

# Neutrino Physics

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Neutrino Unbound: <http://www.nu.to.infn.it>

ITEP Winter School of Physics

14-21 February 2012, Moscow, Russia

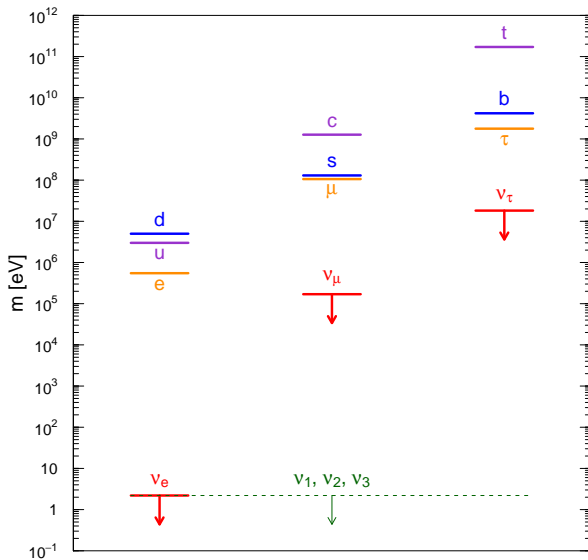
- ▶ **Part I: Theory of Neutrino Masses and Mixing**
- ▶ **Part II: Neutrino Oscillations**
- ▶ **Part III: Phenomenology**

# Part I

## Theory of Neutrino Masses and Mixing

- Dirac Masses and Mixing
- Dirac-Majorana Mass Term
- See-Saw Mechanism
- Effective Majorana Three-Neutrino Mixing

# Fermion Mass Spectrum



# Dirac Masses and Mixing

- ▶ Dirac Equation:  $(i\partial - m)\nu(x) = 0$  ( $\partial \equiv \gamma^\mu \partial_\mu$ )
- ▶ Dirac Lagrangian:  $\mathcal{L}(x) = \bar{\nu}(x)(i\partial - m)\nu(x)$
- ▶ Chiral decomposition:  $\nu_L \equiv P_L \nu$ ,  $\nu_R \equiv P_R \nu$ ,  $\nu = \nu_L + \nu_R$

$$P_L \equiv \frac{1 - \gamma^5}{2}, \quad P_R \equiv \frac{1 + \gamma^5}{2}$$

$$P_L^2 = P_L, \quad P_R^2 = P_R, \quad P_L + P_R = 1, \quad P_L P_R = P_R P_L = 0$$

$$\mathcal{L} = \bar{\nu}_L i\partial \nu_L + \bar{\nu}_R i\partial \nu_R - m(\bar{\nu}_L \nu_R + \bar{\nu}_R \nu_L)$$

- ▶ In SM only  $\nu_L \implies$  no Dirac mass
- ▶ Oscillation experiments have shown that neutrinos are massive
- ▶ Simplest extension of the SM: add  $\nu_R$

# Extended Standard Model

$(\alpha = e, \mu, \tau)$		$I$	$I_3$	$Y$	$Q$
lepton doublets	$L_{\alpha L} \equiv \begin{pmatrix} \nu_{\alpha L} \\ \alpha_L \end{pmatrix}$	$1/2$	$1/2$ $-1/2$	$-1$	$0$ $-1$
charged-lepton singlets	$\alpha_R$	$0$	$0$	$-2$	$-1$
neutrino singlets	$\nu_{\alpha R}$	$0$	$0$	$0$	$0$
Higgs doublet	$\Phi \equiv \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}$	$1/2$	$1/2$ $-1/2$	$+1$	$1$ $0$

## Lepton-Higgs Yukawa Lagrangian

$$\mathcal{L}_{H,L} = - \sum_{\alpha, \beta = e, \mu, \tau} \left[ Y_{\alpha\beta}^{\ell} \overline{L}_{\alpha L} \Phi \beta_R + Y_{\alpha\beta}^{\nu} \overline{L}_{\alpha L} \tilde{\Phi} \nu_{\beta R} \right] + \text{H.c.}$$

## Electroweak Symmetry Breaking

$$\Phi(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H(x) \end{pmatrix} \quad \tilde{\Phi} = i\sigma_2 \Phi^* = \frac{1}{\sqrt{2}} \begin{pmatrix} v + H(x) \\ 0 \end{pmatrix}$$

## Dirac Mass Term

$$\mathcal{L}_D = - \sum_{\alpha, \beta = e, \mu, \tau} M_{\alpha\beta}^D \overline{\nu_{\alpha L}} \nu_{\beta R} + \text{H.c.}$$

$$M_{\alpha\beta}^D = \frac{v}{\sqrt{2}} Y_{\alpha\beta}$$

$$v = (\sqrt{2} G_F)^{-1/2} = 246 \text{ GeV}$$

complex  $3 \times 3$  Dirac mass matrix

$$\mathcal{L}_D = - \begin{pmatrix} \overline{\nu_{eL}} & \overline{\nu_{\mu L}} & \overline{\nu_{\tau L}} \end{pmatrix} \begin{pmatrix} m_{ee} & m_{e\mu} & m_{e\tau} \\ m_{\mu e} & m_{\mu\mu} & m_{\mu\tau} \\ m_{\tau e} & m_{\tau\mu} & m_{\tau\tau} \end{pmatrix} \begin{pmatrix} \nu_{eR} \\ \nu_{\mu R} \\ \nu_{\tau R} \end{pmatrix} + \text{H.c.}$$

$L_e, L_\mu, L_\tau$  are not conserved

$L$  is conserved:  $L(\nu_{\alpha R}) = L(\nu_{\beta L}) \Rightarrow |\Delta L| = 0$

## Mixing

$$N_L^T = (\nu_{eL}, \nu_{\mu L}, \nu_{\tau L}) \quad N_R^T = (\nu_{eR}, \nu_{\mu R}, \nu_{\tau R})$$

$$\mathcal{L}_D = -\overline{N}_L M^D N_R + \text{H.c.}$$

Diagonalization: unitary transformation

$$N_L = U_L n_L$$

$$N_R = U_R n_R$$

$$n_L^T = (\nu_{1L}, \nu_{2L}, \nu_{3L})$$

$$n_R^T = (\nu_{1R}, \nu_{2R}, \nu_{3R})$$

$$U_L^\dagger M^D U_R = M$$

$$M_{kj}^D = m_k \delta_{kj}$$

$$\mathcal{L}_D = -\sum_k m_k \overline{\nu_{kL}} \nu_{kR} + \text{H.c.}$$

## Mixing Matrix

$$\blacktriangleright U = U_L = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}$$

- ▶ Unitary  $N \times N$  matrix depends on  $N^2$  independent real parameters

$$N = 3 \quad \Rightarrow \quad \begin{array}{l} \frac{N(N-1)}{2} = 3 \quad \text{Mixing Angles} \\ \frac{N(N+1)}{2} = 6 \quad \text{Phases} \end{array}$$

- ▶ Not all phases are physical observables
- ▶ Only physical effect of mixing matrix occurs through its presence in the Leptonic Weak Charged Current



▶ Weak Charged Current: 
$$j_W^\rho = 2 \sum_{k=1}^3 \sum_{\alpha=e,\mu,\tau} \overline{\nu_{kL}} U_{\alpha k}^* \gamma^\rho l_{\alpha L}$$

- ▶ Apart from the Weak Charged Current, the Lagrangian is invariant under the global phase transformations (6 arbitrary phases)

$$\nu_k \rightarrow e^{i\varphi_k} \nu_k \quad (k = 1, 2, 3), \quad l_\alpha \rightarrow e^{i\varphi_\alpha} l_\alpha \quad (\alpha = e, \mu, \tau)$$

- ▶ Performing this transformation, the Charged Current becomes

$$j_W^\rho = 2 \sum_{k=1}^3 \sum_{\alpha=e,\mu,\tau} \overline{\nu_{kL}} e^{-i\varphi_k} U_{\alpha k}^* e^{i\varphi_\alpha} \gamma^\rho l_{\alpha L}$$

$$j_W^\rho = 2 \underbrace{e^{-i(\varphi_1 - \varphi_e)}}_1 \sum_{k=1}^3 \sum_{\alpha=e,\mu,\tau} \overline{\nu_{kL}} \underbrace{e^{-i(\varphi_k - \varphi_1)}}_2 U_{\alpha k}^* \underbrace{e^{i(\varphi_\alpha - \varphi_e)}}_2 \gamma^\rho l_{\alpha L}$$

- ▶ There are 5 arbitrary phases of the fields that can be chosen to eliminate 5 of the 6 phases of the mixing matrix
- ▶ 5 and not 6 phases of the mixing matrix can be eliminated because a common rephasing of all the fields leaves the Charged Current invariant  $\iff$  conservation of Total Lepton Number.

- ▶ The mixing matrix contains 1 Physical Phase.
- ▶ It is convenient to express the  $3 \times 3$  unitary mixing matrix only in terms of the four physical parameters:

3 Mixing Angles and 1 Phase

# Standard Parameterization of Mixing Matrix

$$\begin{pmatrix} \nu_{eL} \\ \nu_{\mu L} \\ \nu_{\tau L} \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_{1L} \\ \nu_{2L} \\ \nu_{3L} \end{pmatrix}$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{pmatrix}$$

$$c_{ab} \equiv \cos \vartheta_{ab} \quad s_{ab} \equiv \sin \vartheta_{ab} \quad 0 \leq \vartheta_{ab} \leq \frac{\pi}{2} \quad 0 \leq \delta_{13} \leq 2\pi$$

3 Mixing Angles  $\vartheta_{12}$ ,  $\vartheta_{23}$ ,  $\vartheta_{13}$  and 1 Phase  $\delta_{13}$

## CP Violation

- ▶ Parameterization invariants:  $|U_{\alpha k}|^2 = U_{\alpha k} U_{\alpha k}^*$ ,  $U_{\alpha k} U_{\alpha j}^* U_{\beta k}^* U_{\beta j}$

$$\Im[U_{\alpha k} U_{\alpha j}^* U_{\beta k}^* U_{\beta j}] = \pm J$$

- ▶ Jarlskog invariant:  $J = \Im[U_{e2} U_{e3}^* U_{\mu 2}^* U_{\mu 3}]$

- ▶ In standard parameterization:  $J = c_{12} s_{12} c_{23} s_{23} c_{13}^2 s_{13} \sin \delta_{13}$

- ▶ Jarlskog invariant is useful for quantifying CP violation in a parameterization-independent way.

- ▶ All measurable CP-violation effects depend on  $J$ .

# Dirac-Majorana Mass Term

- ▶ Dirac mass term

$$\mathcal{L}_D = - \sum_{\alpha, \beta = e, \mu, \tau} M_{\alpha\beta}^D \overline{\nu_{\alpha L}} \nu_{\beta R} + \text{H.c.}$$

- ▶ Singlet Majorana mass term allowed by  $SU(2)_L \times U(1)_Y$

$$\mathcal{L}_R = \frac{1}{2} \sum_{\alpha, \beta = e, \mu, \tau} \nu_{\alpha R}^T C^\dagger M_{\alpha\beta}^R \nu_{\beta R} + \text{H.c.}$$

complex symmetric  $3 \times 3$  Majorana mass matrix

- ▶ Forbidden Triplet Majorana mass term ( $I_3 = 1$  and  $Y = -2$ )

$$\mathcal{L}_L = \frac{1}{2} \sum_{\alpha, \beta = e, \mu, \tau} \nu_{\alpha L}^T C^\dagger M_{\alpha\beta}^L \nu_{\beta L} + \text{H.c.}$$

- ▶ Dirac-Majorana mass term

$$\mathcal{L}_{D+M} = \mathcal{L}_D + \mathcal{L}_R$$

▶  $N_L^T \equiv (\nu_{eL}, \nu_{\mu L}, \nu_{\tau L}, \nu_{eR}^C, \nu_{\mu R}^C, \nu_{\tau R}^C)$        $\nu_{\alpha R}^C = C \overline{\nu_{\alpha R}}^T,$

▶ Dirac-Majorana mass term

$$\mathcal{L}_{D+M} = \frac{1}{2} N_L^T C^\dagger M^{D+M} N_L + \text{H.c.}$$

▶ Complex symmetric  $6 \times 6$  Dirac-Majorana mass matrix

$$M^{D+M} = \begin{pmatrix} 0 & M^{D^T} \\ M^D & M^R \end{pmatrix}$$

▶ Diagonalization: unitary transformation

$$N_L = V n_L \qquad n_L^T = (\nu_{1L}, \dots, \nu_{6L})$$

$$V^T M^{D+M} V = M \qquad M_{kj} = m_k \delta_{kj}$$

- ▶ Dirac-Majorana mass term

$$n_L^T = (\nu_{1L}, \dots, \nu_{6L})$$

$$\begin{aligned} \mathcal{L}_{D+M} &= \frac{1}{2} n_L^T C^\dagger M n_L + \text{H.c.} \\ &= \frac{1}{2} \sum_{k=1}^6 m_k \nu_{kL}^T C^\dagger \nu_{kL} + \text{H.c.} \\ &= \frac{1}{2} \sum_{k=1}^6 m_k \nu_k^T C^\dagger \nu_k \end{aligned}$$

- ▶ Massive Majorana neutrino fields

$$\nu_k = \nu_{kL} + C \overline{\nu_{kL}}^T \qquad \nu_k = C \overline{\nu_k}^T = \nu_k^C$$

- ▶ A Majorana field has half the degrees of freedom of a Dirac field  
neutrino = antineutrino

- ▶ Total Lepton Number is not conserved:

$$\Delta L = \pm 2$$

# See-Saw Mechanism

[Minkowski, PLB 67 (1977) 42]

[Yanagida (1979); Gell-Mann, Ramond, Slansky (1979); Mohapatra, Senjanovic, PRL 44 (1980) 912]

- ▶ Dirac-Majorana mass matrix:  $M^{D+M} = \begin{pmatrix} 0 & M^{D^T} \\ M^D & M^R \end{pmatrix}$
- ▶  $M^D \lesssim 100 \text{ GeV}$  generated by electroweak symmetry breaking
- ▶  $M^R$  can be arbitrarily large (not protected by SM symmetries): scale of new physics beyond Standard Model

$$M^R \gg M^D$$

- ▶ Approximate diagonalization by blocks

$$W^T M^{D+M} W = \begin{pmatrix} M^\ell & 0 \\ 0 & M^h \end{pmatrix} + O\left[(M^R)^{-1} M^D\right]$$



$$M^\ell \simeq M^{\text{D}T} (M^R)^{-1} M^{\text{D}} \qquad M^h \simeq M^R$$

- ▶ Three-generation generalization of the well-known see-saw formula

$$m_\ell \simeq \frac{m_{\text{D}}^2}{m_R}$$


- ▶ Natural explanation of smallness of light neutrino masses
- ▶ Effective low-energy Majorana three-neutrino mixing

$$\begin{pmatrix} (\nu_{eL}, \nu_{\mu L}, \nu_{\tau L})^T \\ (\nu_{eR}^C, \nu_{\mu R}^C, \nu_{\tau R}^C)^T \end{pmatrix} \simeq \begin{pmatrix} 1 & [(M^R)^{-1} M^{\text{D}}]^\dagger \\ -(M^R)^{-1} M^{\text{D}} & 1 \end{pmatrix} \begin{pmatrix} (\nu_{eL}^\ell, \nu_{\mu L}^\ell, \nu_{\tau L}^\ell)^T \\ (\nu_{eR}^h{}^C, \nu_{\mu R}^h{}^C, \nu_{\tau R}^h{}^C)^T \end{pmatrix}$$

# Effective Majorana Three-Neutrino Mixing

- ▶ Light Majorana mass term

$$N_L^{\ell T} = (\nu_{eL}^{\ell}, \nu_{\mu L}^{\ell}, \nu_{\tau L}^{\ell})$$

$$\mathcal{L}_\ell = \frac{1}{2} N_L^{\ell T} C^\dagger M^\ell N_L^\ell + \text{H.c.}$$

- ▶ Diagonalization: unitary transformation

$$N_L^\ell = U n_L$$

$$n_L^T = (\nu_{1L}, \nu_{2L}, \nu_{3L})$$

$$U^T M^\ell U = M$$

$$M_{kj} = m_k \delta_{kj}$$

- ▶ Massive neutrinos are Majorana!

- ▶ Mixing: 
$$\nu_{\alpha L} \simeq \nu_{\alpha L}^\ell = \sum_{k=1}^3 U_{\alpha k} \nu_{kL} \quad (\alpha = e, \mu, \tau)$$

# Mixing Matrix

▶ Weak Charged Current:  $j_W^\rho \dagger = 2 \sum_{\alpha=e,\mu,\tau} \sum_{k=1}^3 \overline{\ell_{\alpha L}} \gamma^\rho U_{\alpha k} \nu_{kL}$

▶  $U$  depends on 6 phases

▶ Majorana Mass Term  $\mathcal{L}_{\text{mass}}^M = \frac{1}{2} \sum_{k=1}^3 m_k \nu_{kL}^T C^\dagger \nu_{kL} + \text{H.c.}$

is not invariant under global  $U(1)$  transformations

$$\nu_{kL} \rightarrow e^{i\varphi_k} \nu_{kL} \quad (k = 1, 2, 3)$$

▶ Left-handed massive neutrino fields cannot be rephased

▶ Only 3 phases can be eliminated by rephasing the charged-lepton fields on the left of  $U$

▶ Two Majorana phases factorized on the right of mixing matrix:

$$U = U^D D^M \quad D^M = \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_2} & 0 \\ 0 & 0 & e^{i\lambda_3} \end{pmatrix}$$

▶  $U^D$  is analogous to a Dirac mixing matrix, with one Dirac phase

- ▶ Standard parameterization:

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_2} & 0 \\ 0 & 0 & e^{i\lambda_3} \end{pmatrix}$$

- ▶ Jarlskog invariant depends only on Dirac phase:

$$J = c_{12}s_{12}c_{23}s_{23}c_{13}^2s_{13} \sin \delta_{13}$$

# Part II

## Neutrino Oscillations

- Neutrino Oscillations in Vacuum
- Neutrino Oscillations in Matter

# Ultrarelativistic Approximation

Only neutrinos with energy  $\gtrsim 0.1\text{MeV}$  are detectable!

Charged-Current Processes: Threshold

$$\nu + A \rightarrow B + C$$



$$s = 2Em_A + m_A^2 \geq (m_B + m_C)^2$$



$$E_{\text{th}} = \frac{(m_B + m_C)^2}{2m_A} - \frac{m_A}{2}$$

$$\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^- \quad E_{\text{th}} = 0.233 \text{ MeV}$$

$$\nu_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^- \quad E_{\text{th}} = 0.81 \text{ MeV}$$

$$\bar{\nu}_e + p \rightarrow n + e^+ \quad E_{\text{th}} = 1.8 \text{ MeV}$$

$$\nu_\mu + n \rightarrow p + \mu^- \quad E_{\text{th}} = 110 \text{ MeV}$$

$$\nu_\mu + e^- \rightarrow \nu_e + \mu^- \quad E_{\text{th}} \simeq \frac{m_\mu^2}{2m_e} = 10.9 \text{ GeV}$$

Elastic Scattering Processes: Cross Section  $\propto$  Energy

$$\nu + e^- \rightarrow \nu + e^- \quad \sigma(E) \sim \sigma_0 E/m_e \quad \sigma_0 \sim 10^{-44} \text{ cm}^2$$

Background  $\implies E_{\text{th}} \simeq 5 \text{ MeV}$  (SK, SNO),  $0.25 \text{ MeV}$  (Borexino)

Laboratory and Astrophysical Limits  $\implies m_\nu \lesssim 1 \text{ eV}$

## Neutrino Oscillations

- ▶ 1957: Bruno Pontecorvo proposed Neutrino Oscillations in analogy with  $K^0 \leftrightarrow \bar{K}^0$  oscillations (Gell-Mann and Pais, 1955)
- ▶ Flavor Neutrinos:  $\nu_e, \nu_\mu, \nu_\tau$  produced in Weak Interactions
- ▶ Massive Neutrinos:  $\nu_1, \nu_2, \nu_3$  propagate from Source to Detector
- ▶ A Flavor Neutrino is a superposition of Massive Neutrinos

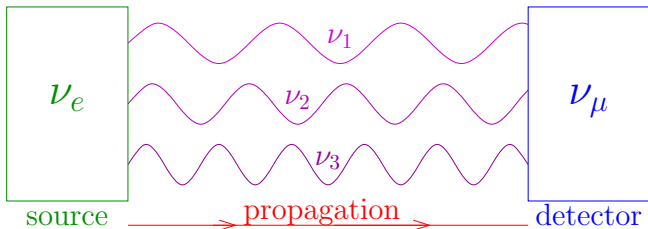
$$|\nu_e\rangle = U_{e1} |\nu_1\rangle + U_{e2} |\nu_2\rangle + U_{e3} |\nu_3\rangle$$

$$|\nu_\mu\rangle = U_{\mu1} |\nu_1\rangle + U_{\mu2} |\nu_2\rangle + U_{\mu3} |\nu_3\rangle$$

$$|\nu_\tau\rangle = U_{\tau1} |\nu_1\rangle + U_{\tau2} |\nu_2\rangle + U_{\tau3} |\nu_3\rangle$$

- ▶  $U$  is the  $3 \times 3$  Neutrino Mixing Matrix

$$|\nu(t=0)\rangle = |\nu_e\rangle = U_{e1} |\nu_1\rangle + U_{e2} |\nu_2\rangle + U_{e3} |\nu_3\rangle$$



$$|\nu(t > 0)\rangle = U_{e1} e^{-iE_1 t} |\nu_1\rangle + U_{e2} e^{-iE_2 t} |\nu_2\rangle + U_{e3} e^{-iE_3 t} |\nu_3\rangle \neq |\nu_e\rangle$$

at the detector there is a **probability**  $> 0$  to see the neutrino as a  $\nu_\mu$

Neutrino Oscillations are Flavor Transitions

$$\Delta L = 0$$

$$\nu_e \rightarrow \nu_\mu \quad \nu_e \rightarrow \nu_\tau \quad \nu_\mu \rightarrow \nu_e \quad \nu_\mu \rightarrow \nu_\tau$$

$$\bar{\nu}_e \rightarrow \bar{\nu}_\mu \quad \bar{\nu}_e \rightarrow \bar{\nu}_\tau \quad \bar{\nu}_\mu \rightarrow \bar{\nu}_e \quad \bar{\nu}_\mu \rightarrow \bar{\nu}_\tau$$



# Neutrino Oscillations in Vacuum

[Eliezer, Swift, NPB 105 (1976) 45] [Fritzsch, Minkowski, PLB 62 (1976) 72] [Bilenky, Pontecorvo, SJNP 24 (1976) 316]

$$\mathcal{L}_{CC} \sim W_\rho (\overline{\nu_{eL}} \gamma^\rho e_L + \overline{\nu_{\mu L}} \gamma^\rho \mu_L + \overline{\nu_{\tau L}} \gamma^\rho \tau_L)$$

Fields  $\nu_\alpha = \sum_k U_{\alpha k} \nu_k \implies |\nu_\alpha\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle$  States

initial flavor:  $\alpha = e \text{ or } \mu \text{ or } \tau$

$$|\nu_k(t, x)\rangle = e^{-iE_k t + ip_k x} |\nu_k\rangle \implies |\nu_\alpha(t, x)\rangle = \sum_k U_{\alpha k}^* e^{-iE_k t + ip_k x} |\nu_k\rangle$$

$$|\nu_k\rangle = \sum_{\beta=e,\mu,\tau} U_{\beta k} |\nu_\beta\rangle \implies |\nu_\alpha(t, x)\rangle = \sum_{\beta=e,\mu,\tau} \underbrace{\left( \sum_k U_{\alpha k}^* e^{-iE_k t + ip_k x} U_{\beta k} \right)}_{\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(t, x)} |\nu_\beta\rangle$$

$$\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(0, 0) = \sum_k U_{\alpha k}^* U_{\beta k} = \delta_{\alpha\beta}$$

$$\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(t > 0, x > 0) \neq \delta_{\alpha\beta}$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(t, x) = |\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(t, x)|^2 = \left| \sum_k U_{\alpha k}^* e^{-iE_k t + i p_k x} U_{\beta k} \right|^2$$

ultra-relativistic neutrinos  $\implies t \simeq x = L$  source-detector distance

$$E_k t - p_k x \simeq (E_k - p_k) L = \frac{E_k^2 - p_k^2}{E_k + p_k} L = \frac{m_k^2}{E_k + p_k} L \simeq \frac{m_k^2}{2E} L$$

$$\begin{aligned} P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) &= \left| \sum_k U_{\alpha k}^* e^{-im_k^2 L/2E} U_{\beta k} \right|^2 \\ &= \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right) \end{aligned}$$

$$\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$$

# Neutrinos and Antineutrinos

Right-handed antineutrinos are described by CP-conjugated fields:

$$\nu^{\text{CP}} = \gamma^0 C \bar{\nu}^T = -C \nu^*$$

C  $\implies$  Particle  $\iff$  Antiparticle

P  $\implies$  Left-Handed  $\iff$  Right-Handed

Fields:  $\nu_{\alpha L} = \sum_k U_{\alpha k} \nu_{kL} \xrightarrow{\text{CP}} \nu_{\alpha L}^{\text{CP}} = \sum_k U_{\alpha k}^* \nu_{kL}^{\text{CP}}$

States:  $|\nu_{\alpha}\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle \xrightarrow{\text{CP}} |\bar{\nu}_{\alpha}\rangle = \sum_k U_{\alpha k} |\bar{\nu}_k\rangle$

NEUTRINOS     $U \iff U^*$     ANTINEUTRINOS

$$P_{\nu_{\alpha} \rightarrow \nu_{\beta}}(L, E) = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

$$P_{\bar{\nu}_{\alpha} \rightarrow \bar{\nu}_{\beta}}(L, E) = \sum_{k,j} U_{\alpha k} U_{\beta k}^* U_{\alpha j}^* U_{\beta j} \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

# CPT Symmetry

$$P_{\nu_\alpha \rightarrow \nu_\beta} \xrightarrow{\text{CPT}} P_{\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha}$$

$$\text{CPT Asymmetries: } A_{\alpha\beta}^{\text{CPT}} = P_{\nu_\alpha \rightarrow \nu_\beta} - P_{\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha}$$

$$\text{Local Quantum Field Theory} \implies A_{\alpha\beta}^{\text{CPT}} = 0 \quad \text{CPT Symmetry}$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

is invariant under CPT:  $U \Leftrightarrow U^* \quad \alpha \Leftrightarrow \beta$

$$P_{\nu_\alpha \rightarrow \nu_\beta} = P_{\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha}$$

$$P_{\nu_\alpha \rightarrow \nu_\alpha} = P_{\bar{\nu}_\alpha \rightarrow \bar{\nu}_\alpha}$$

(solar  $\nu_e$ , reactor  $\bar{\nu}_e$ , accelerator  $\nu_\mu$ )

# CP Symmetry

$$P_{\nu_\alpha \rightarrow \nu_\beta} \xrightarrow{\text{CP}} P_{\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta}$$

CP Asymmetries:  $A_{\alpha\beta}^{\text{CP}} = P_{\nu_\alpha \rightarrow \nu_\beta} - P_{\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta}$   $\text{CPT} \Rightarrow A_{\alpha\beta}^{\text{CP}} = -A_{\beta\alpha}^{\text{CP}}$

$$A_{\alpha\beta}^{\text{CP}}(L, E) = 4 \sum_{k>j} \text{Im} [U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^*] \sin \left( \frac{\Delta m_{kj}^2 L}{2E} \right)$$

Jarlskog rephasing invariant:  $\text{Im} [U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^*] = \pm J$

$$J = c_{12} s_{12} c_{23} s_{23} c_{13}^2 s_{13} \sin \delta_{13}$$

violation of CP in neutrino oscillations is proportional to

$$|U_{e3}| = \sin \vartheta_{13} \quad \text{and} \quad \sin \delta_{13}$$

# T Symmetry

$$P_{\nu_\alpha \rightarrow \nu_\beta} \xrightarrow{T} P_{\nu_\beta \rightarrow \nu_\alpha}$$

$$T \text{ Asymmetries: } A_{\alpha\beta}^T = P_{\nu_\alpha \rightarrow \nu_\beta} - P_{\nu_\beta \rightarrow \nu_\alpha}$$

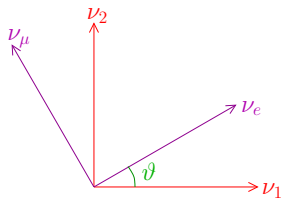
$$\begin{aligned} \text{CPT} \implies 0 &= A_{\alpha\beta}^{\text{CPT}} = P_{\nu_\alpha \rightarrow \nu_\beta} - P_{\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha} \\ &= P_{\nu_\alpha \rightarrow \nu_\beta} - P_{\nu_\beta \rightarrow \nu_\alpha} + P_{\nu_\beta \rightarrow \nu_\alpha} - P_{\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha} \\ &= A_{\alpha\beta}^T + A_{\beta\alpha}^{\text{CP}} = A_{\alpha\beta}^T - A_{\alpha\beta}^{\text{CP}} \implies A_{\alpha\beta}^T = A_{\alpha\beta}^{\text{CP}} \end{aligned}$$

$$A_{\alpha\beta}^T(L, E) = 4 \sum_{k>j} \text{Im} [U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^*] \sin \left( \frac{\Delta m_{kj}^2 L}{2E} \right)$$

$$\text{Jarlskog rephasing invariant: } \text{Im} [U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^*] = \pm J$$

# Two-Neutrino Mixing and Oscillations

$$|\nu_\alpha\rangle = \sum_{k=1}^2 U_{\alpha k} |\nu_k\rangle \quad (\alpha = e, \mu)$$



$$U = \begin{pmatrix} \cos \vartheta & \sin \vartheta \\ -\sin \vartheta & \cos \vartheta \end{pmatrix}$$

$$\begin{aligned} |\nu_e\rangle &= \cos \vartheta |\nu_1\rangle + \sin \vartheta |\nu_2\rangle \\ |\nu_\mu\rangle &= -\sin \vartheta |\nu_1\rangle + \cos \vartheta |\nu_2\rangle \end{aligned}$$

$$\Delta m^2 \equiv \Delta m_{21}^2 \equiv m_2^2 - m_1^2$$

Transition Probability:  $P_{\nu_e \rightarrow \nu_\mu} = P_{\nu_\mu \rightarrow \nu_e} = \sin^2 2\vartheta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$

Survival Probabilities:  $P_{\nu_e \rightarrow \nu_e} = P_{\nu_\mu \rightarrow \nu_\mu} = 1 - P_{\nu_e \rightarrow \nu_\mu}$

## two-neutrino mixing transition probability

$$\alpha \neq \beta$$

$$\alpha, \beta = e, \mu, \tau$$

$$\begin{aligned} P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) &= \sin^2 2\vartheta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right) \\ &= \sin^2 2\vartheta \sin^2 \left( 1.27 \frac{\Delta m^2 [\text{eV}^2] L [\text{m}]}{E [\text{MeV}]} \right) \\ &= \sin^2 2\vartheta \sin^2 \left( 1.27 \frac{\Delta m^2 [\text{eV}^2] L [\text{km}]}{E [\text{GeV}]} \right) \end{aligned}$$

## oscillation length

$$L^{\text{osc}} = \frac{4\pi E}{\Delta m^2} = 2.47 \frac{E [\text{MeV}]}{\Delta m^2 [\text{eV}^2]} \text{ m} = 2.47 \frac{E [\text{GeV}]}{\Delta m^2 [\text{eV}^2]} \text{ km}$$



# Types of Experiments

Two-Neutrino  
Mixing

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) = \sin^2 2\vartheta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$

observable if  
 $\frac{\Delta m^2 L}{4E} \gtrsim 1$

SBL

$$L/E \lesssim 10 \text{ eV}^{-2} \Rightarrow \Delta m^2 \gtrsim 0.1 \text{ eV}^2$$

Reactor:  $L \sim 10 \text{ m}$ ,  $E \sim 1 \text{ MeV}$

Accelerator:  $L \sim 1 \text{ km}$ ,  $E \gtrsim 0.1 \text{ GeV}$

ATM & LBL

Reactor:  $L \sim 1 \text{ km}$ ,  $E \sim 1 \text{ MeV}$  CHOOZ, PALO VERDE  
Accelerator:  $L \sim 10^3 \text{ km}$ ,  $E \gtrsim 1 \text{ GeV}$  K2K, MINOS, CNGS

↓  
Atmospheric:  $L \sim 10^2 - 10^4 \text{ km}$ ,  $E \sim 0.1 - 10^2 \text{ GeV}$   
 $\Delta m^2 \gtrsim 10^{-4} \text{ eV}^2$  Kamiokande, IMB, Super-Kamiokande, Soudan, MACRO, MINOS

SUN

$L \sim 10^8 \text{ km}$ ,  $E \sim 0.1 - 10 \text{ MeV}$

$\frac{L}{E} \sim 10^{11} \text{ eV}^{-2} \Rightarrow \Delta m^2 \gtrsim 10^{-11} \text{ eV}^2$  Homestake, Kamiokande, GALLEX, SAGE,  
Super-Kamiokande, GNO, SNO, Borexino

Matter Effect (MSW)  $\Rightarrow 10^{-4} \lesssim \sin^2 2\vartheta \lesssim 1$ ,  $10^{-8} \text{ eV}^2 \lesssim \Delta m^2 \lesssim 10^{-4} \text{ eV}^2$

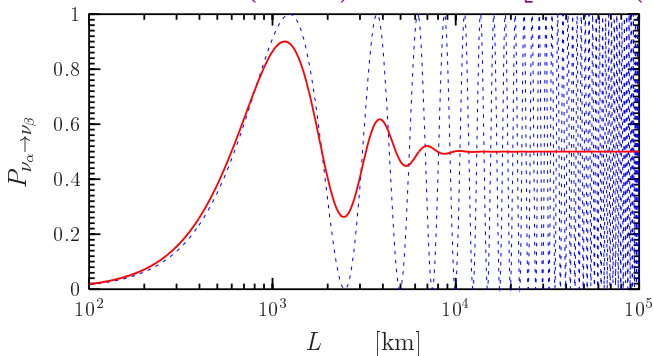
VLBL

$$L/E \lesssim 10^5 \text{ eV}^{-2} \Rightarrow \Delta m^2 \gtrsim 10^{-5} \text{ eV}^2$$

Reactor:  $L \sim 10^2 \text{ km}$ ,  $E \sim 1 \text{ MeV}$   
KamLAND

# Average over Energy Resolution of the Detector

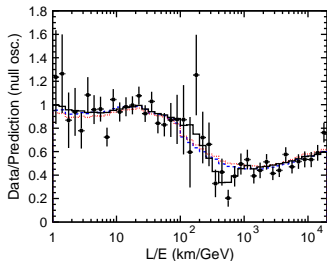
$$P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) = \sin^2 2\vartheta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right) = \frac{1}{2} \sin^2 2\vartheta \left[ 1 - \cos \left( \frac{\Delta m^2 L}{2E} \right) \right]$$



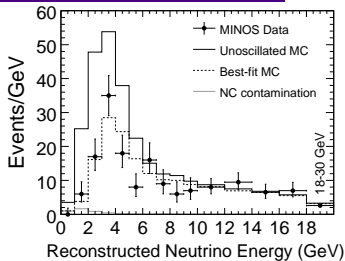
$$\Delta m^2 = 10^{-3} \text{ eV} \quad \sin^2 2\vartheta = 1 \quad \langle E \rangle = 1 \text{ GeV} \quad \Delta E = 0.2 \text{ GeV}$$

$$\langle P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) \rangle = \frac{1}{2} \sin^2 2\vartheta \left[ 1 - \int \cos \left( \frac{\Delta m^2 L}{2E} \right) \phi(E) dE \right] \quad (\alpha \neq \beta)$$

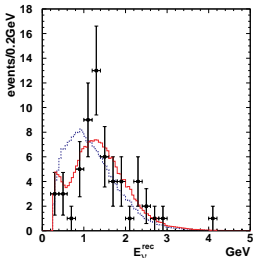
# Observations of Neutrino Oscillations



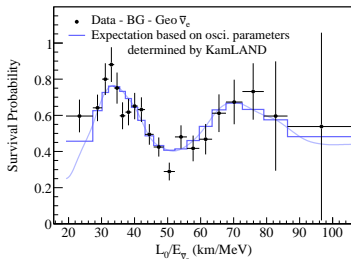
[Super-Kamiokande, PRL 93 (2004) 101801, hep-ex/0404034]



[MINOS, PRD 77 (2008) 072002, arXiv:0711.0769]



[K2K, PRD 74 (2006) 072003, hep-ex/0606032v3]



[KamLAND, PRL 100 (2008) 221803, arXiv:0801.4589]

# Neutrino Oscillations in Matter

a flavor neutrino  $\nu_\alpha$  with momentum  $p$  is described by

$$|\nu_\alpha(p)\rangle = \sum_k U_{\alpha k}^* |\nu_k(p)\rangle$$

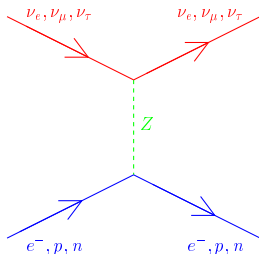
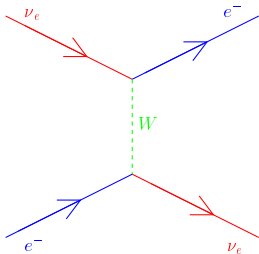
$$\mathcal{H}_0 |\nu_k(p)\rangle = E_k |\nu_k(p)\rangle \quad E_k = \sqrt{p^2 + m_k^2}$$

in matter  $\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_I \quad \mathcal{H}_I |\nu_\alpha(p)\rangle = V_\alpha |\nu_\alpha(p)\rangle$

$V_\alpha$  = effective potential due to coherent interactions with the medium

forward elastic CC and NC scattering

# Effective Potentials in Matter



$$V_{CC} = \sqrt{2} G_F N_e$$

$$V_{NC}^{(e^-)} = -V_{NC}^{(p)} \Rightarrow$$

$$V_{NC} = V_{NC}^{(n)} = -\frac{\sqrt{2}}{2} G_F N_n$$

$$V_e = V_{CC} + V_{NC}$$

$$V_\mu = V_\tau = V_{NC}$$

only  $V_{CC} = V_e - V_\mu = V_e - V_\tau$  is important for flavor transitions

antineutrinos:  $\bar{V}_{CC} = -V_{CC} \quad \bar{V}_{NC} = -V_{NC}$

# Evolution of Neutrino Flavors in Matter

$$i \frac{d}{dx} \Psi_\alpha = \frac{1}{2E} \left( U M^2 U^\dagger + A \right) \Psi_\alpha$$

$$\Psi_\alpha = \begin{pmatrix} \psi_e \\ \psi_\mu \\ \psi_\tau \end{pmatrix} \quad M^2 = \begin{pmatrix} m_1^2 & 0 & 0 \\ 0 & m_2^2 & 0 \\ 0 & 0 & m_3^2 \end{pmatrix} \quad A = \begin{pmatrix} A_{CC} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$A_{CC} = 2EV_{CC} = 2\sqrt{2}EG_F N_e$$

effective  
mass-squared  
matrix  
in vacuum

$$M_{\text{VAC}}^2 = U M^2 U^\dagger \xrightarrow{\text{matter}} U M^2 U^\dagger + 2E \underset{\substack{\uparrow \\ \text{potential due to coherent} \\ \text{forward elastic scattering}}}{V} = M_{\text{MAT}}^2$$

effective  
mass-squared  
matrix  
in matter

## Two-Neutrino Mixing

$$i \frac{d}{dx} \begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix} = \frac{1}{4E} \begin{pmatrix} -\Delta m^2 \cos 2\vartheta + 2A_{CC} & \Delta m^2 \sin 2\vartheta \\ \Delta m^2 \sin 2\vartheta & \Delta m^2 \cos 2\vartheta \end{pmatrix} \begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix}$$

$$\text{initial } \nu_e \implies \begin{pmatrix} \psi_e(0) \\ \psi_\mu(0) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\begin{aligned} P_{\nu_e \rightarrow \nu_\mu}(x) &= |\psi_\mu(x)|^2 \\ P_{\nu_e \rightarrow \nu_e}(x) &= |\psi_e(x)|^2 = 1 - P_{\nu_e \rightarrow \nu_\mu}(x) \end{aligned}$$

# Constant Matter Density

$$i \frac{d}{dx} \begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix} = \frac{1}{4E} \begin{pmatrix} -\Delta m^2 \cos 2\vartheta + 2A_{CC} & \Delta m^2 \sin 2\vartheta \\ \Delta m^2 \sin 2\vartheta & \Delta m^2 \cos 2\vartheta \end{pmatrix} \begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix}$$

$$\frac{dA_{CC}}{dx} = 0$$

Diagonalization of Effective Hamiltonian

$$\begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix} = \begin{pmatrix} \cos \vartheta_M & \sin \vartheta_M \\ -\sin \vartheta_M & \cos \vartheta_M \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

$$i \frac{d}{dx} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \left[ \underbrace{\frac{A_{CC}}{4E}}_{\uparrow} + \frac{1}{4E} \begin{pmatrix} -\Delta m_M^2 & 0 \\ 0 & \Delta m_M^2 \end{pmatrix} \right] \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

irrelevant common phase



## Effective Mixing Angle in Matter

$$\tan 2\vartheta_M = \frac{\tan 2\vartheta}{1 - \frac{A_{CC}}{\Delta m^2 \cos 2\vartheta}}$$

## Effective Squared-Mass Difference

$$\Delta m_M^2 = \sqrt{(\Delta m^2 \cos 2\vartheta - A_{CC})^2 + (\Delta m^2 \sin 2\vartheta)^2}$$

Resonance ( $\vartheta_M = \pi/4$ )

$$A_{CC}^R = \Delta m^2 \cos 2\vartheta \quad \implies \quad N_e^R = \frac{\Delta m^2 \cos 2\vartheta}{2\sqrt{2}EG_F}$$

$$i \frac{d}{dx} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \frac{1}{4E} \begin{pmatrix} -\Delta m_M^2 & 0 \\ 0 & \Delta m_M^2 \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

$$\begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix} = \begin{pmatrix} \cos\vartheta_M & \sin\vartheta_M \\ -\sin\vartheta_M & \cos\vartheta_M \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} \Rightarrow \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \begin{pmatrix} \cos\vartheta_M & -\sin\vartheta_M \\ \sin\vartheta_M & \cos\vartheta_M \end{pmatrix} \begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix}$$

$$\nu_e \rightarrow \nu_\mu \Rightarrow \begin{pmatrix} \psi_e(0) \\ \psi_\mu(0) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \Rightarrow \begin{pmatrix} \psi_1(0) \\ \psi_2(0) \end{pmatrix} = \begin{pmatrix} \cos\vartheta_M \\ \sin\vartheta_M \end{pmatrix}$$

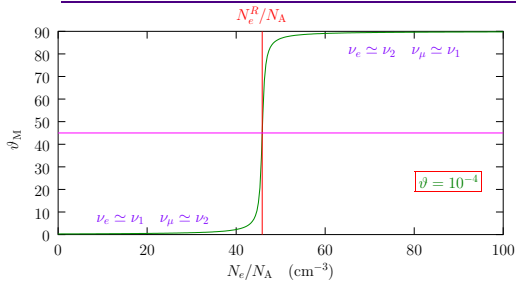
$$\psi_1(x) = \cos\vartheta_M \exp\left(i \frac{\Delta m_M^2 x}{4E}\right)$$

$$\psi_2(x) = \sin\vartheta_M \exp\left(-i \frac{\Delta m_M^2 x}{4E}\right)$$

$$P_{\nu_e \rightarrow \nu_\mu}(x) = |\psi_\mu(x)|^2 = |-\sin\vartheta_M \psi_1(x) + \cos\vartheta_M \psi_2(x)|^2$$

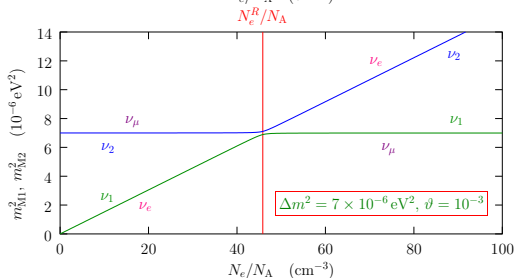
$$P_{\nu_e \rightarrow \nu_\mu}(x) = \sin^2 2\vartheta_M \sin^2 \left( \frac{\Delta m_M^2 x}{4E} \right)$$

# MSW Effect (Resonant Transitions in Matter)



$$\begin{aligned}\nu_e &= \cos\vartheta_M \nu_1 + \sin\vartheta_M \nu_2 \\ \nu_\mu &= -\sin\vartheta_M \nu_1 + \cos\vartheta_M \nu_2\end{aligned}$$

$$\tan 2\vartheta_M = \frac{\tan 2\vartheta}{1 - \frac{A_{CC}}{\Delta m^2 \cos 2\vartheta}}$$



$$\Delta m_M^2 = \left[ (\Delta m^2 \cos 2\vartheta - A_{CC})^2 + (\Delta m^2 \sin 2\vartheta)^2 \right]^{1/2}$$

# Phenomenology of Solar Neutrinos

LMA (Large Mixing Angle):

LOW (LOW  $\Delta m^2$ ):

SMA (Small Mixing Angle):

QVO (Quasi-Vacuum Oscillations):

VAC (VACuum oscillations):

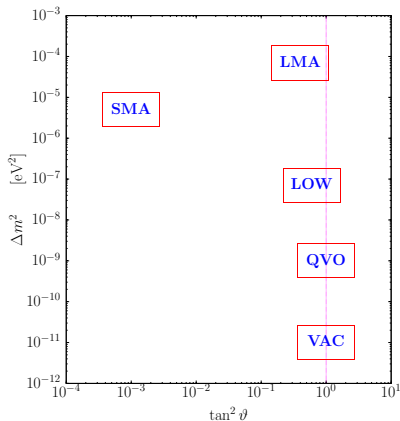
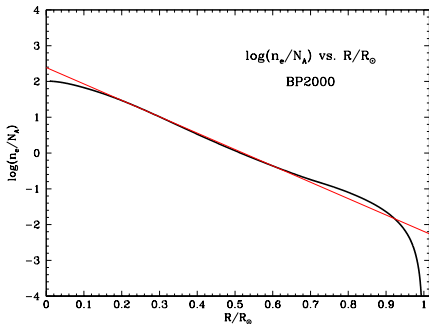
$$\Delta m^2 \sim 5 \times 10^{-5} \text{ eV}^2, \quad \tan^2 \vartheta \sim 0.8$$

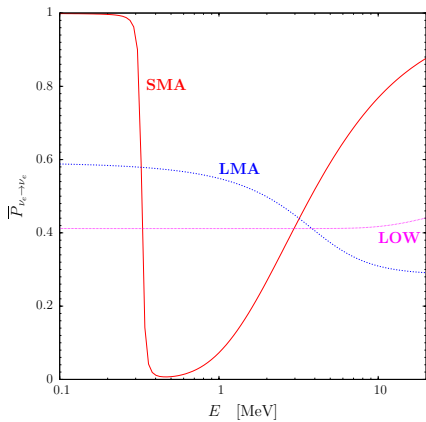
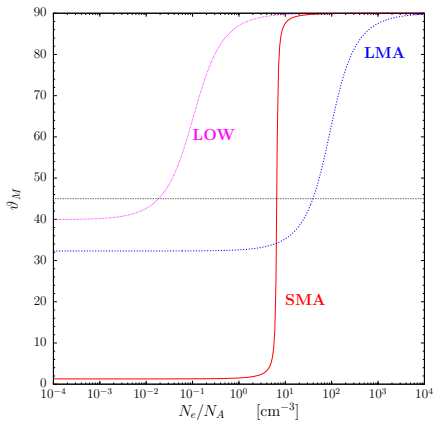
$$\Delta m^2 \sim 7 \times 10^{-8} \text{ eV}^2, \quad \tan^2 \vartheta \sim 0.6$$

$$\Delta m^2 \sim 5 \times 10^{-6} \text{ eV}^2, \quad \tan^2 \vartheta \sim 10^{-3}$$

$$\Delta m^2 \sim 10^{-9} \text{ eV}^2, \quad \tan^2 \vartheta \sim 1$$

$$\Delta m^2 \lesssim 5 \times 10^{-10} \text{ eV}^2, \quad \tan^2 \vartheta \sim 1$$





# In Neutrino Oscillations Dirac = Majorana

[Bilenky, Hosek, Petcov, PLB 94 (1980) 495; Doi, Kotani, Nishiura, Okuda, Takasugi, PLB 102 (1981) 323]

[Langacker, Petcov, Steigman, Toshev, NPB 282 (1987) 589]

Evolution of Amplitudes: 
$$i \frac{d\psi_\alpha}{dx} = \frac{1}{2E} \sum_\beta \left( UM^2U^\dagger + 2EV \right)_{\alpha\beta} \psi_\beta$$

difference: 
$$\left\{ \begin{array}{ll} \text{Dirac:} & U^{(D)} \\ \text{Majorana:} & U^{(M)} = U^{(D)} D(\lambda) \end{array} \right.$$

$$D(\lambda) = \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & e^{i\lambda_{21}} & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & e^{i\lambda_{N1}} \end{pmatrix} \Rightarrow D^\dagger = D^{-1}$$

$$M^2 = \begin{pmatrix} m_1^2 & 0 & \dots & 0 \\ 0 & m_2^2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & m_N^2 \end{pmatrix} \Rightarrow DM^2 = M^2D \Rightarrow DM^2D^\dagger = M^2$$

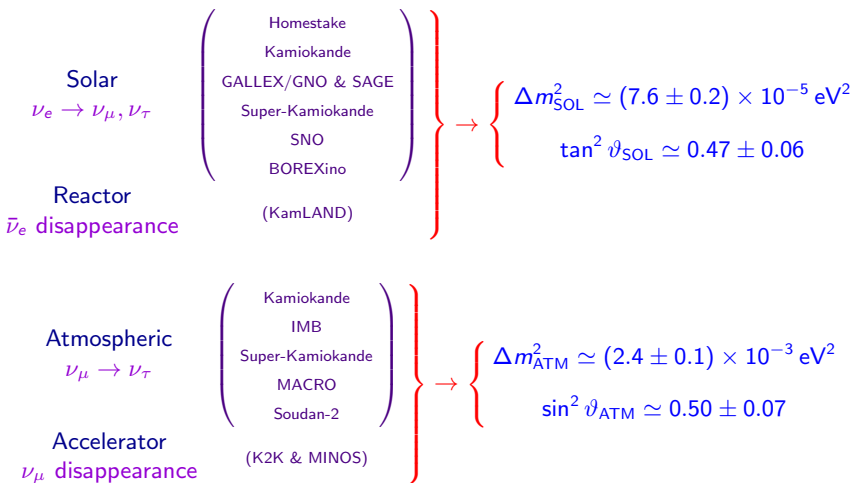
$$U^{(M)} M^2 (U^{(M)})^\dagger = U^{(D)} D M^2 D^\dagger (U^{(D)})^\dagger = U^{(D)} M^2 (U^{(D)})^\dagger$$

# Part III

## Phenomenology

- Three-Neutrino Mixing
- Absolute Scale of Neutrino Masses
- Tritium Beta-Decay
- Neutrinoless Double-Beta Decay
- Anomalies Beyond Three-Neutrino Mixing
- Conclusions

# Experimental Evidences of Neutrino Oscillations



Two scales of  $\Delta m^2$ :  $\Delta m_{\text{ATM}}^2 \simeq 30 \Delta m_{\text{SOL}}^2$

Large mixings:  $\vartheta_{\text{ATM}} \simeq 45^\circ$ ,  $\vartheta_{\text{SOL}} \simeq 34^\circ$



## Three-Neutrino Mixing

$$\nu_{\alpha L} = \sum_{k=1}^3 U_{\alpha k} \nu_{kL} \quad (\alpha = e, \mu, \tau)$$

three flavor fields:  $\nu_e, \nu_\mu, \nu_\tau$

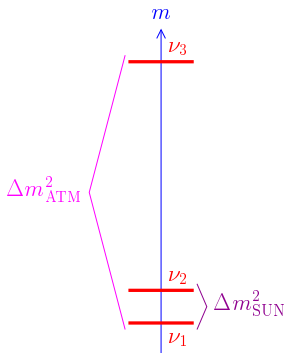
three massive fields:  $\nu_1, \nu_2, \nu_3$

$$\Delta m_{21}^2 + \Delta m_{32}^2 + \Delta m_{31}^2 = m_2^2 - m_1^2 + m_3^2 - m_2^2 + m_1^2 - m_3^2 = 0$$

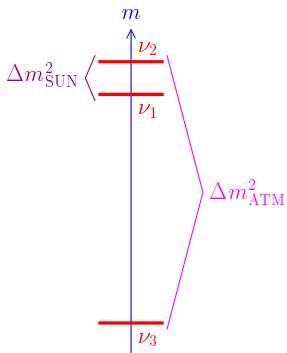
$$\Delta m_{\text{SOL}}^2 = \Delta m_{21}^2 \simeq (7.6 \pm 0.2) \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{\text{ATM}}^2 \simeq |\Delta m_{31}^2| \simeq |\Delta m_{32}^2| \simeq (2.4 \pm 0.1) \times 10^{-3} \text{ eV}^2$$

# Allowed Three-Neutrino Schemes



"normal"



"inverted"

different signs of  $\Delta m_{31}^2 \simeq \Delta m_{32}^2$

absolute scale is not determined by neutrino oscillation data

# ATM and LBL Oscillation Probabilities

$$P_{\nu_\alpha \rightarrow \nu_\beta} = \left| \sum_{k=1}^3 U_{\alpha k}^* U_{\beta k} e^{-iE_k t} \right|^2 * \left| e^{iE_1 t} \right|^2$$
$$= \left| \sum_{k=1}^3 U_{\alpha k}^* U_{\beta k} e^{-i(E_k - E_1)t} \right|^2 \rightarrow \left| \sum_{k=1}^3 U_{\alpha k}^* U_{\beta k} \exp\left(-i \frac{\Delta m_{k1}^2 L}{2E}\right) \right|^2$$

$$E_k \simeq E + \frac{m_k^2}{2E} \quad \frac{\Delta m_{21}^2 L}{2E} \ll 1$$

$$P_{\nu_\alpha \rightarrow \nu_\beta} = \left| U_{\alpha 1}^* U_{\beta 1} + U_{\alpha 2}^* U_{\beta 2} + U_{\alpha 3}^* U_{\beta 3} \exp\left(-i \frac{\Delta m_{31}^2 L}{2E}\right) \right|^2$$

$$U_{\alpha 1}^* U_{\beta 1} + U_{\alpha 2}^* U_{\beta 2} = \delta_{\alpha\beta} - U_{\alpha 3}^* U_{\beta 3}$$

$$\begin{aligned}
P_{\nu_\alpha \rightarrow \nu_\beta} &= \left| \delta_{\alpha\beta} - U_{\alpha 3}^* U_{\beta 3} \left[ 1 - \exp\left(-i \frac{\Delta m_{31}^2 L}{2E}\right) \right] \right|^2 \\
&= \delta_{\alpha\beta} + |U_{\alpha 3}|^2 |U_{\beta 3}|^2 \left( 2 - 2 \cos \frac{\Delta m_{31}^2 L}{2E} \right) \\
&\quad - 2\delta_{\alpha\beta} |U_{\alpha 3}|^2 \left( 1 - \cos \frac{\Delta m_{31}^2 L}{2E} \right) \\
&= \delta_{\alpha\beta} - 2|U_{\alpha 3}|^2 (\delta_{\alpha\beta} - |U_{\beta 3}|^2) \left( 1 - \cos \frac{\Delta m_{31}^2 L}{2E} \right) \\
&= \delta_{\alpha\beta} - 4|U_{\alpha 3}|^2 (\delta_{\alpha\beta} - |U_{\beta 3}|^2) \sin^2 \frac{\Delta m_{31}^2 L}{4E}
\end{aligned}$$

$$\alpha \neq \beta \implies P_{\nu_\alpha \rightarrow \nu_\beta} = 4|U_{\alpha 3}|^2 |U_{\beta 3}|^2 \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right)$$

$$\alpha = \beta \implies P_{\nu_\alpha \rightarrow \nu_\alpha} = 1 - 4|U_{\alpha 3}|^2 (1 - |U_{\alpha 3}|^2) \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right)$$

$$P_{\nu_\alpha \rightarrow \nu_\beta} = \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) \quad (\alpha \neq \beta)$$

$$\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 3}|^2 |U_{\beta 3}|^2$$

$$P_{\nu_\alpha \rightarrow \nu_\alpha} = 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 3}|^2 (1 - |U_{\alpha 3}|^2)$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

↑  
ATM & LBL

$$\sin^2 2\vartheta_{ee} \ll 1$$



$$|U_{e3}|^2 \simeq \frac{\sin^2 2\vartheta_{ee}}{4}$$

- ▶  $\nu_e$  disappearance experiments:

$$\sin^2 2\vartheta_{ee} = 4|U_{e3}|^2 (1 - |U_{e3}|^2) \simeq 4|U_{e3}|^2$$

- ▶  $\nu_\mu$  disappearance experiments:

$$\sin^2 2\vartheta_{\mu\mu} = 4|U_{\mu3}|^2 (1 - |U_{\mu3}|^2)$$

$$|U_{\mu3}|^2 = \frac{1}{2} \left( 1 \pm \sqrt{1 - \sin^2 2\vartheta_{\mu\mu}} \right)$$

- ▶  $\nu_\mu \rightarrow \nu_e$  experiments:

$$\sin^2 2\vartheta_{\mu e} = 4|U_{e3}|^2 |U_{\mu3}|^2$$

# Mixing Matrix

$$\Delta m_{21}^2 \ll |\Delta m_{31}^2|$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

SOL →
↑
 ATM & LBL

$$\text{Chooz: } \begin{cases} \Delta m_{\text{Chooz}}^2 = \Delta m_{31}^2 = \Delta m_{\text{ATM}}^2 \\ \sin^2 2\vartheta_{\text{Chooz}} = 4|U_{e3}|^2(1 - |U_{e3}|^2) \end{cases}$$

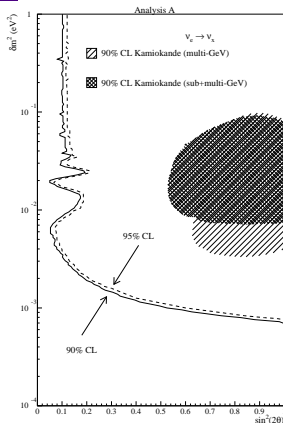
$$|U_{e3}|^2 \lesssim 5 \times 10^{-2}$$

[Bilenky, Giunti, PLB 444 (1998) 379]

SOLAR AND ATMOSPHERIC  $\nu$  OSCILLATIONS  
ARE PRACTICALLY DECOUPLED!

$$|U_{e1}|^2 \simeq \cos^2 \vartheta_{\text{SOL}} \quad |U_{e2}|^2 \simeq \sin^2 \vartheta_{\text{SOL}}$$

$$|U_{\mu 3}|^2 \simeq \sin^2 \vartheta_{\text{ATM}} \quad |U_{\tau 3}|^2 \simeq \cos^2 \vartheta_{\text{ATM}}$$



[Chooz, PLB 466 (1999) 415]

[Palo Verde, PRD 64 (2001) 112001]

$$U^D = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$\vartheta_{23} \simeq \vartheta_{\text{ATM}}$   $\vartheta_{12} \simeq \vartheta_{\text{SOL}}$

$$\Delta m_{21}^2 = (7.65_{-0.20}^{+0.23}) \times 10^{-5} \text{ eV}^2$$

$$|\Delta m_{31}^2| = (2.40_{-0.11}^{+0.12}) \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \vartheta_{12} = 0.304_{-0.016}^{+0.022}$$

$$\sin^2 \vartheta_{23} = 0.50_{-0.06}^{+0.07}$$

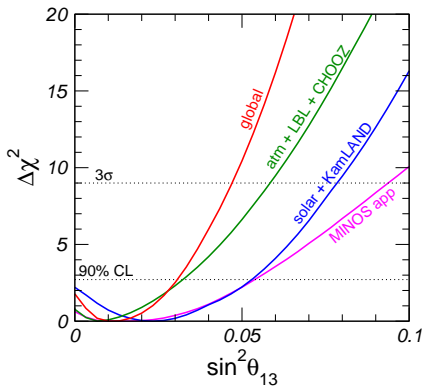
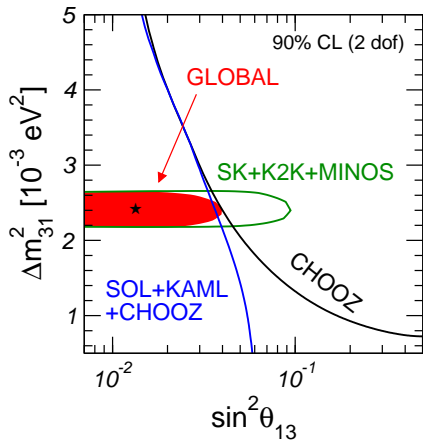
$$\sin^2 \vartheta_{13} < 0.035 \quad (90\% \text{ C.L.})$$

[Schwetz, Tortola, Valle, arXiv:0808.2016v3, 11 Feb 2010]

## Current Research

measure  $\vartheta_{13} \neq 0 \implies$  CP violation, matter effects, mass hierarchy



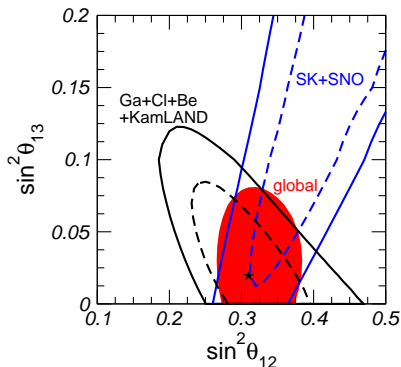
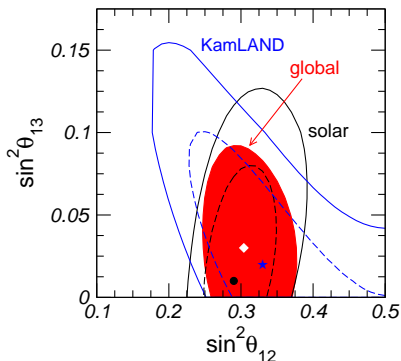


[Mezzetto, Schwetz, arXiv:1003.5800, 10 Aug 2010]

## Hint of $\vartheta_{13} > 0$

[Fogli, Lisi, Marrone, Palazzo, Rotunno, NO-VE, April 2008] [Balantekin, Yilmaz, JPG 35 (2008) 075007]

$$\sin^2 \vartheta_{13} = 0.016 \pm 0.010 \quad [\text{Fogli, Lisi, Marrone, Palazzo, Rotunno, PRL 101 (2008) 141801}]$$



[Schwetz, Tortola, Valle, arXiv:0808.2016v3, 11 Feb 2010]

[Mezzetto, Schwetz, arXiv:1003.5800, 10 Aug 2010]

$$P_{\nu_e \rightarrow \nu_e}^{(-)} \simeq \begin{cases} (1 - \sin^2 \vartheta_{13})^2 (1 - 0.5 \sin^2 \vartheta_{12}) & \text{SOL low-energy \& KamLAND} \\ (1 - \sin^2 \vartheta_{13})^2 \sin^2 \vartheta_{12} & \text{SOL high-energy (matter effect)} \end{cases}$$

# LBL Oscillation Probabilities

$$\Delta = \frac{\Delta m_{31}^2 L}{4E} \quad \alpha = \frac{\Delta m_{21}^2}{\Delta m_{31}^2} \quad A = \frac{2EV}{\Delta m_{31}^2 L} \quad V = \sqrt{2} G_F N_e$$

$$\sin \theta_{13} \ll 1 \quad \alpha \ll 1$$

$$P_{\nu_e \rightarrow \nu_e}^{\text{LBL}} \simeq 1 - \sin^2 2\vartheta_{13} \sin^2 \Delta - \alpha^2 \Delta^2 \sin^2 2\vartheta_{12}$$

$$\begin{aligned} P_{\nu_\mu \rightarrow \nu_e}^{\text{LBL}} &\simeq \sin^2 2\vartheta_{13} \sin^2 \vartheta_{23} \frac{\sin^2[(1-A)\Delta]}{(1-A)^2} \\ &+ \alpha \sin 2\vartheta_{13} \sin 2\vartheta_{12} \sin 2\vartheta_{23} \cos(\Delta + \delta_{13}) \frac{\sin(A\Delta)}{A} \frac{\sin[(1-A)\Delta]}{1-A} \\ &+ \alpha^2 \sin^2 2\vartheta_{12} \cos^2 \vartheta_{23} \frac{\sin^2(A\Delta)}{A^2} \end{aligned}$$

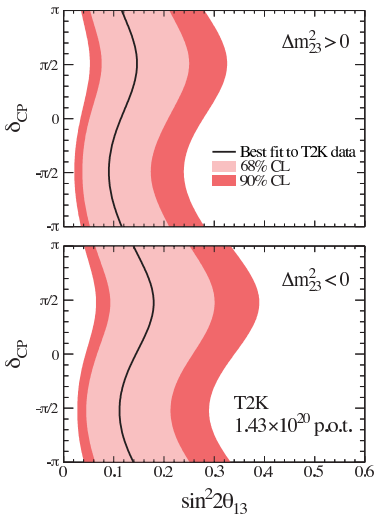
[Mezzetto, Schwetz, arXiv:1003.5800]

# T2K

[PRL 107 (2011) 041801, arXiv:1106.2822]

ND at 280 m      FD at 295 km

2.5° off-axis  $\Rightarrow$  NBB with  $\langle E \rangle \simeq 0.6 \text{ GeV} \simeq |\Delta m_{31}^2| L / 2\pi$



$\nu_\mu \rightarrow \nu_e$

6  $\nu_e$  events in FD

background:  $1.5 \pm 0.3$

2.5 $\sigma$  effect

$$\sin^2 2\vartheta_{13} = \begin{cases} 0.11^{+0.17}_{-0.08} & \text{(NH)} \\ 0.14^{+0.20}_{-0.10} & \text{(IH)} \end{cases}$$

90% C.L.       $\delta_{13} = 0$

Assumptions

$$\Delta m_{21}^2 = 7.6 \times 10^{-5} \text{ eV}, \quad \sin^2 2\vartheta_{12} = 0.87$$

$$|\Delta m_{31}^2| = 2.4 \times 10^{-3} \text{ eV}, \quad \sin^2 2\vartheta_{23} = 1$$

# MINOS

[PRL 107 (2011) 181802, arXiv:1108.0015]

ND at 1.04 km

FD at 735 km

$\langle E \rangle \simeq 3$  GeV

$\nu_\mu \rightarrow \nu_e$

62  $\nu_e$  events in FD

background:  $49.6 \pm 7.5$

1.6 $\sigma$  effect

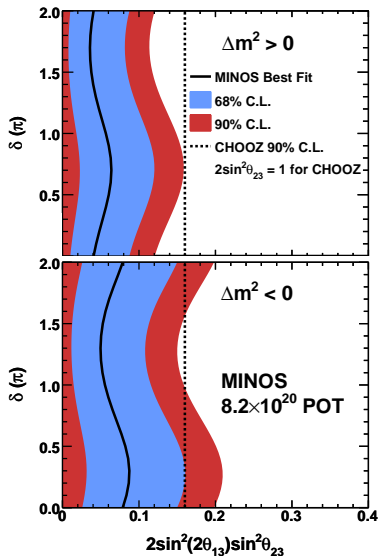
$$\sin^2 2\vartheta_{13} = \begin{cases} 0.041^{+0.047}_{-0.031} & \text{(NH)} \\ 0.079^{+0.071}_{-0.053} & \text{(IH)} \end{cases}$$

68% C.L.  $\delta_{13} = 0$

Assumptions

$$\Delta m_{21}^2 = 7.6 \times 10^{-5} \text{ eV}, \sin^2 2\vartheta_{12} = 0.87$$

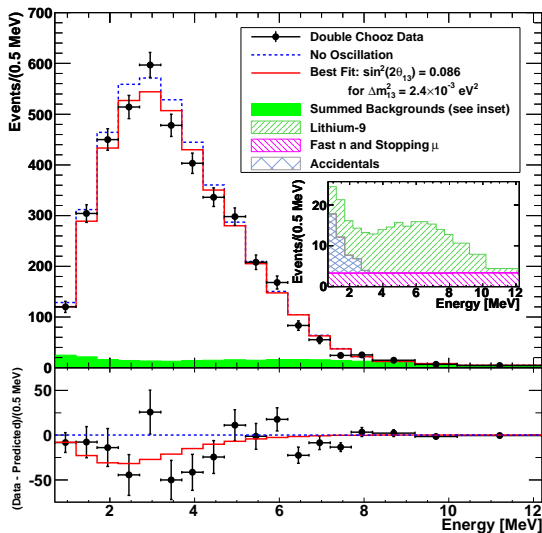
$$|\Delta m_{31}^2| = 2.3 \times 10^{-3} \text{ eV}, \sin^2 2\vartheta_{23} = 1$$



# Double Chooz

[arXiv:1112.6353]

$L = 1050$  m       $\langle E \rangle \simeq 3.6$  GeV Reactor  $\bar{\nu}_e$



$\bar{\nu}_e$  disappearance

$R = 0.944 \pm 0.043$

1.3 $\sigma$  effect

$\sin^2 2\theta_{13} = 0.086 \pm 0.051$

2013: ND at 400 m

$\sim 0.02$  1 $\sigma$  precision

## Other Active Experiments

RENO Reactor  $\bar{\nu}_e$ . ND at 290 m, FD at 1380 m. First results expected in summer 2012. Expected sensitivity:  $\sim 0.016$  at 90% C.L. in 3 years.

Daya Bay Reactor  $\bar{\nu}_e$ . ND at 360 m, ND at 500 m, FD at 1600 m. First results expected in 2013. Expected sensitivity:  $\sim 0.01$  at 90% C.L. in 3 years.

## Under Construction

NOvA Accelerator  $\nu_\mu \rightarrow \nu_e$ . ND at 1 km, FD at 810 km.  $0.8^\circ$  off-axis  $\Rightarrow$  NBB with  $\langle E \rangle \simeq 2 \text{ GeV} \simeq |\Delta m_{31}^2| L / 2\pi$ . Data taking will start in 2013. Expected sensitivity:  $\sim 0.01$  at 90% C.L. in 3 years. Sensitive to CP violation and mass hierarchy.

## CP Violation

$$P_{\nu_\alpha \rightarrow \nu_\beta} - P_{\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta} = -16 J_{\alpha\beta} \sin\left(\frac{\Delta m_{21}^2 L}{4E}\right) \sin\left(\frac{\Delta m_{31}^2 L}{4E}\right) \sin\left(\frac{\Delta m_{32}^2 L}{4E}\right)$$

$$J_{\alpha\beta} = \text{Im}(U_{\alpha 1} U_{\alpha 2}^* U_{\beta 1}^* U_{\beta 2}) = \pm J$$

$$J = s_{12} c_{12} s_{23} c_{23} s_{13} c_{13}^2 \sin \delta_{13}$$

Necessary conditions for observation of CP violation:

- ▶ Sensitivity to small  $\vartheta_{13}$
- ▶ Sensitivity to oscillations due to  $\Delta m_{21}^2$  and  $\Delta m_{31}^2$



## Mass Hierarchy

►  $\nu_e \leftrightarrow \nu_\mu$  MSW resonance:  $\cos 2\vartheta_{13} = \frac{2EV}{\Delta m_{31}^2}$

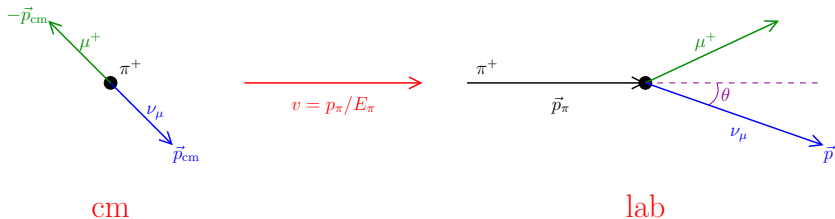
Requires  $\Delta m_{31}^2 > 0$  Normal Hierarchy

►  $\bar{\nu}_e \leftrightarrow \bar{\nu}_\mu$  MSW resonance:  $\cos 2\vartheta_{13} = -\frac{2EV}{\Delta m_{31}^2}$

Requires  $\Delta m_{31}^2 < 0$  Inverted Hierarchy

# Off-Axis Experiments

high-intensity WB beam  
detector shifted by a small angle from axis of beam  
almost monochromatic neutrino energy



$$E_{\text{cm}} = p_{\text{cm}} = \frac{m_{\pi}}{2} \left( 1 - \frac{m_{\mu}^2}{m_{\pi}^2} \right) \simeq 29.79 \text{ MeV}$$

$$\gamma = (1 - v^2)^{-1/2} = E_{\pi} / m_{\pi} \gg 1$$

$$\begin{cases} E = \gamma (E_{\text{cm}} + v p_{\text{cm}}^z) \\ p^z = \gamma (v E_{\text{cm}} + p_{\text{cm}}^z) \end{cases}$$

$$p^z = p \cos \theta \quad \implies \quad E = \frac{E_{\text{cm}}}{\gamma (1 - v \cos \theta)}$$

$$\cos \theta \simeq 1 - \theta^2/2 \quad \text{and} \quad v \simeq 1$$

$$E = \frac{E_{\text{cm}}}{\gamma(1 - v \cos \theta)} \simeq \frac{\gamma(1 + v)}{1 + \gamma^2 \theta^2 v(1 + v)/2} E_{\text{cm}} \simeq \frac{2\gamma}{1 + \gamma^2 \theta^2} E_{\text{cm}}$$

$$E \simeq \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) \frac{E_\pi}{1 + \gamma^2 \theta^2} = \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) \frac{E_\pi m_\pi^2}{m_\pi^2 + E_\pi^2 \theta^2}$$

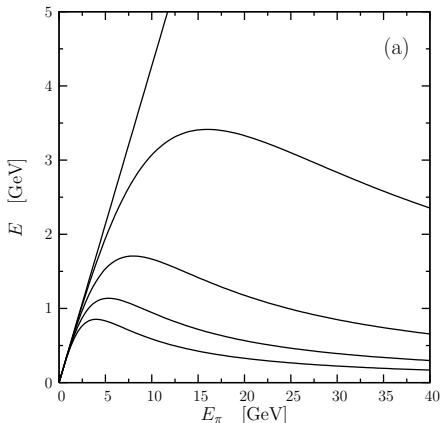
▶  $\theta = 0 \implies E \propto E_\pi$  WB beam

▶  $E_\pi \theta \gg m_\pi \implies E \propto \frac{m_\pi^2}{E_\pi \theta^2}$  high-energy  $\pi^+$  give low-energy  $\nu_\mu$

$$\frac{dE}{dE_\pi} \simeq \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) \frac{1 - \gamma^2 \theta^2}{(1 + \gamma^2 \theta^2)^2}$$

$$\frac{dE}{dE_\pi} \simeq 0 \quad \text{for} \quad \theta = \gamma^{-1} = \frac{m_\pi}{E_\pi} \implies E \simeq \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) \frac{m_\pi}{2\theta} \simeq \frac{29.79 \text{ MeV}}{\theta}$$

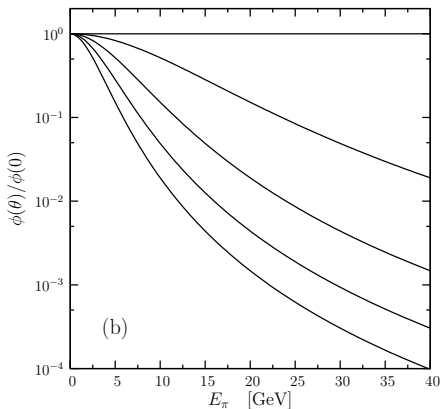
$$\text{off-axis angle } \theta \simeq m_\pi / \langle E_\pi \rangle \implies E \simeq \frac{29.79 \text{ MeV}}{\theta}$$



$$\theta = 0.0^\circ, 0.5^\circ, 1.0^\circ, 1.5^\circ, 2.0^\circ$$

- ▶  $E$  can be tuned on oscillation peak  $E_{\text{peak}} = \Delta m^2 L / 2\pi$
- ▶ small  $E \implies$  short  $L_{\text{osc}} = \frac{4\pi E}{\Delta m^2} \implies$  sensitivity to small values of  $\Delta m^2$

$$\frac{\phi(\theta)}{\phi(0)} = \frac{1}{4} \left( \frac{2}{1 + \gamma^2 \theta^2} \right)^2$$

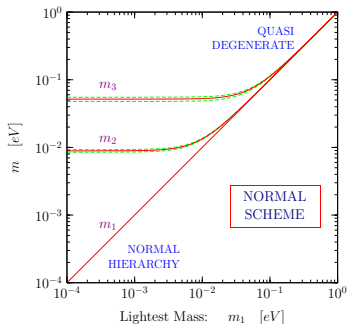


$$\theta = 0.0^\circ, 0.5^\circ, 1.0^\circ, 1.5^\circ, 2.0^\circ$$

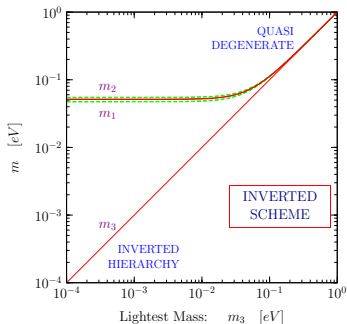
flux suppression requires superbeam

# Absolute Scale of Neutrino Masses

normal scheme



inverted scheme



$$m_2^2 = m_1^2 + \Delta m_{21}^2 = m_1^2 + \Delta m_{\text{SOL}}^2$$

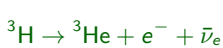
$$m_3^2 = m_1^2 + \Delta m_{31}^2 = m_1^2 + \Delta m_{\text{ATM}}^2$$

$$m_1^2 = m_3^2 - \Delta m_{31}^2 = m_3^2 + \Delta m_{\text{ATM}}^2$$

$$m_2^2 = m_1^2 + \Delta m_{21}^2 \simeq m_3^2 + \Delta m_{\text{ATM}}^2$$

Quasi-Degenerate for  $m_1 \simeq m_2 \simeq m_3 \simeq m_\nu \gg \sqrt{\Delta m_{\text{ATM}}^2} \simeq 5 \times 10^{-2} \text{ eV}$

# Tritium Beta-Decay

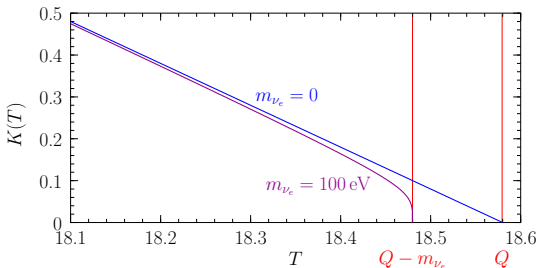


$$\frac{d\Gamma}{dT} = \frac{(\cos\vartheta_C G_F)^2}{2\pi^3} |\mathcal{M}|^2 F(E) p E (Q - T) \sqrt{(Q - T)^2 - m_{\nu_e}^2}$$

$$Q = M_{{}^3\text{H}} - M_{{}^3\text{He}} - m_e = 18.58 \text{ keV}$$

Kurie plot

$$K(T) = \sqrt{\frac{\frac{d\Gamma/dT}{(\cos\vartheta_C G_F)^2} |\mathcal{M}|^2 F(E) p E}{\frac{d\Gamma/dT}{(\cos\vartheta_C G_F)^2} |\mathcal{M}|^2 F(E) p E}} = \left[ (Q - T) \sqrt{(Q - T)^2 - m_{\nu_e}^2} \right]^{1/2}$$



$$m_{\nu_e} < 2.2 \text{ eV} \quad (95\% \text{ C.L.})$$

Mainz & Troitsk

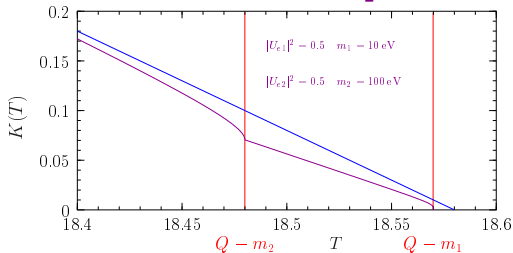
[Weinheimer, hep-ex/0210050]

future: KATRIN (start 2012)

[hep-ex/0109033] [hep-ex/0309007]

sensitivity:  $m_{\nu_e} \simeq 0.2 \text{ eV}$

$$\text{Neutrino Mixing} \implies K(T) = \left[ (Q - T) \sum_k |U_{ek}|^2 \sqrt{(Q - T)^2 - m_k^2} \right]^{1/2}$$



analysis of data is  
different from the  
no-mixing case:

$2N - 1$  parameters

$$\left( \sum_k |U_{ek}|^2 = 1 \right)$$

if experiment is not sensitive to masses ( $m_k \ll Q - T$ )

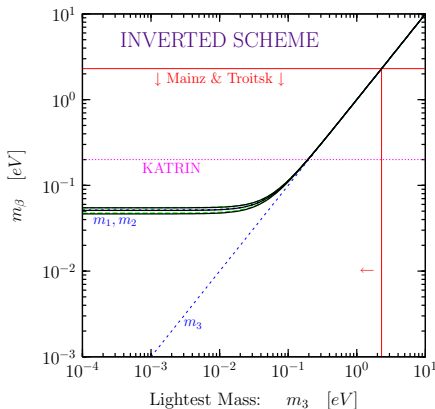
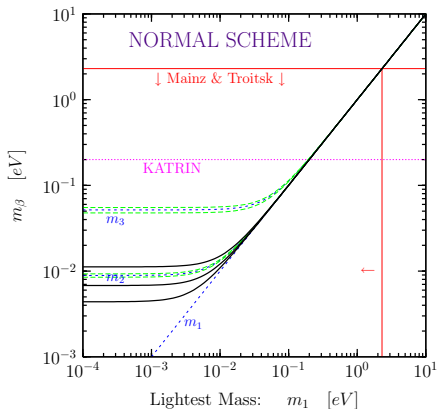
effective mass:

$$m_\beta^2 = \sum_k |U_{ek}|^2 m_k^2$$

$$\begin{aligned} K^2 &= (Q - T)^2 \sum_k |U_{ek}|^2 \sqrt{1 - \frac{m_k^2}{(Q - T)^2}} \simeq (Q - T)^2 \sum_k |U_{ek}|^2 \left[ 1 - \frac{1}{2} \frac{m_k^2}{(Q - T)^2} \right] \\ &= (Q - T)^2 \left[ 1 - \frac{1}{2} \frac{m_\beta^2}{(Q - T)^2} \right] \simeq (Q - T) \sqrt{(Q - T)^2 - m_\beta^2} \end{aligned}$$



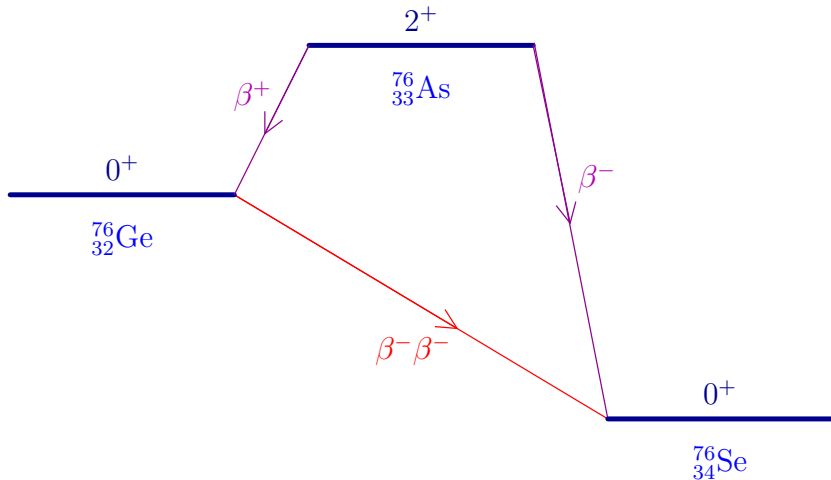
$$m_\beta^2 = |U_{e1}|^2 m_1^2 + |U_{e2}|^2 m_2^2 + |U_{e3}|^2 m_3^2$$



Quasi-Degenerate:  $m_1 \simeq m_2 \simeq m_3 \simeq m_\nu \implies m_\beta^2 \simeq m_\nu^2 \sum_k |U_{ek}|^2 = m_\nu^2$

FUTURE: IF  $m_\beta \lesssim 4 \times 10^{-2}$  eV  $\implies$  NORMAL HIERARCHY

# Neutrinoless Double-Beta Decay



Effective Majorana Neutrino Mass:

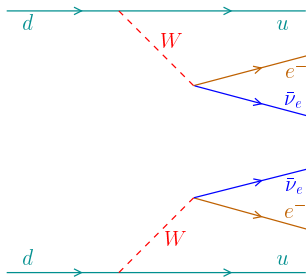
$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k$$

## Two-Neutrino Double- $\beta$ Decay: $\Delta L = 0$

$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z + 2) + e^- + e^- + \bar{\nu}_e + \bar{\nu}_e$$

$$(T_{1/2}^{2\nu})^{-1} = G_{2\nu} |\mathcal{M}_{2\nu}|^2$$

second order weak interaction process  
in the Standard Model



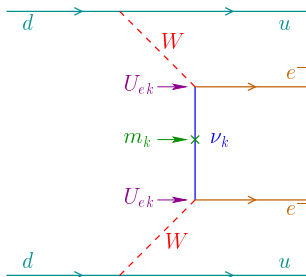
## Neutrinoless Double- $\beta$ Decay: $\Delta L = 2$

$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z + 2) + e^- + e^-$$

$$(T_{1/2}^{0\nu})^{-1} = G_{0\nu} |\mathcal{M}_{0\nu}|^2 |m_{\beta\beta}|^2$$

effective  
Majorana  
mass

$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k$$

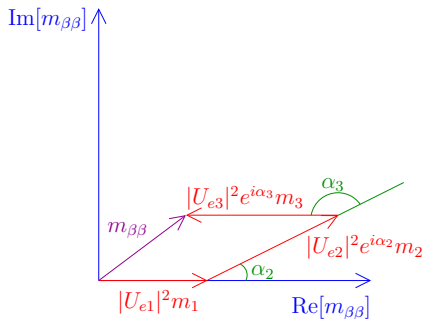
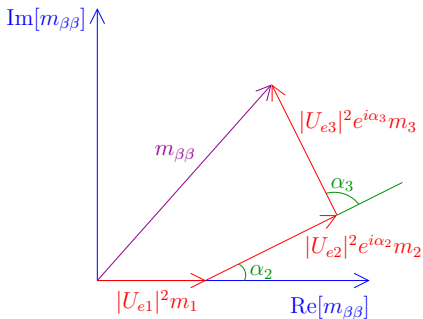


# Effective Majorana Neutrino Mass

$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k \quad \text{complex } U_{ek} \Rightarrow \text{possible cancellations}$$

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_2} m_2 + |U_{e3}|^2 e^{i\alpha_3} m_3$$

$$\alpha_2 = 2\lambda_2 \quad \alpha_3 = 2(\lambda_3 - \delta_{13})$$



# Experimental Bounds

CUORICINO ( $^{130}\text{Te}$ ) [arXiv:1012.3266]

$$T_{1/2}^{0\nu} > 2.8 \times 10^{24} \text{ y (90\% C.L.)} \implies |m_{\beta\beta}| \lesssim 0.3 - 0.7 \text{ eV}$$

Heidelberg-Moscow ( $^{76}\text{Ge}$ ) [EPJA 12 (2001) 147]

$$T_{1/2}^{0\nu} > 1.9 \times 10^{25} \text{ y (90\% C.L.)} \implies |m_{\beta\beta}| \lesssim 0.32 - 1.0 \text{ eV}$$

IGEX ( $^{76}\text{Ge}$ ) [PRD 65 (2002) 092007]

$$T_{1/2}^{0\nu} > 1.57 \times 10^{25} \text{ y (90\% C.L.)} \implies |m_{\beta\beta}| \lesssim 0.33 - 1.35 \text{ eV}$$

NEMO 3 ( $^{100}\text{Mo}$ ) [PRL 95 (2005) 182302]

$$T_{1/2}^{0\nu} > 4.6 \times 10^{23} \text{ y (90\% C.L.)} \implies |m_{\beta\beta}| \lesssim 0.7 - 2.8 \text{ eV}$$

## FUTURE EXPERIMENTS

COBRA, XMASS, CAMEO, CANDLES

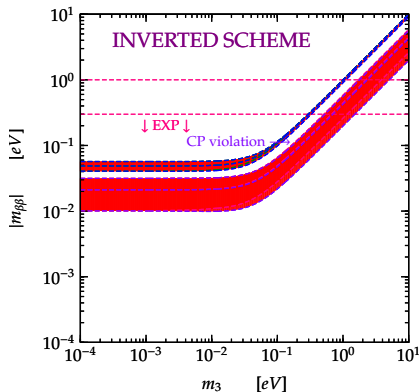
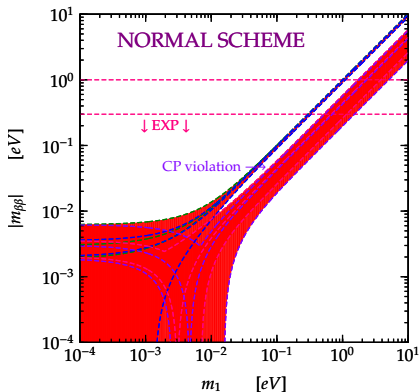
$$|m_{\beta\beta}| \sim \text{few } 10^{-1} \text{ eV}$$

EXO, MOON, Super-NEMO, CUORE, Majorana, GEM, GERDA

$$|m_{\beta\beta}| \sim \text{few } 10^{-2} \text{ eV}$$

# Bounds from Neutrino Oscillations

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3$$



FUTURE: IF  $|m_{\beta\beta}| \lesssim 10^{-2} \text{ eV} \Rightarrow$  NORMAL HIERARCHY

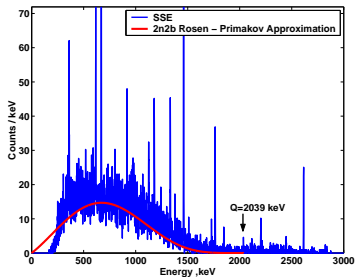
# Experimental Positive Indication

[Klapdor et al., MPLA 16 (2001) 2409]

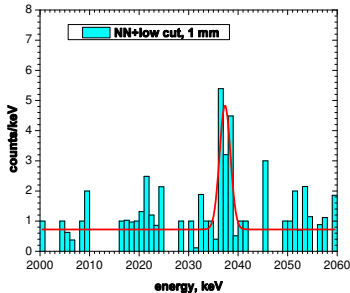
$$T_{1/2}^{0\nu} = (2.23_{-0.31}^{+0.44}) \times 10^{25} \text{ y}$$

6.5 $\sigma$  evidence

[MPLA 21 (2006) 1547]



[PLB 586 (2004) 198]



[MPLA 21 (2006) 1547]

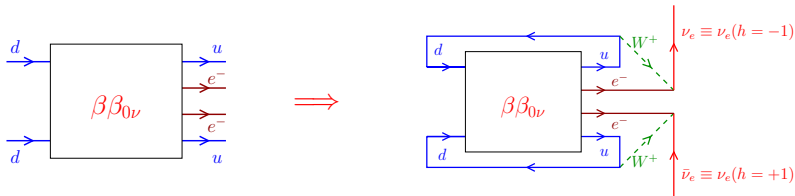
the indication must be checked by other experiments

$$|m_{\beta\beta}| = 0.32 \pm 0.03 \text{ eV}$$

[MPLA 21 (2006) 1547]

if confirmed, very exciting (Majorana  $\nu$  and large mass scale)

# $\beta\beta_{0\nu}$ Decay $\Leftrightarrow$ Majorana Neutrino Mass



[Schechter, Valle, PRD 25 (1982) 2951] [Takasugi, PLB 149 (1984) 372]

## Majorana Mass Term

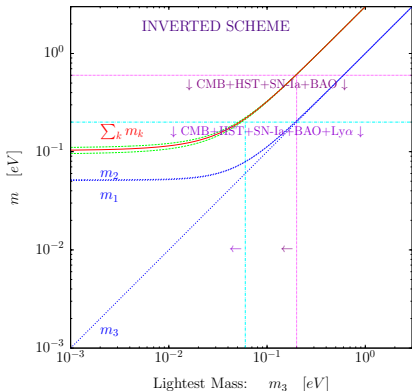
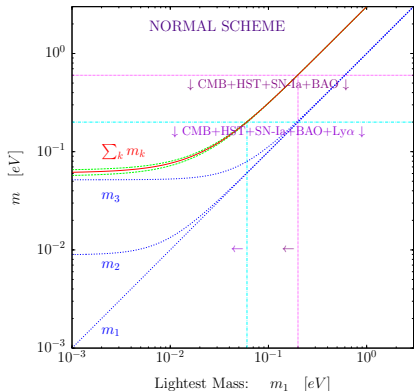
$$\mathcal{L}_{eL}^M = -\frac{1}{2} m_{ee} (\overline{\nu_{eL}^c} \nu_{eL} + \overline{\nu_{eL}} \nu_{eL}^c)$$



# Cosmological Bound on Neutrino Masses

$$\sum_{k=1}^3 m_k \lesssim 0.6 \text{ eV} \quad (\sim 2\sigma) \quad \text{CMB + HST + SN-Ia + BAO}$$

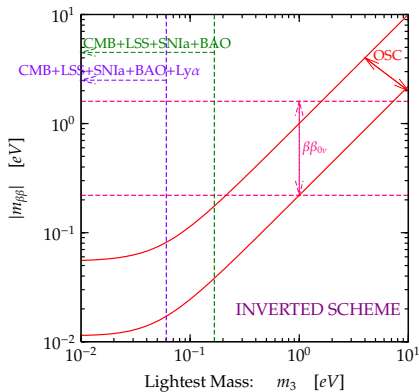
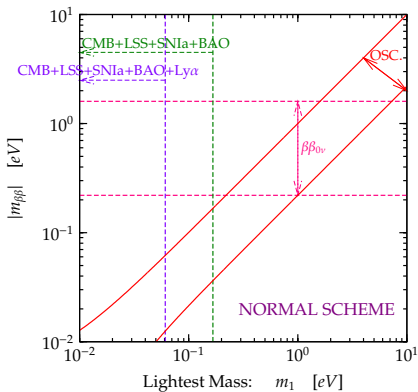
$$\sum_{k=1}^3 m_k \lesssim 0.2 \text{ eV} \quad (\sim 2\sigma) \quad \text{CMB + HST + SN-Ia + BAO + Ly}\alpha$$



FUTURE: IF  $\sum_{k=1}^3 m_k \lesssim 9 \times 10^{-2} \text{ eV} \Rightarrow$  NORMAL HIERARCHY

Indication of  $\beta\beta_{0\nu}$  Decay:  $0.22 \text{ eV} \lesssim |m_{\beta\beta}| \lesssim 1.6 \text{ eV}$  ( $\sim 3\sigma$  range)

[Klapdor et al., MPLA 16 (2001) 2409; FP 32 (2002) 1181; NIMA 522 (2004) 371; PLB 586 (2004) 198]



tension among oscillation data, CMB+LSS+BAO(+Ly $\alpha$ ) and  $\beta\beta_{0\nu}$  signal

# Anomalies Beyond Three-Neutrino Mixing

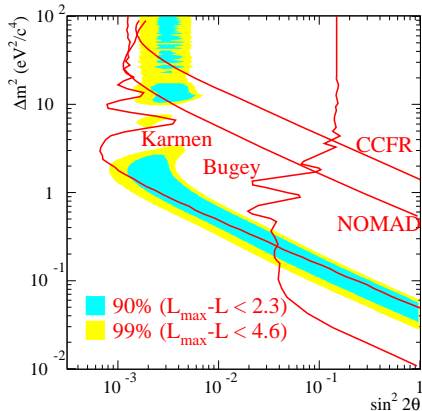
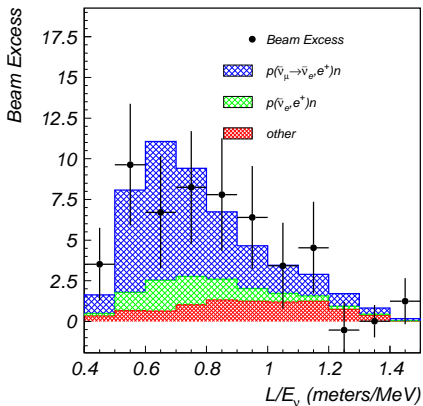
## LSND

[LSND, PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$L \simeq 30 \text{ m}$$

$$20 \text{ MeV} \leq E \leq 200 \text{ MeV}$$



$$\Delta m_{\text{LSND}}^2 \gtrsim 0.2 \text{ eV}^2 \quad (\gg \Delta m_{\text{ATM}}^2 \gg \Delta m_{\text{SOL}}^2)$$

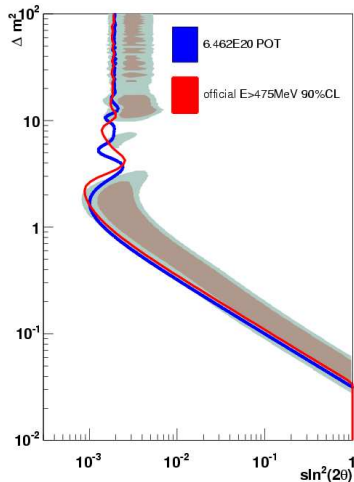
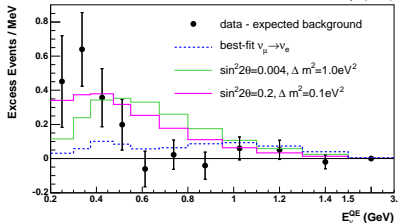
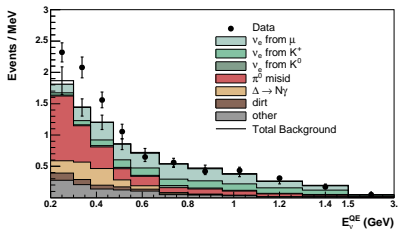
# MiniBooNE Neutrinos

[PRL 98 (2007) 231801; PRL 102 (2009) 101802]

$$\nu_\mu \rightarrow \nu_e$$

$$L \simeq 541 \text{ m}$$

$$475 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$$



[MiniBooNE, PRL 102 (2009) 101802, arXiv:0812.2243]

[Djurcic, arXiv:0901.1648]

Low-Energy Anomaly!

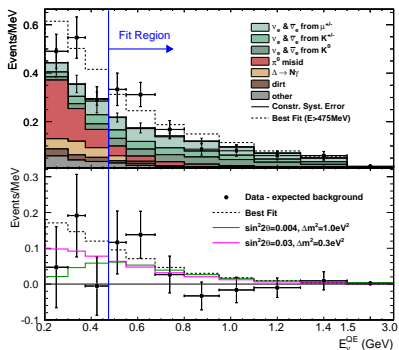
# MiniBooNE Antineutrinos

[PRL 103 (2009) 111801; PRL 105 (2010) 181801]

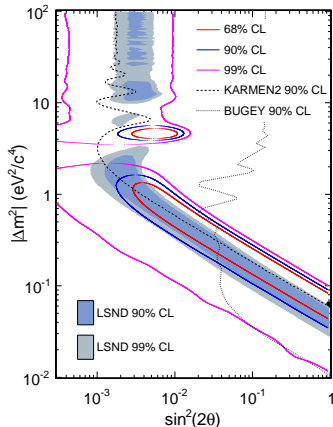
$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$L \simeq 541 \text{ m}$$

$$475 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$$



[MiniBooNE, PRL 105 (2010) 181801, arXiv:1007.1150]



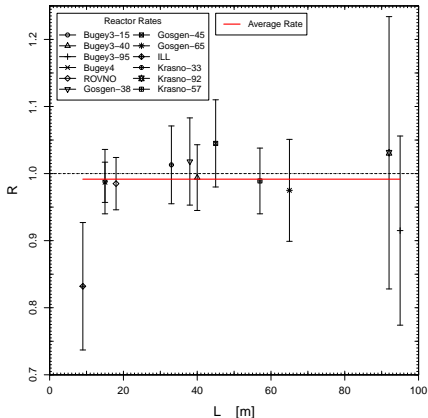
Agreement with LSND  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  signal!

Similar  $L/E$  but different  $L$  and  $E \implies$  Oscillations!

# Reactor Antineutrino Anomaly

[Mention et al, PRD 83 (2011) 073006, arXiv:1101.2755]

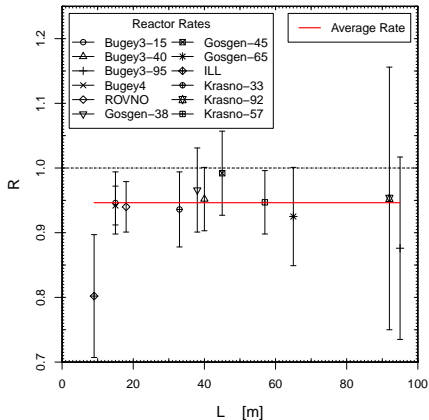
## Old Reactor $\bar{\nu}_e$ Fluxes



$$\bar{R} = 0.992 \pm 0.024$$

## New Reactor $\bar{\nu}_e$ Fluxes

[Mueller et al, PRC 83 (2011) 054615, arXiv:1101.2663]

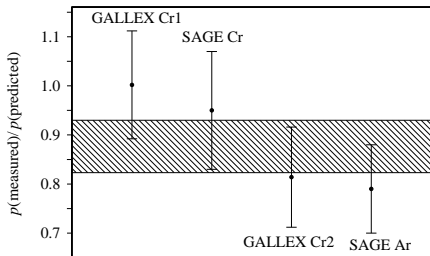


$$\bar{R} = 0.946 \pm 0.024$$

# Gallium Anomaly

## Gallium Radioactive Source Experiments

Tests of the solar neutrino detectors GALLEX (Cr1, Cr2) and SAGE (Cr, Ar)



$$\langle L \rangle_{\text{GALLEX}} = 1.9 \text{ m}$$

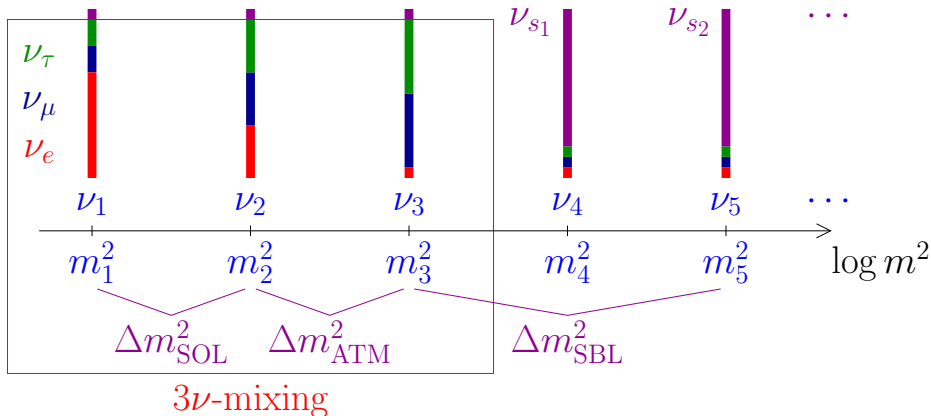
$$\langle L \rangle_{\text{SAGE}} = 0.6 \text{ m}$$

$$R^{\text{Ga}} = 0.76^{+0.09}_{-0.08}$$

[Giunti, Laveder, PRC 83 (2011) 065504, arXiv:1006.3244]

[SAGE, PRC 73 (2006) 045805, nucl-ex/0512041]

# Beyond Three-Neutrino Mixing





## Sterile Neutrinos

- ▶ Light anti- $\nu_R$  are called sterile neutrinos

$$\nu_R^c \rightarrow \nu_{sL} \quad (\text{left-handed})$$

- ▶ Sterile means no standard model interactions
- ▶ Active neutrinos ( $\nu_e, \nu_\mu, \nu_\tau$ ) can oscillate into sterile neutrinos ( $\nu_s$ )
- ▶ Observables:
  - ▶ Disappearance of active neutrinos (neutral current deficit)
  - ▶ Indirect evidence through combined fit of data (current indication)
- ▶ Powerful window on new physics beyond the Standard Model

# Cosmology

- ▶  $N_s$  = number of thermalized sterile neutrinos (not necessarily integer)
- ▶ CMB and LSS in  $\Lambda$ CDM:  $N_s = 1.3 \pm 0.9$   $m_s < 0.66$  eV (95% C.L.)

[Hamann, Hannestad, Raffelt, Tamborra, Wong, PRL 105 (2010) 181301, arXiv:1006.5276]

$$N_s = 1.61 \pm 0.92 \quad m_s < 0.70 \text{ eV} \quad (95\% \text{ C.L.})$$

[Giusarma, Corsi, Archidiacono, de Putter, Melchiorri, Mena, Pandolfi, PRD 83 (2011) 115023, arXiv:1102.4774]

$$N_s = 1.12^{+0.86}_{-0.74} \quad (95\% \text{ C.L.}) \quad [\text{Archidiacono, Calabrese, Melchiorri, PRD 84 (2011) 123008, arXiv:1109.2767}]$$

- ▶ BBN:  $\begin{cases} N_s = 0.22 \pm 0.59 & [\text{Cyburt, Fields, Olive, Skillman, AP 23 (2005) 313, astro-ph/0408033}] \\ N_s = 0.64^{+0.40}_{-0.35} & [\text{Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440}] \\ N_s \leq 1 \text{ at } 95\% \text{ C.L.} & [\text{Mangano, Serpico, PLB 701 (2011) 296, arXiv:1103.1261}] \end{cases}$

- ▶ CMB+LSS+BBN:  $N_s = 0.85^{+0.39}_{-0.56}$  (95% C.L.)

[Hamann, Hannestad, Raffelt, Wong, JCAP 1109 (2011) 034, arXiv:1108.4136]

## Effective SBL Oscillation Probabilities in 3+1 Schemes

$$P_{\nu_\alpha \rightarrow \nu_\beta} = \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right) \quad \sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

No CP Violation!

$$P_{\nu_\alpha \rightarrow \nu_\alpha} = 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right) \quad \sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2)$$

Perturbation of 3 $\nu$  Mixing

$$|U_{e4}|^2 \ll 1, \quad |U_{\mu 4}|^2 \ll 1, \quad |U_{\tau 4}|^2 \ll 1, \quad |U_{s4}|^2 \simeq 1$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

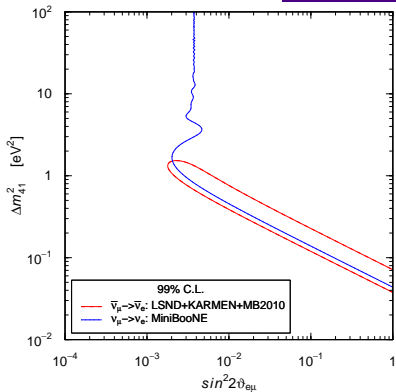
↑  
SBL

$$\sin^2 2\vartheta_{\alpha\alpha} \ll 1$$

↓

$$|U_{\alpha 4}|^2 \simeq \frac{\sin^2 2\vartheta_{\alpha\alpha}}{4}$$

# MiniBooNE



GoF = 17%

PGoF = 0.15%

- ▶ Tension between  $\nu_\mu \rightarrow \nu_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  data is reduced with MiniBooNE 2011 antineutrino data.
- ▶ **3+2  $\implies$  CP Violation** [Sorel, Conrad, Shaevitz, PRD 70 (2004) 073004, hep-ph/0305255], [Maltoni, Schwetz, PRD 76, 093005 (2007), arXiv:0705.0107], [Karagiorgi et al, PRD 80 (2009) 073001, arXiv:0906.1997], [Kopp, Maltoni, Schwetz, PRL 107 (2011) 091801, arXiv:1103.4570], [Giunti, Laveder, PRD 84 (2011) 073008, arXiv:1107.1452]
- ▶ **3+1+NSI  $\implies$  CP Violation** [Akhmedov, Schwetz, JHEP 10 (2010) 115, arXiv:1007.4171]

## Goodness of Fit

- ▶ Assumption or approximation: Gaussian uncertainties and linear model
- ▶  $\chi_{\min}^2$  has  $\chi^2$  distribution with Number of Degrees of Freedom

$$\text{NDF} = N_D - N_P$$

$N_D$  = Number of Data       $N_P$  = Number of Fitted Parameters

- ▶  $\langle \chi_{\min}^2 \rangle = \text{NDF}$        $\text{Var}(\chi_{\min}^2) = 2\text{NDF}$

- ▶  $\text{GoF} = \int_{\chi_{\min}^2}^{\infty} p_{\chi^2}(z, \text{NDF}) dz$        $p_{\chi^2}(z, n) = \frac{z^{n/2-1} e^{-z/2}}{2^{n/2} \Gamma(n/2)}$

## Parameter Goodness of Fit

Maltoni, Schwetz, PRD 68 (2003) 033020, arXiv:hep-ph/0304176

- ▶ Measure compatibility of two (or more) sets of data points  $A$  and  $B$  under fitting model

- ▶  $\chi_{\text{PGoF}}^2 = (\chi_{\min}^2)_{A+B} - [(\chi_{\min}^2)_A + (\chi_{\min}^2)_B]$

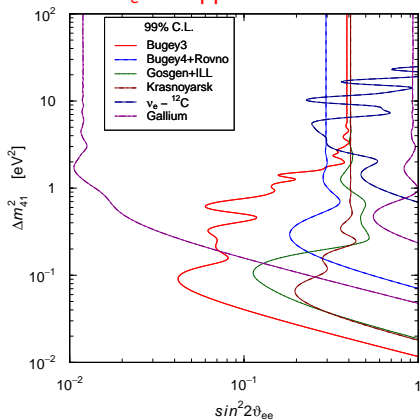
- ▶  $\chi_{\text{PGoF}}^2$  has  $\chi^2$  distribution with Number of Degrees of Freedom

$$\text{NDF}_{\text{PGoF}} = N_P^A + N_P^B - N_P^{A+B}$$

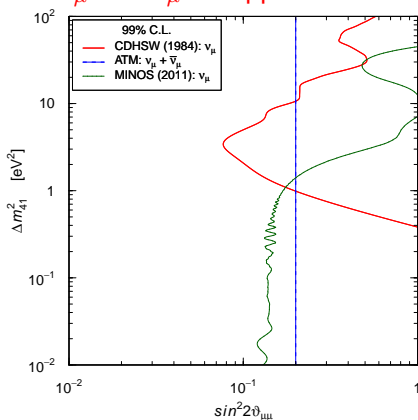
- ▶  $\text{PGoF} = \int_{\chi_{\text{PGoF}}^2}^{\infty} p_{\chi^2}(z, \text{NDF}_{\text{PGoF}}) dz$

# Disappearance Constraints

## $\bar{\nu}_e$ Disappearance



## $\nu_\mu$ and $\bar{\nu}_\mu$ Disappearance



### ▶ New Reactor $\bar{\nu}_e$ Fluxes

[Mueller et al., arXiv:1101.2663]

[Mention et al., arXiv:1101.2755]

### ▶ KARMEN+LSND $\nu_e + {}^{12}\text{C} \rightarrow {}^{12}\text{N}_{\text{g.s.}} + e^-$

[Conrad, Shaevitz, arXiv:1106.5552]

[Giunti, Laveder, arXiv:1111.1069]

### ▶ ATM constraint on $|U_{\mu 4}|^2$

[Maltoni, Schwetz, arXiv:0705.0107]

### ▶ MINOS constraint on $|U_{\mu 4}|^2$

[Giunti, Laveder, arXiv:1109.4033]

- ▶  $\nu_e$  disappearance experiments:

$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 (1 - |U_{e4}|^2) \simeq 4|U_{e4}|^2$$

- ▶  $\nu_\mu$  disappearance experiments:

$$\sin^2 2\vartheta_{\mu\mu} = 4|U_{\mu4}|^2 (1 - |U_{\mu4}|^2) \simeq 4|U_{\mu4}|^2$$

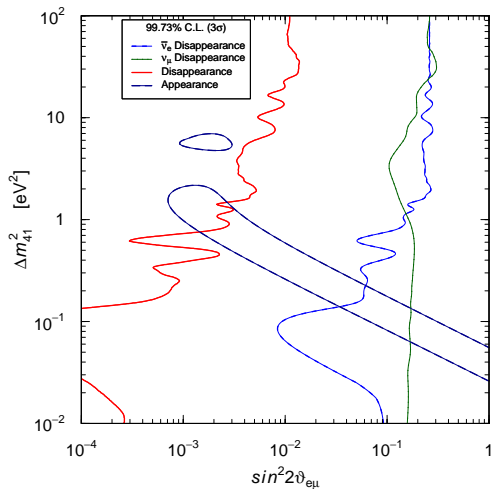
- ▶  $\nu_\mu \rightarrow \nu_e$  experiments:

$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2 |U_{\mu4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$

- ▶ Upper bounds on  $\sin^2 2\vartheta_{ee}$  and  $\sin^2 2\vartheta_{\mu\mu} \implies$  strong limit on  $\sin^2 2\vartheta_{e\mu}$

[Okada, Yasuda, Int. J. Mod. Phys. A12 (1997) 3669-3694, arXiv:hep-ph/9606411]

[Bilenky, Giunti, Grimus, Eur. Phys. J. C1 (1998) 247, arXiv:hep-ph/9607372]



3+1

GoF = 50%

PGoF = 0.3%

[Giunti, Laveder, arXiv:1111.1069]

▶ 3+1: Appearance-Disappearance tension

▶ 3+2: same tension

[Kopp, Maltoni, Schwetz, arXiv:1103.4570], [Giunti, Laveder, arXiv:1107.1452]

▶ Tension reduced in 3+1+NSI

[Akhmedov, Schwetz, JHEP 10 (2010) 115, arXiv:1007.4171]

▶ No tension in 3+1+CPTV

[Barger, Marfatia, Whisnant, PLB 576 (2003) 303]

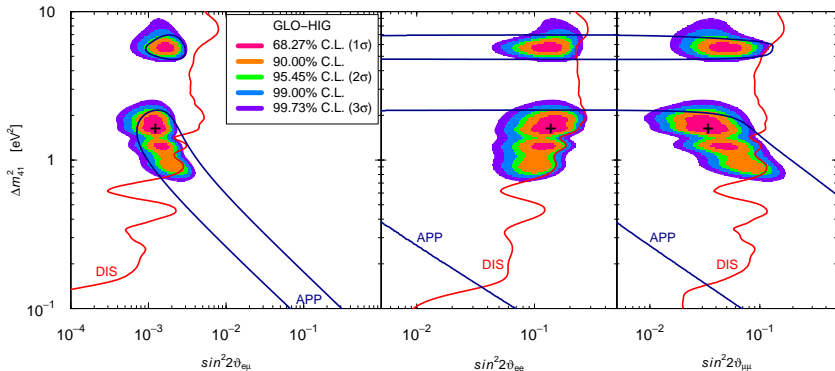
[Giunti, Laveder, PRD 82 (2010) 093016, PRD 83 (2011) 053006]



# Global 3+1 Fit of SBL Data

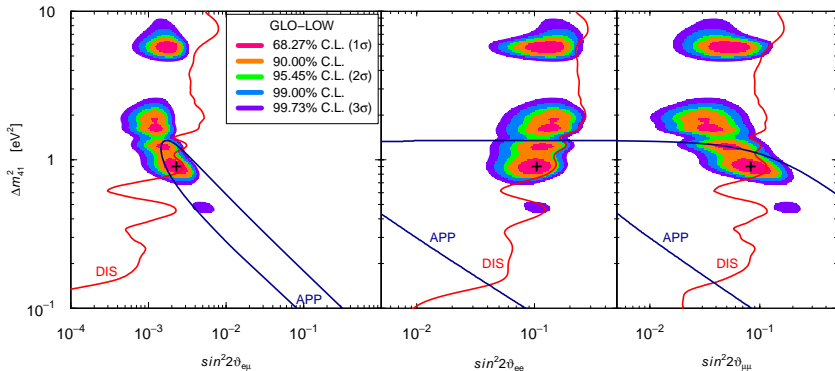
[Giunti, Laveder, arXiv:1109.4033, arXiv:1111.1069]

- ▶ Simplest scheme beyond standard three-neutrino mixing which can partially explain the data.
- ▶ It corresponds to the natural addition of one new entity (a sterile neutrino) to explain a new effect (short-baseline oscillations).



Best fit:  $\Delta m_{41}^2 \approx 1.62 \text{ eV}^2 \Rightarrow m_4 \approx 1.3 \text{ eV}$

# MiniBooNE Low-Energy Anomaly



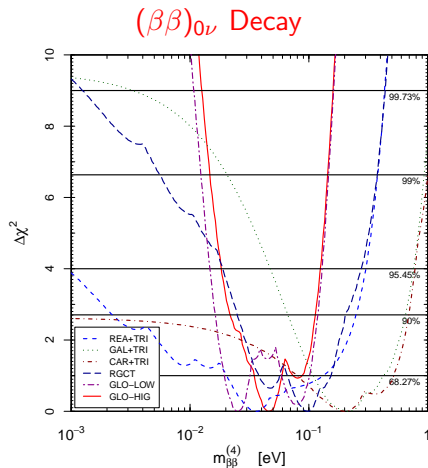
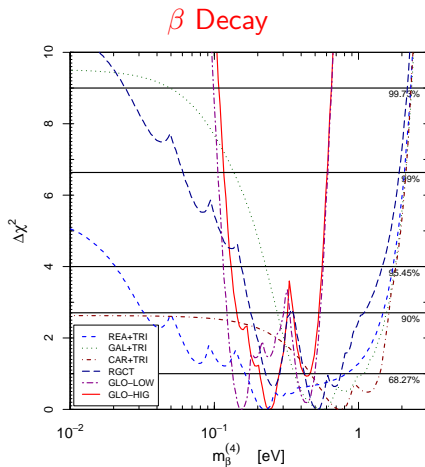
GoF = 30%

PGoF = 0.008%

- ▶ Best fit at  $\Delta m_{41}^2 \approx 0.89 \text{ eV}^2 \Rightarrow m_4 \approx 0.94 \text{ eV} \Rightarrow$  Better compatibility with cosmological bound on  $m_4$ .
- ▶ APP-DIS tension indicates that MiniBooNE low-energy anomaly may have an explanation different from  $\nu_{\mu}^{(-)} \rightarrow \nu_e^{(-)}$  oscillations.

# Testable Implications

[Giunti, Laveder, PLB 706 (2011) 200, arXiv:1111.1069]



$$m_{\beta} = \sqrt{\sum_k |U_{ek}|^2 m_k^2}$$

$$m_{\beta}^{(4)} = |U_{e4}| \sqrt{\Delta m_{41}^2}$$

$$m_{\beta\beta} = \left| \sum_k U_{ek}^2 m_k \right|$$

$$m_{\beta\beta}^{(4)} = |U_{e4}|^2 \sqrt{\Delta m_{41}^2}$$

## Future

### LSND and MiniBooNE

- ▶ MiniBooNE is continuing to take antineutrino data.

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e + \bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$$

- ▶ ICARUS@CERN-PS:  $L \sim 1\text{km}$   $E \sim 1\text{GeV}$  [C. Rubbia et al, CERN-SPSC-2011-012]

$$\bar{\nu}_\mu^{(-)} \rightarrow \bar{\nu}_e^{(-)} + \bar{\nu}_\mu^{(-)} \rightarrow \bar{\nu}_\mu^{(-)} + \bar{\nu}_e^{(-)} \rightarrow \bar{\nu}_e^{(-)}$$

- ▶ MicroBooNE will test the MiniBooNE low-energy anomaly by measuring  $\pi^0 \rightarrow 2\gamma$  background.

- ▶ LSND reloaded? Super-Kamiokande doped with Gadolinium

[Agarwalla, Huber, PLB 696 (2011) 359, arXiv:1007.3228.]

## Reactor Anomaly

- ▶ Nucifer (France), small liquid scintillator detector at  $L = 7\text{m}$   
[Lasserre, talk at EPS-HEP 2011]
- ▶ DANSS (Russia), movable solid scintillator at  $L \simeq 10\text{m}$   
[Egorov, talk at LowNu11]
- ▶ SONGS (USA)  
[Bowden, talk at LowNu11]
- ▶ South Korea  
[Y.D. Kim, talk at LowNu11]
- ▶ Possible experiment at a small-size fast neutron reactor  
[Yasuda, JHEP 1109 (2011) 036, arXiv:1107.4766]

# Gallium Anomaly

- ▶ New SAGE Gallium source experiments with 2 spherical shells

[Gavrin et al, arXiv:1006.2103]

- ▶  $\nu_e$  and  $\bar{\nu}_e$  radioactive source experiments with low-threshold detectors.

- ▶ Borexino:  $\bar{\nu}_e + e^- \rightarrow \bar{\nu}_e + e^-$

[Cribier et al, PRL 107 (2011) 201801, arXiv:1107.2335]

[Pallavicini, talk at BEYOND3NU]

[Ianni, Montanino, Scioscia, EPJC 8 (1999) 609, arXiv:hep-ex/9901012]

- ▶ LENS (Low Energy Neutrino Spectroscopy):

[Agarwalla, Raghavan, arXiv:1011.4509]



- ▶ Spherical Gaseous TPC:

[Vergados, Giomataris, Novikov, NPB 854 (2012) 54, arXiv:1103.5307]

Targets:  ${}^{131}\text{Xe}$ ,  ${}^{40}\text{Ar}$ ,  ${}^{20}\text{Ne}$ ,  ${}^4\text{He}$ .

Sources:  ${}^{37}\text{Ar}$ ,  ${}^{51}\text{Cr}$ ,  ${}^{65}\text{Zn}$ ,  ${}^{32}\text{P}$ .

- ▶ Coherent neutrino-nucleon scattering in low-temperature bolometers

[Formaggio, Figueroa-Feliciano, Anderson, PRD 85 (2012) 013009, arXiv:1107.3512]

- ▶ Near-Detector Beta-Beam experiments: [Agarwalla, Huber, Link, JHEP 01 (2010) 071]

$$N(A, Z) \rightarrow N(A, Z + 1) + e^- + \bar{\nu}_e \quad (\beta^-)$$

$$N(A, Z) \rightarrow N(A, Z - 1) + e^+ + \nu_e \quad (\beta^+)$$

- ▶ Near-Detector Neutrino Factory experiments: [Giunti, Laveder, Winter, PRD 80 (2009) 073005]

$$\mu^+ \rightarrow \bar{\nu}_\mu + e^+ + \nu_e$$

$$\mu^- \rightarrow \nu_\mu + e^- + \bar{\nu}_e$$

- ▶ ND+FD at Low Energy Neutrino Factory (LENF) [Pascoli and Wong, poster at NUFACT 11]

- ▶ CPT tests:  $A_{\alpha\beta}^{\text{CPT}} = P_{\nu_\alpha \rightarrow \nu_\beta} - P_{\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha}$

## Conclusions

$\nu_e \rightarrow \nu_\mu, \nu_\tau$  with  $\Delta m_{\text{SOL}}^2 \simeq 8 \times 10^{-5} \text{ eV}^2$  (SOL, KamLAND)

$\nu_\mu \rightarrow \nu_\tau$  with  $\Delta m_{\text{ATM}}^2 \simeq 2 \times 10^{-3} \text{ eV}^2$  (ATM, K2K, MINOS)

$\sin^2 \vartheta_{12} \simeq 0.3$        $\sin^2 \vartheta_{23} \simeq 0.5$        $\sin^2 \vartheta_{13} \lesssim 0.05$  (Chooz)

$\sin^2 \vartheta_{13} \simeq 0.02?$  (T2K, MINOS, Double Chooz)

$\beta$  &  $\beta\beta_{0\nu}$  Decay and Cosmology  $\implies m_\nu \lesssim 1 \text{ eV}$

### To Do

**Exp.:** Measure  $|U_{e3}| > 0 \implies$  CP viol., matter effects, mass hierarchy.

Find absolute mass scale.

Check anomalies beyond three-neutrino mixing.

**Theory:** Why lepton mixing  $\neq$  quark mixing?

(Due to Majorana nature of  $\nu$ 's?)

Why  $\vartheta_{23}$  is maximal,  $\vartheta_{12}$  is large and  $\vartheta_{13}$  is small?

Explain anomalies beyond three-neutrino mixing.