$i \frac{d}{dx} \begin{pmatrix} \psi_{1} \\ \psi_{2} \end{pmatrix} = \begin{bmatrix} \frac{A_{CC}}{4E} + \frac{1}{4E} \begin{pmatrix} -\Delta m_{M}^{2} & 0 \\ 0 & \Delta m_{M}^{2} \end{pmatrix} + \begin{pmatrix} 0 & -i\frac{d\vartheta_{M}}{dx} \\ i\frac{d\vartheta_{M}}{dx} & 0 \end{pmatrix} \end{bmatrix} \begin{pmatrix} \psi_{1} \\ \psi_{2} \end{pmatrix}$$

$$irrelevant common phase \uparrow maximum near resonance$$

$$\begin{pmatrix} \psi_{1}(0) \\ \psi_{2}(0) \end{pmatrix} = \begin{pmatrix} \cos\vartheta_{M}^{0} & -\sin\vartheta_{M}^{0} \\ \sin\vartheta_{M}^{0} & \cos\vartheta_{M}^{0} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \cos\vartheta_{M}^{0} \\ \sin\vartheta_{M}^{0} \end{pmatrix}$$

$$\psi_{1}(x) \simeq \begin{bmatrix} \cos\vartheta_{M}^{0} \exp\left(i\int_{0}^{x_{R}} \frac{\Delta m_{M}^{2}(x')}{4E} dx'\right) A_{11}^{R} + \sin\vartheta_{M}^{0} \exp\left(-i\int_{0}^{x_{R}} \frac{\Delta m_{M}^{2}(x')}{4E} dx'\right) A_{21}^{R} \end{bmatrix}$$

$$\times \exp\left(i\int_{x_{R}}^{x} \frac{\Delta m_{M}^{2}(x')}{4E} dx'\right) A_{12}^{R} + \sin\vartheta_{M}^{0} \exp\left(-i\int_{0}^{x_{R}} \frac{\Delta m_{M}^{2}(x')}{4E} dx'\right) A_{22}^{R} \end{bmatrix}$$

$$\times \exp\left(-i\int_{x_{R}}^{x} \frac{\Delta m_{M}^{2}(x')}{4E} dx'\right) A_{12}^{R} + \sin\vartheta_{M}^{0} \exp\left(-i\int_{0}^{x_{R}} \frac{\Delta m_{M}^{2}(x')}{4E} dx'\right) A_{22}^{R} \end{bmatrix}$$

#### **Averaged Survival Probability**

$$\psi_e(x) = \cos \vartheta_{\mathsf{M}}^{\times} \psi_1(x) + \sin \vartheta_{\mathsf{M}}^{\times} \psi_2(x)$$

neglect interference (averaged over energy spectrum)

$$\begin{split} \overline{P}_{\nu_e \to \nu_e}(x) &= |\langle \psi_e(x) \rangle|^2 = \cos^2 \vartheta_{\mathsf{M}}^{\mathsf{x}} \cos^2 \vartheta_{\mathsf{M}}^0 \, |\mathcal{A}_{11}^{\mathsf{R}}|^2 + \cos^2 \vartheta_{\mathsf{M}}^{\mathsf{x}} \sin^2 \vartheta_{\mathsf{M}}^0 \, |\mathcal{A}_{21}^{\mathsf{R}}|^2 \\ &+ \sin^2 \vartheta_{\mathsf{M}}^{\mathsf{x}} \cos^2 \vartheta_{\mathsf{M}}^0 \, |\mathcal{A}_{12}^{\mathsf{R}}|^2 + \sin^2 \vartheta_{\mathsf{M}}^{\mathsf{x}} \sin^2 \vartheta_{\mathsf{M}}^0 \, |\mathcal{A}_{22}^{\mathsf{R}}|^2 \end{split}$$

conservation of probability (unitarity)

 $|\mathcal{A}_{12}^{\mathsf{R}}|^2 = |\mathcal{A}_{21}^{\mathsf{R}}|^2 = P_{\mathsf{c}}$   $|\mathcal{A}_{11}^{\mathsf{R}}|^2 = |\mathcal{A}_{22}^{\mathsf{R}}|^2 = 1 - P_{\mathsf{c}}$ 

 $P_{\rm c} \equiv$  crossing probability

$$\overline{P}_{\nu_e \to \nu_e}(x) = \frac{1}{2} + \left(\frac{1}{2} - P_{\mathsf{c}}\right) \cos 2\vartheta_{\mathsf{M}}^{\mathsf{o}} \cos 2\vartheta_{\mathsf{M}}^{\mathsf{x}}$$

[Parke, PRL 57 (1986) 1275]

# **Crossing Probability**

39 (1989) 1930]

$$P_{\rm c} = \frac{\exp\left(-\frac{\pi}{2}\gamma F\right) - \exp\left(-\frac{\pi}{2}\gamma \frac{F}{\sin^2\vartheta}\right)}{1 - \exp\left(-\frac{\pi}{2}\gamma \frac{F}{\sin^2\vartheta}\right)} \qquad \text{[Kuo, Pantaleone, PRD]}$$

adiabaticity parameter: 
$$\gamma = \frac{\Delta m_{\rm M}^2/2E}{2|d\vartheta_{\rm M}/dx|}\Big|_{\rm R} = \frac{\Delta m^2 \sin^2 2\vartheta}{2E \cos 2\vartheta \left|\frac{d \ln A_{\rm CC}}{dx}\right|_{\rm R}}$$

 $A \propto x$ F = 1 (Landau-Zener approximation) [Parke, PRL 57 (1986) 1275] $A \propto 1/x$  $F = (1 - \tan^2 \vartheta)^2 / (1 + \tan^2 \vartheta)$  [Kuo, Pantaleone, PRD 39 (1989) 1930]

 $A \propto \exp(-x) \qquad F = 1 - \tan^2 \vartheta \qquad \text{[Pizzochero, PRD 36 (1987) 2293]}$ 

Review: [Kuo, Pantaleone, RMP 61 (1989) 937]

#### Solar Neutrinos



#### **Electron Neutrino Regeneration in the Earth**



[Mikheev, Smirnov, Sov. Phys. Usp. 30 (1987) 759], [Baltz, Weneser, PRD 35 (1987) 528]



[Giunti, Kim, Monteno, NP B 521 (1998) 3]

 $P_{\nu_2 \rightarrow \nu_e}^{\text{earth}}$  is usually calculated numerically approximating the Earth density profile with a step function.

Effective massive neutrinos propagate as plane waves in regions of constant density.

Wave functions of flavor neutrinos are joined at the boundaries of steps.

## Phenomenology of Solar Neutrinos

LMA (Large Mixing Angle): LOW (LOW  $\Delta m^2$ ): SMA (Small Mixing Angle): QVO (Quasi-Vacuum Oscillations): VAC (VACuum oscillations):



[de Gouvea, Friedland, Murayama, PLB 490 (2000) 125]



[Bahcall, Krastev, Smirnov, JHEP 05 (2001) 015]





#### In Neutrino Oscillations Dirac = Majorana

Evolution of Amplitudes:

$$i \frac{\mathrm{d}\psi_{lpha}}{\mathrm{d}x} = \frac{1}{2E} \sum_{eta} \left( U M^2 U^{\dagger} + 2EV \right)_{lphaeta} \psi_{eta}$$

difference:  $\begin{cases} \text{Dirac:} & U^{(D)} \\ \text{Majorana:} & U^{(M)} = U^{(D)}D(\lambda) \end{cases}$ 

$$D(\lambda) = \begin{pmatrix} 1 & 0 & \cdots & 0 \\ 0 & e^{i\lambda_{21}} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & e^{i\lambda_{N1}} \end{pmatrix} \quad \Rightarrow \quad D^{\dagger} = D^{-1}$$

$$M^{2} = \begin{pmatrix} m_{1}^{2} & 0 & \cdots & 0 \\ 0 & m_{2}^{2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & m_{N}^{2} \end{pmatrix} \implies DM^{2} = M^{2}D \implies DM^{2}D^{\dagger} = M^{2}$$

 $U^{(M)}M^{2}(U^{(M)})^{\dagger} = U^{(D)}DM^{2}D^{\dagger}(U^{(D)})^{\dagger} = U^{(D)}M^{2}(U^{(D)})^{\dagger}$